Counting Number Fields by Discriminant and Point Counting on Varieties

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The General Problem

Fix a transitive permutation group G ≤ S_n and a fixed positive integer n.

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- Fix a transitive permutation group $G \le S_n$ and a fixed positive integer *n*.
- Let $N(n, G, X) = \#\{K : [K : \mathbb{Q}] = n, \operatorname{Gal}(K/\mathbb{Q}) = G$, and $|D_K| \le X\}$.

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Question

What are the asymptotics of this function?

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Previous Results

• When n = 2, this is essentially trivial.

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• Using "higher composition laws", Bhargava has studied the cases $G = S_4, S_5$.

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Our Work

Theorem (L-R 2011)

We have

$$N(n, A_n, X) \ll_n X^{\frac{n^2-2}{4(n-1)}} \cdot \log(X)^{2n+1}.$$

Progress in the D_5 case

Theorem (L-R 2011)

To any quintic number field K with Galois group D_5 , there corresponds a triple (A, B, C) with $A, B \in \mathcal{O}_{\mathbb{Q}[\sqrt{5}]}$ and $C \in \mathbb{Z}$, such that

$$\operatorname{Nm}_{\mathbb{Q}}^{\mathbb{Q}[\sqrt{5}]}\left(B^{2}-4\cdot\bar{A}\cdot A^{2}\right)=5\cdot C^{2}$$

and which satisfies the following under any archimedean valuation:

$$|A| \ll D_K^{\frac{1}{4}}, \quad |B| \ll D_K^{\frac{3}{8}}, \quad and \quad |C| \ll D_K^{\frac{3}{4}}.$$

Conversely, the triple (A, B, C) uniquely determines K.

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Remark

We also provide numerical evidence that $N(5, D_5, X) \ll X^{\frac{2}{3}}$.

If K is a primitive extension of Q, K = Q(α), then the characteristic polynomial for α determines K.

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- By Minkowski theory, there is an element $\alpha \in \mathcal{O}_{\mathcal{K}}$ with

$$|\alpha| \ll D_K^{\frac{1}{2(n-1)}}, \ \operatorname{Tr}(\alpha) = 0.$$

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• Let $R = \mathbb{Z}[x_1, ..., x_n]^G / (s_1)$ where $s_1 = x_1 + ... + x_n$.

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- Let $R = \mathbb{Z}[x_1, ..., x_n]^G/(s_1)$ where $s_1 = x_1 + ... + x_n$.
- Every pair (K, α) gives a Z-point of Spec R with bounded coordinates.

The Case of D_5

 \bullet Recall that it suffices to understand bounded $\mathbb Z\text{-points}$ of

Spec $\mathbb{Q}[x_1, x_2, x_3, x_4, x_5]^{D_5}/(x_1 + x_2 + x_3 + x_4 + x_5).$

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• Let
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• Now define:

$$\begin{aligned} A &= V_2 \cdot V_3 \\ B &= V_1 \cdot V_2^2 + V_3^2 \cdot V_4 \\ C &= \frac{1}{\sqrt{5}} \cdot (V_1 \cdot V_2^2 - V_3^2 \cdot V_4) \cdot (V_2 \cdot V_4^2 - V_1^2 \cdot V_3) \end{aligned}$$

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The Norm Equation for D_5

• The expressions A, B, and C are invariant under D_5 .

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The Norm Equation for D_5

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- The generators of D_5 act by $V_j \mapsto V_{5-j}$ and $V_j \mapsto \zeta^j V_j$.

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- The expressions A, B, and C are invariant under D_5 .
- The generators of D_5 act by $V_j \mapsto V_{5-j}$ and $V_j \mapsto \zeta^j V_j$.
- This easily gives the norm equation in the theorem.
- The fact that (A, B, C) uniquely determines K can be shown using the expressions for V_i explicitly.

Numerical Data and Remarks



 This log plot and a regression analysis give strong evidence that N(5, D₅, X) ≪ X^{2/3}. The data goes up to X = 3162277.

 The current best bounds on N(n, A_n, X) follow from bounds on N(d, X), the number of degree d fields with |D_K| ≤ X.

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- For $6 \le n \le 84393$, the best previous bound is due to Schmidt

 $N(n,A_n,X)\ll X^{\frac{n+2}{4}}.$

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• For large *n*, Ellenberg and Venkatesh obtain:

$$N(n, A_n, X) \ll (X \cdot B_n)^{\exp(C \log \sqrt{n})}$$

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Our Result

Theorem (L-R 2011)

We have that $N(n, A_n, X) \ll X^{\frac{n^2-2}{4(n-1)}} \cdot \log(X)^{2n+1}$.

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Theorem (L-R 2011)

We have that $N(n, A_n, X) \ll X^{rac{n^2-2}{4(n-1)}} \cdot \log(X)^{2n+1}$.

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- This bound is about $X^{\frac{1}{4}}$ better than Schmidt's bound.
- This is the best-known bound for $6 \le n \le 84393$.
- By a conjecture of Malle, we expect that $N(n, A_N, X) \stackrel{?}{\sim} X^{\frac{1}{2}}$.

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with
$$|s_i| \ll X^{\frac{j}{2(n-1)}}$$
 and $|D| \ll X^{\frac{n}{4}}$.

• The case when *n* is even is easier; we will use covering spaces to prove it when *n* is odd.

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The Case when n is Even

 By fixing s₂, s₃,..., s_{n-1}, we can view Spec R as a fibration of plane curves over Aⁿ⁻².

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- Each of these curves is the zero locus of a polynomial

 $D^2 =$ a polynomial of odd degree in s_n .

• In particular, these curves are all geometrically irreducible.

Theorem (Pila 1996)

Let Γ be a geometrically irreducible plane curve of degree $d \ge 2$ and let S be a square of side $N \ge 2$ in the plane with sides parallel to the coordinate axes.

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• This immediately implies the result when *n* is even.

The Case when n is Odd

• For *n* odd, we control when the curves are geometrically reducible.

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Definition

We say two polynomials $f, g \in \mathbb{C}[z]$ are equivalent if f(z) = g(az + b) for some $a \in \mathbb{C}^{\times}$ and $b \in \mathbb{C}$.

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Definition

We say that c is a critical value of a polynomial f if c = f(d) for some d with f'(d) = 0.

Two Lemmas on Critical Values

Lemma

Fix a finite set of points $S \subset \mathbb{C}$ and an integer d. Then there are finitely many equivalence classes of polynomials of degree d whose set of critical values is contained in S.

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Let n be an integer. For any monic polynomial $p(z) \in \mathbb{C}[z]$ of degree n - 1, there are only finitely many values of $(a_2, a_3, \dots, a_{n-1}) \in \mathbb{C}^{n-2}$ such that p(z) is the discriminant of the polynomial

$$q(t) = t^n + a_2 t^{n-2} + \cdots + a_{n-1} t - z.$$

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• The proofs follow using basic theory of covering spaces.

• Our curve is geometrically reducible iff $p(y) = \operatorname{disc}(t^n + s_2 t^{n-2} + \cdots \pm s_{n-1} t - y)$ is a perfect square.

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- Our curve is geometrically reducible iff $p(y) = \operatorname{disc}(t^n + s_2 t^{n-2} + \cdots \pm s_{n-1} t y)$ is a perfect square.
- The coefficients of p(y) are regular functions in s₂, s₃, ..., s_{n-1} and the induced map Aⁿ⁻² → Aⁿ⁻¹ is a finite map by the previous lemma.

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• The locus of $(b_1, b_2, \ldots, b_{n-1}) \in \mathbb{A}^{n-1}$ such that $t^{n-1} + b_1 t^{n-2} + \cdots + b_{n-1}$ is a perfect square is a Zariski-closed set of dimension $\frac{n-1}{2}$.

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- The locus of $(b_1, b_2, \ldots, b_{n-1}) \in \mathbb{A}^{n-1}$ such that $t^{n-1} + b_1 t^{n-2} + \cdots + b_{n-1}$ is a perfect square is a Zariski-closed set of dimension $\frac{n-1}{2}$.
- The proof now follows in a similar way as when *n* is even.

Conclusion

Theorem (L-R 2011)

We have that
$$N(n, A_n, X) \ll X^{\frac{n^2-2}{4(n-1)}} \cdot \log(X)^{2n+1}$$
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Theorem

To any quintic number field K with Galois group D_5 , there corresponds a triple (A, B, C) with $A, B \in \mathcal{O}_{\mathbb{Q}[\sqrt{5}]}$ and $C \in \mathbb{Z}$, such that

$$\operatorname{Nm}_{\mathbb{Q}}^{\mathbb{Q}[\sqrt{5}]}\left(B^{2}-4\cdot\bar{A}\cdot A^{2}\right)=5\cdot C^{2} \tag{1}$$

and which satisfies the following under any archimedean valuation:

$$|A| \ll D_K^{\frac{1}{4}}, \quad |B| \ll D_K^{\frac{3}{8}}, \quad \text{and} \quad |C| \ll D_K^{\frac{1}{2}}.$$
 (2)

Conversely, the triple (A, B, C) uniquely determines K.