

# ON MAXIMAL SUBFIELDS OF ENVELOPING SKEWFIELDS IN PRIME CHARACTERISTICS

JEAN-MARIE BOIS and GIL VERNIK

ABSTRACT. As was shown by Schue [6] there always exist two maximal subfields of the enveloping skewfields of a solvable Lie  $p$ -algebra, such that one is Galois and the second purely inseparable of exponent 1 over the centre. In this paper we obtain similar results for arbitrary solvable Lie algebras in prime characteristic, and for the Zassenhaus algebras. A key result here is to describe relations between maximal subfields in a polynomial extension of a division ring, and those of the base ring. We also provide a description of the enveloping algebra of the  $p$ -envelope of a Lie algebra as a polynomial extension of the smaller enveloping algebra.

## INTRODUCTION

Let  $D$  be a division ring which is finitely generated over its centre  $Z$ , and let  $K$  be a subfield of  $D$ . The centralizer of  $K$  in  $D$  is defined by  $C_D(K) = \{x \in D \mid [x, K] = 0\}$ . The subfield  $K$  is called a *maximal subfield of  $D$*  if  $C_D(K) = K$ . Alternatively, a subfield  $K \subseteq D$  containing  $Z$  is maximal if and only if  $[D : K] = [K : Z] = \sqrt{[D : Z]}$  [2, thm. 4.2.2 and 4.3.2]. For more details about maximal subfields in the division rings one is referred to [2, 9].

A natural question is whether any central simple algebra affords a maximal subfield which is Galois over the centre (equivalently, whether such an algebra is a crossed product). The answer to this question is negative in general, see [9, Theorem 7.1.30]. In some special cases the answer may be positive. In [6], J. Schue showed that this is the case for the division ring of fractions of the enveloping algebra of a solvable Lie  $p$ -algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$ . In addition, in [6] was also shown the existence of a maximal subfield which is purely inseparable of exponent one over the centre.

The present paper is concerned with similar questions when  $L$  is any solvable Lie algebra over a field of prime characteristic. In particular a positive result is obtained for any solvable, not necessarily restrictable, Lie algebra of characteristic  $p > 2$ . Using a construction by Ermolaev [1], we also obtain a result for some simple Lie algebras, namely the Zassenhaus algebras.

The paper is organised as follows. In Section 1.1, we assume that  $D$  is a  $p$ -division algebra, ie. the dimension  $[D : Z]$  is a power of  $p = \text{char}(Z)$ . In that situation, we provide a link between the notions of tori in  $D$ , and Galois extensions of  $Z$  inside  $D$  whose Galois groups are  $p$ -elementary abelian (Theorem 1.1.4). In Section 1.2, we establish a reduction principle to construct maximal subfields in  $D$  from maximal subfields in a rational function field  $D(u)$  (Proposition 1.2.4). As a corollary, we show that the structure of maximal commutative subfields can be transferred between  $D$  and  $D(u)$  (Theorem 1.2.5).

Applications are given in Section 2. Let  $L$  be any solvable Lie algebra, or a Zassenhaus algebra (see 2.2.1 for the definition). It is proved that the enveloping skewfield of  $L$  in characteristic  $p > 2$  contains maximal subfields which are Galois (resp. purely inseparable of exponent 1) over the centre (Theorems 2.1.7 and 2.2.2). A crucial ingredient for the proof in the solvable case is

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the following. We prove that the enveloping field of a  $p$ -envelope of  $L$  is isomorphic to a ring of rational functions over the enveloping skewfield of  $L$  (Proposition 2.1.6). In view of the previous results, this allows us to reduce to the case of restrictable Lie algebras, which is known by results of J. Schue [6].

As a consequence of these theorems, we also show that the enveloping skewfield of  $L$  defines an element of order  $p$  in the Brauer group of its centre, when  $L$  is solvable and non-abelian, or  $L = W(1, m)$ . This suggests the following conjecture:

**Conjecture.** Let  $L$  be a non-abelian Lie algebra over a fields of characteristic  $p > 2$ , and let  $K(L)$  be the enveloping skewfield. Then,  $K(L)$  defines an element of order  $p$  in the Brauer group of its centre.

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## 1. A REDUCTION PRINCIPLE FOR DIVISION RINGS

In what follows, we denote by  $[V : D] := \dim_D(V)$  for a left vector space  $V$  over a division ring  $D$ . For an algebra  $A$ , we denote  $Z(A)$  the centre of  $A$ . For a prime number  $p$ , we denote by  $\mathbb{Z}_p$  the cyclic group with  $p$  elements and  $\mathbb{F}_p$  the field with  $p$  elements; we use this notation to emphasise the field structure.

### 1.1. Preliminaries: tori in $p$ -division algebras.

1.1.1. Before we deal with the reduction principle, we need some results on commutative subfields and tori in  $p$ -division algebras. Let  $D$  be a  $p$ -division algebra, that is to say, a division ring of characteristic  $p > 0$ , of dimension some power of  $p$  over its centre. We are interested in linking the notion of a torus in  $D$  with some class of subfields of  $D$ , which are Galois extensions of the centre  $Z$ . Recall that an element  $t \in D$  is *toral* if  $t^p - t \in Z$ . Alternatively, this means that the inner derivation  $\text{ad}(t)$  is a toral element in the restricted Lie algebra  $\text{Der}_Z(D)$  [8, p. 79]. A *torus* is a commutative  $Z$ -subspace  $T \subseteq D$  which is spanned by toral elements. In particular,  $\text{ad}(T)$  is a torus in  $\text{Der}_Z(L)$  [8, p.86]. We define the *rank of  $T$*  to be  $[\text{ad}(T) : Z]$ .

Clearly, the unit element 1 is toral, and if  $T_0$  is a torus, then  $Z + T_0$  is a torus as well, of same rank. Since we are concerned with the adjoint action of tori on the division ring  $D$ , we will henceforth only consider tori containing 1.

1.1.2. We recall some standard facts related to actions of a torus. Let  $T$  be a torus, then there is a weight space decomposition

$$(1.1) \quad D = \bigoplus_{\lambda \in \Lambda} D_\lambda,$$

where  $\Lambda \subseteq T^* = \text{Hom}_Z(T, Z)$  is the *set of weights (of  $T$  in  $D$ )*. By definition,

$$D_\lambda = \{x \in D \mid (\forall t \in T), [t, x] = \lambda(t)x\},$$

and  $\Lambda$  is the set of linear forms  $\lambda$  such that  $D_\lambda \neq (0)$ . Note that  $D_0 = C_D(T)$ , the centralizer of  $T$  in  $D$ . It is easily seen that each  $D_\lambda$  is a  $D_0$ -vector space (on the left and on the right), of dimension 1. Furthermore, one readily checks that  $\Lambda$  is an additive subgroup of  $T^*$ , and the decomposition (1.1) is a  $\Lambda$ -grading of  $D$ .

1.1.3. The following result is essentially known [6, Section 2]. We give a different proof and a more precise statement.

**Lemma.** *Let  $D$  be a  $p$ -division algebra with centre  $Z$ . Let  $T \subseteq D$  be a torus of rank  $d$ , and  $\Lambda$  be the corresponding set of weights. Then:*

- (1) *The group  $\Lambda \simeq \mathbb{Z}_p^d$ .*

- (2) Let  $Z(T) \subseteq D$  be the subfield generated by  $Z$  and  $T$ . Then  $Z(T)$  is Galois over  $Z$ , and  $\text{Gal}(Z(T)/Z) \simeq \Lambda$ .

*Proof.* (1) We may assume that  $T$  contains 1. Let  $\{t_0, \dots, t_d\}$  be a toral basis of  $T$ , with  $t_0 = 1$ . Let  $T_p = \sum_{i=1}^d \mathbb{F}_p t_i$ , and  $\Lambda_p := \{\lambda|_{T_p} \mid \lambda \in \Lambda\} \subseteq \text{Hom}_{\mathbb{F}_p}(T_p, Z)$ . Since  $t_0 = 1$  acts trivially on  $D$ , it is clear that  $\Lambda \simeq \Lambda_p$ . We will show that  $\Lambda_p = T_p^* := \text{Hom}_{\mathbb{F}_p}(T_p, \mathbb{F}_p)$ , which will prove our first assertion.

First we show that  $\Lambda_p \subseteq T_p^*$ . For each  $i \in \{1, \dots, d\}$ , we have  $(\text{ad } t_i)^p - (\text{ad } t_i) = 0$ . Let  $\lambda \in \Lambda$ ; since each  $\lambda(t_i)$  is an eigenvalue of  $\text{ad } t_i$ , we obtain  $\lambda(t_i) \in \mathbb{F}_p$  as we wanted. For the reverse inclusion, we consider the natural non-degenerate pairing

$$\begin{aligned} T_p \times T_p^* &\rightarrow \mathbb{F}_p \\ (t, \lambda) &\mapsto \lambda(t). \end{aligned}$$

Let  $t \in \Lambda_p^\perp \subseteq T_p$ . For all  $\lambda \in \Lambda_p$  and all  $x_\lambda \in D_\lambda$ , we have  $[t, x_\lambda] = \lambda(t)x_\lambda = 0$ . Owing to (1.1), we obtain  $[t, D] = 0$ , so that  $t \in Z \cap T_p$ . Since  $\{1, t_1, \dots, t_d\}$  is a  $Z$ -linearly independent family, we get  $t = 0$ . This proves  $\Lambda_p^\perp = (0)$ , hence  $\Lambda_p = T_p^*$ .

(2) For all  $i \in \{1, \dots, d\}$ , we have  $t_i^p - t_i \in Z$ , hence  $[Z(T) : Z] \leq p^d$ . Furthermore, since each  $t_i$  is separable over  $Z$ , it follows that  $Z(T)$  is separable over  $Z$ . In particular it admits a primitive element, say  $\alpha \in Z(T)$ .

Let  $P(X) \in Z[X]$  be the minimal polynomial of  $\alpha$  over  $Z$ , so that  $\deg(P) = [Z(T) : Z]$ . It is known that the cardinality  $|\text{Aut}_Z Z(T)|$  is the number of roots of  $P(X)$  in  $Z(T)$ , whence  $|\text{Aut}_Z Z(T)| \leq [Z(T) : Z]$ . Thus, to show that  $Z(T)$  is normal (and hence Galois) over  $Z$  it suffices to prove that  $|\text{Aut}_Z Z(T)| = [Z(T) : Z]$ .

For all  $\lambda \in \Lambda$ , choose a non-zero element  $x_\lambda \in D_\lambda$ . For all  $t \in T$ , it is easily seen that  $x_\lambda t x_\lambda^{-1} = t - \lambda(t)$ , so that the inner automorphism defined by  $x_\lambda$  induces an automorphism  $\sigma_\lambda \in \text{Aut}_Z Z(T)$ . One readily checks that the assignment  $\lambda \in \Lambda \mapsto \sigma_\lambda \in \text{Aut}_Z Z(T)$  is a group homomorphism. It is also injective, because  $\sigma_\lambda = \text{id}$  if and only if  $t - \lambda(t) = \sigma_\lambda(t) = t$  for all  $t \in T$ . It follows  $p^d \geq [Z(T) : Z] \geq |\text{Aut}_Z Z(T)| \geq |\Lambda| = p^d$ . Hence, equality holds everywhere. This shows that  $Z(T)$  is Galois over  $Z$ , with  $\text{Gal}(Z(T)/Z) \simeq \Lambda$ .

**1.1.4. Theorem.** Let  $D$  be a finite-dimensional  $p$ -division algebra over its centre  $Z$ . Let  $K \subseteq D$  be a commutative extension field of  $Z$ . The following are equivalent:

- (i) There exists a torus  $T \subseteq K$  of rank  $d$ , such that  $K = Z(T)$ ;
- (ii)  $K$  is a Galois extension of  $Z$ , and  $\text{Gal}(K/Z)$  is a  $p$ -elementary abelian group of rank  $d$ .

*Proof.* (i)  $\Rightarrow$  (ii) follows from Lemma 1.1.3, as well as the equality of ranks.

For (ii)  $\Rightarrow$  (i), assume that  $K$  is Galois over  $Z$ , with  $\text{Gal}(K/Z) \simeq \mathbb{Z}_p^d =: \Gamma$ . For each  $i \in \{1, \dots, d\}$ , let  $\Gamma_i = \mathbb{Z}_p \times \dots \times \{0\} \times \dots \times \mathbb{Z}_p$ , where the trivial group occurs on the  $i$ -th slot. Set  $K_i = K^{\Gamma_i}$ . By [4, Cor. VI.1.16], we have  $K = K_1 \cdots K_d$ . Furthermore, each  $K_i$  is Galois over  $Z$  with Galois group  $\Gamma/\Gamma_i \simeq \mathbb{Z}_p$ . By the Theorem of Artin-Schreier [4, Th. VI.6.4], there exist  $c_i \in Z, t_i \in K_i$  such that  $K_i = Z(t_i)$  and  $t_i^p - t_i - c_i = 0$ . Then,  $T = \sum_{i=1}^d Z t_i$  is a torus such that  $K = Z(T)$ .

1.1.5. We record a few more general results on tori in  $D$ .

**Proposition.** Let  $D$  be a division  $p$ -algebra with centre  $Z$ . Let  $[D : Z] = p^{2n}$ , and let  $T$  be a torus of rank  $d$ . Recall the weight space decomposition  $D = \bigoplus_{\lambda \in \Lambda} D_\lambda$ . Then, the following are equivalent:

- (i)  $n = d$ ;
- (ii)  $Z(T)$  is a maximal subfield;
- (iii)  $D_0$  is a maximal subfield;
- (iv)  $D_0 = Z(T)$ ;
- (v)  $D_0$  is commutative;

(vi)  $|\Lambda| = p^n$ .

*Proof.* By Lemma 1.1.3, we have  $|\Lambda| = p^d$ , which proves (i)  $\iff$  (vi). The same lemma also gives  $[Z(T) : Z] = p^d$ . Recall that a commutative subfield  $K \subseteq D$  containing  $Z$  is maximal if and only if  $[K : Z] = p^n$ , yielding (i)  $\iff$  (ii). Alternatively, such a field  $K$  is maximal commutative if and only if  $C_D(K) = K$ , if and only if  $C_D(K)$  is commutative. Taking into account the fact that  $C_D(Z(T)) = C_D(T) = D_0$ , we readily obtain (ii)  $\iff$  (iv)  $\iff$  (v). Finally, we have  $C_D(D_0) \subseteq C_D(T) = D_0$ . Hence,  $C_D(D_0) = D_0$  if and only if  $D_0$  is commutative, if and only if  $C_D(D_0)$  is maximal commutative. This proves (iii)  $\iff$  (v).

## 1.2. The reduction principle.

1.2.1. In this section, we consider a finite-dimensional central division algebra  $D$  over an infinite field  $Z$ . No restriction is made a priori on  $\text{char}(Z)$ . We will say that a property *holds for almost all*  $\lambda \in Z$  (or: *generically*) if the property holds for all except a finite number of values of  $\lambda$ .

1.2.2. *Rational functions over a division ring.* Consider the polynomial ring in several variables  $Z[\underline{u}] = Z[u_1, \dots, u_q]$ , with field of fractions  $Z(\underline{u}) = \text{Frac } Z[\underline{u}]$ . Consider  $D[\underline{u}] := D \otimes_Z Z[\underline{u}]$ , the polynomial ring in  $q$  variables over  $D$ . Note that  $D[\underline{u}] \simeq D \otimes_{\mathbb{F}} \mathbb{F}[\underline{u}]$  for any central subfield  $\mathbb{F} \subseteq Z$ . We will identify  $D$  and  $Z[\underline{u}]$  with the subalgebras  $D \otimes_Z Z$  and  $Z \otimes_Z Z[\underline{u}]$  of  $D[\underline{u}]$ . When  $q = 1$ , we use the symbol  $u$  instead of  $\underline{u}$  or  $u_1$ . The following results are well-known:

### Lemma.

- (1) The ring  $D[\underline{u}]$  has a division ring of fractions, denoted  $D(\underline{u})$ .
- (2) The centre of  $D(\underline{u})$  is  $Z(\underline{u})$ , and  $[D(\underline{u}) : Z(\underline{u})] = [D : Z]$ . Further,  $D(\underline{u}) \simeq D \otimes_Z Z(\underline{u})$ .
- (3) For all  $\underline{\lambda} = (\lambda_1, \dots, \lambda_q) \in Z^q$ , there exists a unique algebra homomorphism  $\pi_{\underline{\lambda}} : D[\underline{u}] \rightarrow D$  such that  $\pi_{\underline{\lambda}}|_D = \text{id}_D$  and  $\pi_{\underline{\lambda}}(u_i) = \lambda_i$  for all  $i \in \{1, \dots, q\}$ .

1.2.3. For any subspace  $V \subseteq D$  and  $\lambda \in Z$ , we define  $\bar{V}_{\lambda} := \pi_{\lambda}(V \cap D[\underline{u}]) \subseteq D$ , which we call a *specialization of  $V$* . If  $V \supseteq Z(\underline{u})$ , then  $\bar{V}_{\lambda} \supseteq Z$ . We will need the following simple lemma:

### Lemma.

- (1) Let  $\{a_1, \dots, a_n\} \subseteq D[\underline{u}]$  be  $Z(\underline{u})$ -linearly independent. Then, for almost all  $\lambda \in Z$ , the specializations  $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\} \subseteq D$  are linearly independent over  $Z$ .
- (2) Let  $V \subseteq D(\underline{u})$  be a  $Z(\underline{u})$ -subspace of dimension  $n$ . Then, for almost all  $\lambda \in Z$ , the specialization  $\bar{V}_{\lambda}$  is a  $Z$ -subspace of dimension  $n$ .

*Proof.* (1) Let  $\mathcal{B} = \{\beta_1, \dots, \beta_N\}$  be a basis of  $D$  over  $Z$ , so that it is also a basis of  $D[\underline{u}]$  over  $Z[\underline{u}]$ . Decompose each  $a_i = \sum_{j=1}^N f_{ij} \beta_j$ , with  $f_{ij} = f_{ij}(\underline{u}) \in Z[\underline{u}]$ . Let  $A = [f_{ij}] \in M_{n,N}(Z[\underline{u}])$ . Since  $\{a_1, \dots, a_n\}$  is linearly independent over  $Z(\underline{u})$ , there is an  $n \times n$  submatrix  $A_0$  such that the minor  $\det(A_0) \in Z[\underline{u}] \setminus \{0\}$ .

Now note that for all  $i$ ,  $\pi_{\lambda}(a_i) = \sum_j \pi_{\lambda}(f_{ij}) \beta_j$ , so that the matrix  $\pi_{\lambda}(A)$  represents the vectors  $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\}$  in the basis  $\mathcal{B}$ . Then, the  $n \times n$  minor  $\det((\pi_{\lambda}(A_0))) = \pi_{\lambda}(\det A_0)$ , which is non-zero for almost all values of  $\lambda$ . Hence, the matrix  $\pi_{\lambda}(A)$  has full rank for almost all  $\lambda$ , in which case the family  $\{\pi_{\lambda}(a_1), \dots, \pi_{\lambda}(a_n)\}$  is linearly independent over  $Z$ .

(2) Let  $\{a_1, \dots, a_n\}$  be a  $Z(\underline{u})$ -basis of  $V$ . After multiplication by a suitable non-zero element of  $Z[\underline{u}]$ , we may assume that all  $a_i \in D[\underline{u}]$ . By (1), these elements almost always reduce to linearly independent elements in  $\bar{V}_{\lambda}$ , hence  $[\bar{V}_{\lambda} : Z] \geq [V : Z(\underline{u})]$  for almost all  $\lambda \in Z$ . Conversely, let  $\{b_1, \dots, b_m\} \subseteq V \cap D[\underline{u}]$  be a lifting of some  $Z$ -basis of  $\bar{V}_{\lambda}$  and let  $B \in M_{m,N}(Z[\underline{u}])$  be the corresponding coefficients matrix. Since  $\{\pi_{\lambda}(b_1), \dots, \pi_{\lambda}(b_m)\}$  are linearly independent, as above there exists a non-vanishing  $m \times m$  minor in the reduced matrix  $\pi_{\lambda}(B)$ . The corresponding minor of  $B$  is also nonzero, so that the matrix  $B$  has rank  $m$  over  $Z(\underline{u})$ . It readily follows  $[\bar{V}_{\lambda} : Z] \leq [V : Z(\underline{u})]$ .

1.2.4. Now we are ready to prove the reduction principle. We keep the previous notations.

**Proposition.** *Let  $K \subseteq D(u)$  be an extension field of  $Z(u)$ , and  $\lambda \in Z$ .*

- (1) *The specialization  $\overline{K}_\lambda \subseteq D$  is an extension field of  $Z$ , and for almost all  $\lambda \in Z$  we have  $[\overline{K}_\lambda : Z] = [K : Z(u)]$ . In particular, if  $K$  is a maximal commutative subfield of  $D(u)$ , then  $\overline{K}_\lambda$  is generically a maximal subfield of  $D$ .*
- (2) *If  $K$  is Galois over  $Z(u)$ , then  $K_\lambda$  is Galois over  $Z$  for almost all  $\lambda \in Z$ .*

*If  $\text{char}(Z) = p > 0$ , we have in addition:*

- (3) *If  $K$  is purely inseparable of exponent  $r$  over  $Z(u)$ , then  $\overline{K}_\lambda$  is purely inseparable over  $Z$ , of exponent  $\leq r$ . Equality holds for almost all  $\lambda \in Z$ .*
- (4) *If  $K$  is Galois over  $Z(u)$ , with Galois group  $\mathbb{Z}_p^r$ , then for almost all  $\lambda \in Z$ ,  $\overline{K}_\lambda$  is Galois over  $Z$ , with  $\text{Gal}(\overline{K}_\lambda, Z) \simeq \mathbb{Z}_p^r$ .*

*Proof.* (1) By construction,  $\overline{K}_\lambda$  is a finite-dimensional commutative domain over  $Z$ , so it is a field. Now, if  $K \subseteq D(u)$  is a maximal subfield, then  $[K : Z(u)]^2 = [D(u) : Z(u)]$ . By Lemma 1.2.3, for almost all  $\lambda \in Z$ , we have  $[\overline{K}_\lambda : Z]^2 = [K : Z(u)]^2 = [D : Z]$ , and hence  $\overline{K}_\lambda$  is a maximal subfield of  $D$ .

(2) Choose a primitive element  $\alpha \in K$  over  $Z(u)$ . After multiplying by a suitable element of  $Z[u]$  we may assume that  $\alpha \in D[u]$ . Let  $P(X) = \sum_{i=1}^n c_i X^i \in Z(u)[X]$  be the minimal polynomial of  $\alpha$  over  $Z(u)$ . Since  $K$  is Galois over  $Z(u)$ , this polynomial splits into linear factors  $P(X) = \prod_{i=1}^n (X - \alpha_i)$ , where each  $\alpha_i \in K$ . Now choose an element  $c \in Z[u] \setminus \{0\}$  such that  $c\alpha_i \in D[u]$  for all  $i$ . Then  $\alpha$  is a root of  $c^n P(X) = \prod_{i=1}^n (cX - c\alpha_i) \in Z[u][X]$ . For almost all  $\lambda \in Z$ , the element  $\pi_\lambda(c) \neq 0$ . Then  $\pi_\lambda(\alpha)$  is a root of

$$P_\lambda(X) := \prod_{i=1}^n (X - \pi_\lambda(c)^{-1} \pi_\lambda(c\alpha_i)) \in Z[X].$$

Indeed, since  $\alpha \in D[u]$  we can write  $\pi_\lambda(c\alpha) = \pi_\lambda(c)\pi_\lambda(\alpha)$ , and hence  $(X - \alpha) \mid P_\lambda(X)$ .

Now note that  $\{c\alpha_1, \dots, c\alpha_n\}$  is a  $Z(u)$ -basis of  $K$ . By Lemma 1.2.3,  $\{\pi_\lambda(c\alpha_1), \dots, \pi_\lambda(c\alpha_n)\}$  is a  $Z$ -basis of  $\overline{K}_\lambda$  for almost all  $\lambda \in Z$ . It follows that  $P_\lambda(X)$  is a separable polynomial, and also that  $\overline{K}_\lambda = Z(\pi_\lambda(c\alpha_1), \dots, \pi_\lambda(c\alpha_n))$  is the splitting field of  $P_\lambda(X)$ . This proves that  $\overline{K}_\lambda$  is a Galois extension of  $Z$ .

(3) Let  $x \in \overline{K}_\lambda$ , and choose an element  $a \in K \cap D[u]$  with  $\pi_\lambda(a) = x$ . Since  $K$  is purely inseparable of exponent  $r$ , we have  $a^{p^r} \in Z[u]$ , hence,  $x^{p^r} = \pi_\lambda(a^{p^r}) \in Z$ .

We check that the inseparability exponents coincide for almost all  $\lambda \in Z$ . There exists  $a \in K$  such that  $\{1, a, a^p, \dots, a^{p^{r-1}}\}$  is linearly independent over  $Z(u)$ . We may assume that  $a \in D[u]$ . By Lemma 1.2.3, for almost all  $\lambda \in Z$  the family  $\{1, \pi_\lambda(a), \dots, \pi_\lambda(a)^{p^{r-1}}\}$  is linearly independent over  $Z$ : so the inseparability exponent of  $\overline{K}_\lambda$  over  $Z$  is  $> r - 1$ .

(4) Recall that being Galois with a  $p$ -elementary abelian Galois group is equivalent to being generated by toral elements (Theorem 1.1.4). So we can write  $K = Z(u)(t_1, \dots, t_n)$ , where the  $t_i$  are toral and  $\{1, t_1, \dots, t_n\}$  are  $Z(u)$ -linearly independent. It suffices to show that for almost all  $\lambda \in Z$ , there exist toral elements  $\tau_1, \dots, \tau_n \in \overline{K}_\lambda$  such that  $\{1, \tau_1, \dots, \tau_n\}$  are  $Z$ -linearly independent. Indeed, under these assumptions, we also know that  $[K : Z(u)] = p^n = [Z(\tau_1, \dots, \tau_n) : Z]$ , yielding  $\overline{K}_\lambda = Z(\tau_1, \dots, \tau_n)$ .

There exists  $c \in Z[u] \setminus \{0\}$  such that all  $ct_i \in D[u]$ . For almost all  $\lambda \in Z$ , the family  $\{\pi_\lambda(c), \pi_\lambda(ct_1), \dots, \pi_\lambda(ct_n)\}$  is linearly independent over  $Z$ . In particular  $\pi_\lambda(c) \neq 0$ . A straightforward computation shows that each element  $(ct_i)^p - c^{p-1}(ct_i)$  is central in  $D[u]$ . We obtain that each  $\pi_\lambda(ct_i)^p - \pi_\lambda(c)^{p-1}\pi_\lambda(ct_i)$  is central, so that each  $\tau_i := \pi_\lambda(c)^{-1}\pi_\lambda(ct_i)$  is toral in  $\overline{K}_\lambda$ . And by choice of  $\lambda$ , the family  $\{1, \tau_1, \dots, \tau_n\}$  is  $Z$ -linearly independent.

1.2.5. *Transfer theorems.* Let  $D$  be a finite-dimensional division algebra over its centre  $Z$ , and  $D(\underline{u})$  be a division ring of rational functions in several variables over  $D$ .

**Theorem.**

- (1) One has  $[D(\underline{u}) : Z(\underline{u})] = [D : Z]$ , and  $\text{Exp } D(\underline{u}) = \text{Exp } D$ .
- (2)  $D(\underline{u})$  has a maximal subfield which is Galois over  $Z(\underline{u})$  if and only if  $D$  has a maximal subfield which is Galois over  $Z$ .
- (3) When  $\text{char}(Z) = p > 0$ :  $D(\underline{u})$  has a maximal subfield which is purely inseparable of exponent  $r$  (resp. Galois with Galois group  $\mathbb{Z}_p^r$ ) over  $Z(\underline{u})$  if and only if  $D$  has a maximal subfield with the same property over  $Z$ .

*Proof.* By induction it is enough to prove the theorem for a single variable, that is  $q = 1$ .

(1) The identity  $[D(u) : Z(u)] = [D : Z]$  was proved in 1.2.2. For the exponent, recall that  $D(u) \simeq D \otimes_Z Z(u)$  as algebras over  $Z(u)$ . For tensor powers, we compute:

$$\begin{aligned} D(u) \otimes_{Z(u)} D(u) &\simeq D \otimes_Z Z(u) \otimes_{Z(u)} Z(u) \otimes_Z D \\ &\simeq D \otimes_Z Z(u) \otimes_Z D \\ &\simeq D \otimes_Z D \otimes_Z Z(u). \end{aligned}$$

We obtain inductively that  $D(u)^{\otimes n} \simeq D^{\otimes n} \otimes_Z Z(u)$ , where the tensor power on the left is taken over  $Z(u)$  and the one on the right over  $Z$ . If  $D^{\otimes n}$  is trivial in the Brauer group  $\text{Br}(Z)$ , then  $D(u)^{\otimes n}$  is trivial in  $\text{Br } Z(u)$ , so  $\text{Exp } D(u) \mid \text{Exp}(D)$ . Conversely, assume that  $D^{\otimes n} \otimes_Z Z(u) \simeq M_q(Z(u))$ , for some  $q \geq 1$ . We know that  $D^{\otimes n} \simeq M_N(\Delta)$ , for some central division  $Z$ -algebra  $\Delta$  and some integer  $N \geq 1$ ; it follows  $M_N(\Delta) \otimes_Z Z(u) \simeq M_q(Z(u))$ . Using the fact that  $M_N(\Delta) \otimes_Z Z(u) \simeq M_N(\Delta(u))$ , we obtain an isomorphism

$$M_N(\Delta(u)) \simeq M_q(Z(u)).$$

This implies that  $\Delta(u) \simeq Z(u)$ . It follows that  $\Delta$  is commutative, whence  $\Delta = Z$ . Thus, the algebra  $D^{\otimes n}$  is trivial in the Brauer group  $\text{Br}(Z)$ , and  $\text{Exp}(D) \mid \text{Exp } D(u)$  as we wanted to show.

(2) and (3) The ‘‘only if’’ part follows from Proposition 1.2.4. Conversely, if  $D$  has a maximal subfield  $K$  satisfying any of the properties listed in (2) or (3), it is easy to check that  $K \otimes_Z Z(u) \subseteq D(u)$  is a maximal subfield with the same property.

## 2. APPLICATIONS

### 2.1. Enveloping skewfields of non-restricted Lie algebras.

2.1.1. In this section,  $\mathbb{F}$  denotes an algebraically closed field of characteristic  $p > 0$ . Let  $L$  be a finite dimensional Lie algebra over  $\mathbb{F}$ . Let  $U(L)$  be its enveloping algebra with centre  $Z(L)$ , and  $K(L) = \text{Frac } U(L)$  be the division ring of fractions of  $U(L)$ . We denote by  $C(L)$  the centre of  $K(L)$ . The main result here is to show that when  $L$  is solvable, there always exists maximal subfields of  $K(L)$  which are Galois or purely inseparable of exponent one over  $C(L)$ .

2.1.2. Recall that a Lie algebra is *restrictable* if there exists a map  $x \in L \mapsto x^{[p]} \in L$ , such that  $(\text{ad } x)^p = \text{ad}(x^{[p]})$  for all  $x \in L$ . If  $L$  is restrictable, one can choose this map with some additional properties which mimic the properties of associative  $p$ -th powers in an associative algebra. In that case, the map is called a *p-mapping*, and  $L$  is called a *restricted Lie algebra*. We don't write down explicitly these properties here, as they are quite technical and irrelevant in our situation; see [8, Chap. 2] for a comprehensive account.

Finally, in the enveloping algebra of a restricted Lie algebra, the subalgebra

$$Z_p(L) := \mathbb{F}\langle x^p - x^{[p]} \mid x \in L \rangle \subseteq U(L)$$

is contained in the centre of  $U(L)$ , and called the *p-centre of  $U(L)$* .

2.1.3. We briefly recall the notion of a  $p$ -envelope, see [8, Section 2.5] or [7, Section 1.1] for details. Let  $L$  be embedded in a restricted Lie algebra  $G$ . The  $p$ -envelope of  $L$  in  $G$ , denoted  $L_{(p)}$ , is the smallest restricted Lie subalgebra of  $G$  containing  $L$ . Note that the structure of  $L_{(p)}$  depends on the initial embedding. For example, if  $L \subseteq \mathfrak{gl}(n)$ , then the corresponding  $p$ -envelope  $L_{(p)}$  is finite-dimensional. On the other hand, consider the natural inclusion  $L \subseteq U(L)$ , then the associated  $p$ -envelope is infinite-dimensional.

In the sequel, we will slightly abuse terminology by referring to “a  $p$ -envelope” of a Lie algebra  $L$ . By this we will always mean the  $p$ -envelope of  $L$  in some unspecified larger finite-dimensional restricted Lie algebra. Since  $L$  is finite-dimensional, it always affords finite-dimensional  $p$ -envelopes [8, Prop 2.5.3].

2.1.4. As an example consider 4-dimensional non restricted Lie algebra  $L$  defined by  $[x, y] = y$ ,  $[x, z] = \alpha z$ ,  $[x, t] = t + y$  and  $[y, z] = [y, t] = [z, t] = 0$ , where  $\alpha \notin \mathbb{F}_p$ . Then  $L$  is centreless, and the adjoint representation provides an embedding of  $L$  into the restricted Lie algebra  $\text{Der}_{\mathbb{F}}(L)$ . Then the  $p$ -envelope  $L_{(p)} \subseteq \text{Der}_{\mathbb{F}}(L)$  is

$$L_{(p)} = \text{ad}(L) + \mathbb{F} \text{ad}(x)^p + \mathbb{F} \text{ad}(x)^{p^2}.$$

Now identify each element  $h \in L$  with  $\text{ad}(h) \in \text{ad}(L) \subseteq L_{(p)}$ , and set  $u := \text{ad}(x)^p$ ,  $v := \text{ad}(x)^{p^2}$ . We can see that in  $L_{(p)}$ , we have the relations

$$\begin{aligned} [u, v] &= 0, [u, x] = 0, [u, y] = y, [u, t] = t, [u, z] = \alpha^p z, \\ [v, x] &= 0, [v, y] = y, [v, t] = t, [v, z] = \alpha^{p^2} z. \end{aligned}$$

The other brackets are the ones coming from  $L$ . Here, one can check directly that  $L$  is an ideal in  $L_{(p)}$ . The following lemma provides a general description of how  $L$  embeds into  $L_{(p)}$ :

2.1.5. **Lemma.** *Let  $L$  be a finite dimensional Lie algebra over  $\mathbb{F}$ , and let  $L_{(p)}$  be a finite-dimensional  $p$ -envelope of  $L$ . Then there exists a sequence  $L_{(p)} = L_q \supseteq L_{q-1} \supseteq \dots \supseteq L_0 = L$  such that, for all  $i \in \{1, \dots, q\}$ :*

- (1)  $L_i = \mathbb{F}x_i + L_{i-1}$  for some  $x_i \in L_i$ ,
- (2) there exists  $y_i \in L_{i-1}$  with  $y_i^{[p]} = x_i$ ,
- (3) each  $L_i$  is an ideal of  $L_{(p)}$  such that  $[L_{(p)}, L_i] = [L_0, L_0]$ .

*Proof.* We construct the  $L_i$  inductively. For  $0 \leq i < q$ , we have  $L_i^{[p]} \not\subseteq L_i$ , so there exists  $y_i \in L_i$  such that  $x_{i+1} := y_i^{[p]} \notin L_i$ . Set  $L_{i+1} := L_i \oplus \mathbb{F}x_{i+1}$ . By construction these subspaces satisfy the first two conditions. Since each  $L_i \supseteq L$ , [8, Lemma 5.5] ensures that they are ideals of  $L_{(p)}$ . We show that  $[L_i, L_0] = [L_0, L_0]$  for all  $i \in \{0, \dots, q\}$ . For  $i = 0$  there is nothing to show; now for  $i > 0$  we have

$$\begin{aligned} [L_i, L_0] &= [L_{i-1}, L_0] + [x_i, L_0] \\ &= [L_{i-1}, L_0] + (\text{ad } y_{i-1})^p(L_0) \\ &= [L_{i-1}, L_0] + [L_{i-1}, L_0] \\ &= [L_0, L_0], \end{aligned}$$

which is what we wanted.

2.1.6. The following result gives better description of the relation between  $U(L)$  and  $U(L_{(p)})$ .

**Proposition.** *Let  $L$  be a finite dimensional Lie algebra over  $\mathbb{F}$ , and  $L_{(p)}$  be a  $p$ -envelope. Then  $U(L_{(p)}) \simeq U(L)[z_1, \dots, z_q]$  with  $q = \dim_{\mathbb{F}}(L_{(p)}/L)$ . In particular, the enveloping field  $K(L_{(p)}) \simeq D(L)(z_1, \dots, z_q)$ .*

*Proof.* Let  $x_1, \dots, x_q, y_0, \dots, y_{q-1}$  be as in Lemma 2.1.5. Then, the elements  $z_i := x_i - y_{i-1}^p$  are in the  $p$ -centre of  $U(L_{(p)})$ , hence commute with  $U(L)$ . Since  $L_{(p)} = L \oplus \bigoplus_{i=1}^q \mathbb{F}x_i$ , the

Poincaré-Birkhoff-Witt theorem implies that  $U(L_{(p)}) = U(L)[z_1, \dots, z_q]$  is a polynomial ring in the variables  $z_1, \dots, z_q$  over  $U(L)$ .

2.1.7. For a central simple algebra  $R$  over a field  $F$ , denote by  $\text{Exp}(R)$  the *exponent* of  $R$ , that is the order of  $R$  in the Brauer group of the centre [9, p. 214]. Alternatively, this is the smallest integer  $n \geq 1$  such that the  $n$ -th tensor power  $R^{\otimes n} \simeq M_N(F)$  for some integer  $N$ .

**Theorem.** *Assume that  $\text{char}(\mathbb{F}) = p > 2$ . Let  $L$  be a finite-dimensional non-abelian solvable Lie algebra over  $\mathbb{F}$ . Then, the division ring  $K(L)$  has the following properties:*

- (1) *There exists a maximal subfield  $F \subseteq K(L)$  which is Galois over the centre and the Galois group is  $p$ -elementary abelian;*
- (2) *there exists a maximal subfield  $E \subseteq K(L)$  which is purely inseparable, of exponent 1 over the centre;*
- (3)  $\text{Exp } K(L) = p$ .

*Proof.* By Proposition 2.1.6 and Theorem 1.2.5, it is enough to show the properties for restricted solvable algebras. Then (1) follow from [6, Theorem 3] and (2) follow from [6, Theorem 2]. Property (3) follows from (2) and [3, Th. 4.1.8].

2.1.8. As a consequence of Theorem 2.1.7, we obtain the following result. For a solvable Lie algebra in characteristic  $p > 2$ , there always exists a torus  $T \subseteq K(L)$  which is “maximal” in the sense that  $C_{K(L)}(T)$  is commutative. Alternatively, by Proposition 1.1.5, this means  $T$  has rank  $n$ , where  $[K(L) : C(L)] = p^{2n}$ . If  $L$  is restricted then it follows from Schue’s results [6].

**Corollary.** *Assume that  $\text{char}(\mathbb{F}) = p > 2$ . Let  $L$  be a finite dimensional solvable Lie algebra over  $\mathbb{F}$ . Then there exists a torus  $T \subseteq K(L)$  such that  $C_{K(L)}(T)$  is commutative.*

## 2.2. The Zassenhaus algebra.

2.2.1. Let  $\mathbb{F}$  be algebraically closed of characteristic  $p > 2$ , and let  $m \geq 1$  be a fixed integer. The *Zassenhaus algebra* is the simple Lie algebra of Cartan type  $W(1, m)$  [7, Chap. 4.2]. Explicitly,  $W(1, m)$  has a basis  $\{e_{-1}, e_0, \dots, e_{p^m-2}\}$  with brackets:

$$[e_i, e_j] = \left( \binom{i+j+1}{i} - \binom{i+j+1}{j} \right) e_{i+j},$$

so  $[e_i, e_j] = 0$  when  $i+j \notin \{-1, \dots, p^m-2\}$ .

2.2.2. **Theorem.** *Let  $\mathbb{F}$  be algebraically closed with  $\text{char}(\mathbb{F}) > 2$ . Then, the enveloping skewfield  $K(W(1, m))$  has the following properties:*

- (1) *There exists a maximal subfield which is Galois over the centre and the Galois group is  $p$ -elementary abelian;*
- (2) *there exists a maximal subfield which is purely inseparable, of exponent 1 over the centre;*
- (3)  $\text{Exp } K(W(1, m)) = p$ .

*Proof.* For ease of notation, let  $L := W(1, m)$ . It is easy to see that the subspace  $H := \sum_{i \geq 0} \mathbb{F} e_i$  is a solvable Lie subalgebra of codimension 1 in  $L$ . By Theorem 2.1.7, the properties of the theorem are satisfied in  $K(H)$ . By [1, Prop. 2], there exists a central element  $z \in U(L)$  of the form  $z = ae_{-1} + b$ , where  $a, b \in U(H)$ ,  $a \neq 0$ . Using the PBW theorem, we can see that  $z$  is transcendental over  $U(H)$ . Furthermore, it is clear that  $K(L) = \text{Frac } U(H)[z] = K(H)(z)$ , so applying the transfer Theorem 1.2.5 yields the result.



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MATHEMATISCHES SEMINAR, CHRISTIAN-ALBRECHTS-UNIVERSITÄT ZU KIEL, LUDEWIG-MEYN-STR. 4, 24098 KIEL, GERMANY

*E-mail address:* bois@math.uni-kiel.de, vernik@math.uni-kiel.de