Ivan Penkov and Gregg Zuckerman

#### Abstract

This paper is a review of results on generalized Harish-Chandra modules in the framework of cohomological induction. The main results, obtained during the last 10 years, concern the structure of the fundamental series of (g, t)-modules, where g is a semisimple Lie algebra and t is an arbitrary algebraic reductive in g subalgebra. These results lead to a classification of simple (g, t)-modules of finite type with generic minimal t-types, which we state. We establish a new result about the Fernando-Kac subalgebra of a fundamental series module. In addition, we pay special attention to the case when t is an eligible *r*-subalgebra (see the definition in section 4) in which we prove stronger versions of our main results. If t is eligible, the fundamental series of (g, t)-modules yields a natural algebraic generalization of Harish-Chandra's discrete series modules.

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## Introduction

Generalized Harish-Chandra modules have now been actively studied for more than 10 years. A *generalized Harish-Chandra module* M over a finitedimensional reductive Lie algebra g is a g-module M for which there is a reductive in g subalgebra t such that as a t-module, M is the direct sum of finite-dimensional generalized t-isotypic components. If M is irreducible, t acts necessarily semisimply on M, and in what follows we restrict ourselves to the study of generalized Harish-Chandra modules on which t acts semisimply; see [Z] for an introduction to the topic.

In this paper we present a brief review of results obtained in the past 10 years in the framework of algebraic representation theory, more specifically in the framework of cohomological induction, see [KV] and [Z]. In fact, generalized Harish-Chandra modules have been studied also with geometric methods, see for instance [PSZ] and [PS1], [PS2], [PS3], [Pe], but the geometric point of view remains beyond the scope of the current review. In addition, we restrict ourselves to finite-dimensional Lie algebras g and do not review the paper [PZ4], which deals with the case of locally finite Lie algebras. We omit the proofs of most results which have already appeared.

The cornerstone of the algebraic theory of generalized Harish-Chandra modules so far is our work [PZ2]. In this work we define the notion of simple generalized Harish-Chandra modules with generic minimal t-type and provide a classification of such modules. The result extends in part the Vogan-Zuckerman classification of simple Harish-Chandra modules. It leaves open the questions of existence and classification of simple (g, t)-modules of finite type whose minimal t-types are not generic. While the classification of such modules presents a major open problem in the theory of generalized Harish-Chandra modules, in the note [PZ3] we establish the existence of simple (g, t)-modules with arbitrary given minimal t-type.

In the paper [PZ5] we establish another general result, namely the fact that each module in the fundamental series of generalized Harish-Chandra modules has finite length. We then consider in detail the case when  $\mathfrak{t} = \mathfrak{sl}(2)$ . In this case the highest weights of  $\mathfrak{t}$ -types are just non-negative integers  $\mu$ , and the genericity condition is the inequality  $\mu \ge \Gamma$ ,  $\Gamma$  being a bound depending on the pair ( $\mathfrak{g}$ ,  $\mathfrak{t}$ ). In [PZ5] we improve the bound  $\Gamma$  to an, in general, much lower bound  $\Lambda$ . Moreover, we show that in a number of low dimensional examples the bound  $\Lambda$  is sharp in the sense that the our classification results do not hold for simple ( $\mathfrak{g}$ ,  $\mathfrak{t}$ ) – modules with minimal  $\mathfrak{t}$ -type  $V(\mu)$  for  $\mu$  lower than  $\Lambda$ . In [PZ5] we also conjecture that the Zuckerman functor establishes an equivalence of a certain subcategory of the thickening of category O and a subcategory of the category of ( $\mathfrak{g}$ ,  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ )–modules.

Sections 2 and 3 of the present paper are devoted to a brief review of the above results. We also establish some new results in terms of the algebra  $\tilde{t} := t + C(t)$  (where  $C(\cdot)$  stands for centralizer in g). A notable such result is Corollary 2.10 which gives a sufficient condition on a simple (g, t)–module

*M* for  $\tilde{f}$  to be a maximal reductive subalgebra of g which acts locally finitely on *M*.

The idea of bringing  $\tilde{t}$  into the picture leads naturally to considering a preferred class of reductive subalgebras t which we call eligible: they satisfy the condition C(t) = t + C(t) where t is Cartan subalgebra of t. In section 5 we study a natural generalization of Harish-Chandra's discrete series to the case of an eligible subalgebra t. A key statement here is that under the assumption of eligibility of t, the isotypic component of the minimal t-type of a generalized discrete series module is an irreducible  $\tilde{t}$ -module (Theorem 5.1).

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## **1** Notation and preliminary results

We start by recalling the setup of [PZ2] and [PZ5].

## **1.1** Conventions

The ground field is  $\mathbb{C}$ , and if not explicitly stated otherwise, all vector spaces and Lie algebras are defined over  $\mathbb{C}$ . The sign  $\otimes$  denotes tensor product over  $\mathbb{C}$ . The superscript \* indicates dual space. The sign  $\in$  stands for semidirect sum of Lie algebras (if  $I = I' \in I''$ , then I' is an ideal in I and  $I'' \cong I/I'$ ). H'(I, M) stands for the cohomology of a Lie algebra I with coefficients in an I-module M, and  $M^I = H^0(I, M)$  stands for space of I-invariants of M. By Z(I) we denote the center of I, and by  $I_{ss}$  we denote the semisimple

part of I when I is reductive.  $\Lambda^{\cdot}(\cdot)$  and  $S^{\cdot}(\cdot)$  denote respectively the exterior and symmetric algebra.

If I is a Lie algebra, then U(I) stands for the enveloping algebra of I and  $Z_{U(I)}$  denotes the center of U(I). We identify I-modules with U(I)-modules. It is well known that if I is finite dimensional and M is a simple I-module (or equivalently a simple U(I)-module), then  $Z_{U(I)}$  acts on M via a  $Z_{U(I)}$ -character, i.e. via an algebra homomorphism  $\theta_M : Z_{U(I)} \to \mathbb{C}$ , see Proposition 2.6.8 in [Dix].

We say that an I-module *M* is *generated* by a subspace  $M' \subseteq M$  if  $U(I) \cdot M' = M$ , and we say that *M* is *cogenerated* by  $M' \subseteq M$ , if for any non-zero homomorphism  $\psi : M \to \overline{M}, M' \cap \ker \psi \neq \{0\}$ .

By Soc*M* we denote the socle (i.e. the unique maximal semisimple submodule) of an I-module *M*. If  $\omega \in I^*$ , we put  $M^{\omega} := \{m \in M \mid I \cdot m = \omega(I)m \forall I \in I\}$ . By supp<sub>1</sub>*M* we denote the set  $\{\omega \in I^* \mid M^{\omega} \neq 0\}$ .

A finite *multiset* is a function f from a finite set D into  $\mathbb{N}$ . A *submultiset* of f is a multiset f' defined on the same domain D such that  $f'(d) \leq f(d)$  for any  $d \in D$ . For any finite multiset f, defined on a subset D of a vector space, we put  $\rho_f := \frac{1}{2} \sum_{d \in D} f(d)d$ .

If dim  $M < \infty$  and  $M = \bigoplus_{\omega \in I^*} M^{\omega}$ , then M determines the finite multiset ch<sub>I</sub>M which is the function  $\omega \mapsto \dim M^{\omega}$  defined on supp<sub>I</sub>M.

# 1.2 Reductive subalgebras, compatible parabolics and generic *t*-types

Let g be a finite-dimensional semisimple Lie algebra. By g-mod we denote the category of g-modules. Let  $\mathfrak{f} \subset \mathfrak{g}$  be an algebraic subalgebra which is reductive in g. We set  $\tilde{\mathfrak{t}} = \mathfrak{t} + C(\mathfrak{f})$  and note that  $\tilde{\mathfrak{t}} = \mathfrak{t}_{ss} \oplus C(\mathfrak{f})$  where  $C(\cdot)$  stands for centralizer in g. We fix a Cartan subalgebra t of  $\mathfrak{t}$  and let  $\mathfrak{h}$  denote an as yet unspecified Cartan subalgebra of g. Everywhere, except in subsection 1.3 below, we assume that  $\mathfrak{t} \subseteq \mathfrak{h}$ , and hence that  $\mathfrak{h} \subseteq C(\mathfrak{t})$ . By  $\Delta$  we denote the set of  $\mathfrak{h}$ -roots of g, i.e.  $\Delta = \{ \sup p_{\mathfrak{h}} \mathfrak{g} \} \setminus \{ 0 \}$ . Note that, since  $\mathfrak{t}$  is reductive in g, g is a t-weight module, i.e.  $\mathfrak{g} = \bigoplus_{\eta \in \mathfrak{t}^*} \mathfrak{g}^{\eta}$ . We set  $\Delta_\mathfrak{t} := \{ \sup p_\mathfrak{t} \mathfrak{g} \} \setminus \{ 0 \}$ . Note also that the  $\mathbb{R}$ -span of the roots of  $\mathfrak{h}$  in g fixes a real structure on  $\mathfrak{h}^*$ , whose projection onto  $\mathfrak{t}^*$  is a well-defined real structure on  $\mathfrak{t}^*$ . In what follows, we denote by  $Re\eta$  the real part of an element  $\eta \in \mathfrak{t}^*$ . We fix also a Borel subalgebra  $\mathfrak{b}_\mathfrak{t} \subseteq \mathfrak{t}$ with  $\mathfrak{b}_\mathfrak{t} \supseteq \mathfrak{t}$ . Then  $\mathfrak{b}_\mathfrak{t} = \mathfrak{t} \ni \mathfrak{n}_\mathfrak{t}$ , where  $\mathfrak{n}_\mathfrak{t}$  is the nilradical of  $\mathfrak{b}_\mathfrak{t}$ . We set  $\rho := \rho_{\mathrm{ch}\mathfrak{t}\mathfrak{n}_\mathfrak{t}$ . The quartet g,  $\mathfrak{t}$ ,  $\mathfrak{b}_\mathfrak{t}$ , t will be fixed throughout the paper. By W we denote the Weyl group of g.

As usual, we parametrize the characters of  $Z_{U(\mathfrak{g})}$  via the Harish-Chandra homomorphism. More precisely, if  $\mathfrak{b}$  is a given Borel subalgebra of  $\mathfrak{g}$  with  $\mathfrak{b} \supset \mathfrak{h}$  ( $\mathfrak{b}$  will be specified below), the  $Z_{U(\mathfrak{g})}$ -character corresponding to  $\zeta \in \mathfrak{h}^*$ via the Harish-Chandra homomorphism defined by  $\mathfrak{b}$  is denoted by  $\theta_{\zeta}$  ( $\theta_{\rho_{chu}\mathfrak{b}}$ 

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is the trivial  $Z_{\mathcal{U}(\mathfrak{g})}$ -character). Sometimes we consider a reductive subalgebra  $\mathfrak{l} \subset \mathfrak{g}$  instead of  $\mathfrak{g}$  and apply this convention to the characters of  $Z_{\mathcal{U}(\mathfrak{l})}$ . In this case we write  $\theta_{\zeta}^{\mathfrak{l}}$  for  $\zeta \in \mathfrak{h}_{\mathfrak{l}}^{*}$ , where  $\mathfrak{h}_{\mathfrak{l}}$  is a Cartan subalgebra of  $\mathfrak{l}$ .

By  $\langle \cdot \cdot \rangle$  we denote the unique g-invariant symmetric bilinear form on g<sup>\*</sup> such that  $\langle \alpha, \alpha \rangle = 2$  for any long root of g. The form  $\langle \cdot, \cdot \rangle$  enables us to identify g with g<sup>\*</sup>. Then  $\mathfrak{h}$  is identified with  $\mathfrak{h}^*$ , and  $\mathfrak{k}$  is identified with  $\mathfrak{k}^*$ . We sometimes consider  $\langle \cdot, \cdot \rangle$  as a form on g. The superscript  $\perp$  indicates orthogonal space. Note that there is a canonical  $\mathfrak{k}$ -module decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{k}^{\perp}$  and a canonical decomposition  $\mathfrak{h} = \mathfrak{t} \oplus \mathfrak{t}^{\perp}$  with  $\mathfrak{t}^{\perp} \subseteq \mathfrak{k}^{\perp}$ . We also set  $\| \zeta \|_{2}^{2} := \langle \zeta, \zeta \rangle$  for any  $\zeta \in \mathfrak{h}^*$ .

We say that an element  $\eta \in t^*$  is  $(\mathfrak{g}, \mathfrak{k})$ -regular if  $\langle \operatorname{Re}\eta, \sigma \rangle \neq 0$  for all  $\sigma \in \Delta_{\mathfrak{k}}$ . To any  $\eta \in t^*$  we associate the following parabolic subalgebra  $\mathfrak{p}_\eta$  of  $\mathfrak{g}$ :

$$\mathfrak{p}_{\eta} = \mathfrak{h} \oplus (\bigoplus_{\alpha \in \Delta_{\eta}} \mathfrak{g}^{\alpha}),$$

where  $\Delta_{\eta} := \{\alpha \in \Delta \mid \langle \operatorname{Re}\eta, \alpha \rangle \geq 0\}$ . By  $\mathfrak{m}_{\eta}$  and  $\mathfrak{n}_{\eta}$  we denote respectively the reductive part of  $\mathfrak{p}$  (containing  $\mathfrak{h}$ ) and the nilradical of  $\mathfrak{p}$ . In particular  $\mathfrak{p}_{\eta} = \mathfrak{m}_{\eta} \mathfrak{D}\mathfrak{n}_{\eta}$ , and if  $\eta$  is  $\mathfrak{b}_{\mathfrak{f}}$ -dominant, then  $\mathfrak{p}_{\eta} \cap \mathfrak{k} = \mathfrak{b}_{\mathfrak{f}}$ . We call  $\mathfrak{p}_{\eta}$  a *t*-compatible parabolic subalgebra. Note that

$$\mathfrak{p}_{\eta} = C(\mathfrak{t}) \oplus (\bigoplus_{\beta \in \varDelta_{\mathfrak{t},\eta}^{+}} \mathfrak{g}^{\beta})$$

where  $\Delta_{t,\eta}^+ := \{\beta \in \Delta_t | \langle \operatorname{Re}\eta, \beta \rangle \ge 0\}$ . Hence,  $\mathfrak{p}_\eta$  depends upon our choice of t and  $\eta$ , but not upon the choice of  $\mathfrak{h}$ .

A t-compatible parabolic subalgebra  $\mathfrak{p} = \mathfrak{m} \mathfrak{D}\mathfrak{n}$  (i.e.  $\mathfrak{p} = \mathfrak{p}_{\eta}$  for some  $\eta \in \mathfrak{t}^*$ ) is t-*minimal* (or simply *minimal*) if it does not properly contain another t-compatible parabolic subalgebra. It is an important observation that if  $\mathfrak{p} = \mathfrak{m}\mathfrak{D}\mathfrak{n}$  is minimal, then  $\mathfrak{t} \subseteq Z(\mathfrak{m})$ . In fact, a t-compatible parabolic subalgebra  $\mathfrak{p}$  is minimal if and only if  $\mathfrak{m}$  equals the centralizer  $C(\mathfrak{t})$  of  $\mathfrak{t}$  in  $\mathfrak{g}$ , or equivalently if and only if  $\mathfrak{p} = \mathfrak{p}_n$  for a  $(\mathfrak{g}, \mathfrak{t})$ -regular  $\eta \in \mathfrak{t}^*$ . In this case  $\mathfrak{n} \cap \mathfrak{t} = \mathfrak{n}_{\mathfrak{t}}$ .

Any t-compatible parabolic subalgebra  $\mathfrak{p} = \mathfrak{p}_{\eta}$  has a well-defined opposite parabolic subalgebra  $\bar{\mathfrak{p}} := \mathfrak{p}_{-\eta}$ ; clearly  $\mathfrak{p}$  is minimal if and only if  $\bar{\mathfrak{p}}$  is minimal.

A *t*-*type* is by definition a simple finite-dimensional *t*-module. By  $V(\mu)$  we denote a *t*-type with  $b_t$ -highest weight  $\mu$ . The weight  $\mu$  is then *t*-integral (or, equivalently,  $t_{ss}$ -integral) and  $b_t$ -dominant.

Let  $V(\mu)$  be a t-type such that  $\mu + 2\rho$  is  $(\mathfrak{g}, \mathfrak{f})$ -regular, and let  $\mathfrak{p} = \mathfrak{m} \ni \mathfrak{n}$  be the minimal compatible parabolic subalgebra  $\mathfrak{p}_{\mu+2\rho}$ . Put  $\tilde{\rho}_{\mathfrak{n}} := \rho_{ch_{\mathfrak{h}}\mathfrak{n}}$  and  $\rho_{\mathfrak{n}} := \rho_{ch_{\mathfrak{l}}\mathfrak{n}}$ . Clearly  $\rho_{\mathfrak{n}} = \tilde{\rho}_{\mathfrak{n}}|_{\mathfrak{t}}$ . We define  $V(\mu)$  to be *generic* if the following two conditions hold:

1.  $\langle \operatorname{Re}\mu + 2\rho - \rho_{\mathfrak{n}}, \alpha \rangle \ge 0 \ \forall \alpha \in \operatorname{supp}_{\dagger}\mathfrak{n}_{\mathfrak{k}};$ 

2.  $\langle \text{Re}\mu + 2\rho - \rho_S, \rho_S \rangle > 0$  for every submultiset *S* of ch<sub>t</sub>n.

It is easy to show that there exists a positive constant *C* depending only on g, t and p such that  $(\operatorname{Re}\mu + 2\rho, \alpha) > C$  for every  $\alpha \in \operatorname{supp}_t \mathfrak{n}$  implies  $\mathfrak{p}_{\mu+2\rho} = \mathfrak{p}$  and that  $V(\mu)$  is generic.

## 1.3 Generalities on g-modules

Suppose *M* is a g-module and I is a reductive subalgebra of g. *M* is *locally finite over*  $Z_{U(1)}$  if every vector in *M* generates a finite-dimensional  $Z_{U(1)}$ -module. Denote by  $\mathcal{M}(g, Z_{U(1)})$  the full subcategory of g-modules which are locally finite over  $Z_{U(1)}$ .

Suppose  $M \in \mathcal{M}(\mathfrak{g}, Z_{U(\mathfrak{l})})$  and  $\theta$  is a  $Z_{U(\mathfrak{l})}$ -character. Denote by  $P(\mathfrak{l}, \theta)(M)$  the generalized  $\theta$ -eigenspace of the restriction of M to  $\mathfrak{l}$ . The  $Z_{U(\mathfrak{l})}$ -spectrum of M is the set of characters  $\theta$  of  $Z_{U(\mathfrak{l})}$  such that  $P(\mathfrak{l}, \theta)(M) \neq 0$ . Denote the  $Z_{U(\mathfrak{l})}$  spectrum of M by  $\sigma(\mathfrak{l}, M)$ . We say that  $\theta$  is a *central character of*  $\mathfrak{l}$  *in* M if  $\theta \in \sigma(\mathfrak{l}, M)$ . The following is a standard fact.

**Lemma 1.1** If  $M \in \mathcal{M}(\mathfrak{g}, Z_{U(\mathfrak{l})})$ , then

$$M = \bigoplus_{\theta \in \sigma(\mathfrak{l}, M)} P(\mathfrak{l}, \theta)(M).$$

A g-module *M* is *locally Artinian over* l if for every vector  $v \in M$ ,  $U(l) \cdot v$  is an l-module of finite length.

**Lemma 1.2** If M is locally Artinian over I, then  $M \in \mathcal{M}(g, Z_{U(I)})$ .

*Proof* The statement follows from the fact that  $Z_{U(l)}$  acts via a character on any simple I–module.  $\Box$ 

If  $\mathfrak{p}$  is a parabolic subalgebra of  $\mathfrak{g}$ , by a  $(\mathfrak{g}, \mathfrak{p})$ -*module* M we mean a  $\mathfrak{g}$ -module M on which  $\mathfrak{p}$  acts locally finitely. By  $\mathcal{M}(\mathfrak{g}, \mathfrak{p})$  we denote the full subcategory of  $\mathfrak{g}$ -modules which are  $(\mathfrak{g}, \mathfrak{p})$ -modules.

In the remainder of this subsection we assume that  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{g}$  such that  $\mathfrak{h}_{\mathfrak{l}} := \mathfrak{h} \cap \mathfrak{l}$  is a Cartan subalgebra of  $\mathfrak{l}$ , and that  $\mathfrak{p}$  is a parabolic subalgebra of  $\mathfrak{g}$  such that  $\mathfrak{h} \subset \mathfrak{p}$  and  $\mathfrak{p} \cap \mathfrak{l}$  is a parabolic subalgebra of  $\mathfrak{l}$ . By M we denote a  $\mathfrak{g}$ -module from  $\mathcal{M}(\mathfrak{g}, \mathfrak{p})$ . Note that M is not necessarily semisimple as an  $\mathfrak{h}$ -module.

**Lemma 1.3** The set supp<sub>b</sub> M is independent of the choice of  $\mathfrak{h} \subseteq \mathfrak{p}$ , i.e. supp<sub>b</sub> M is equivariant with respect to inner automorphisms of  $\mathfrak{g}$  preserving  $\mathfrak{p}$ .

*Proof* As p acts locally finitely on *M*, the statement is an immediate consequence of the equivariance of the support (set of weights) of a finite-dimensional p-module.  $\Box$ 

**Proposition 1.4** *M is locally Artinian over* 1.

*Proof* We apply Proposition 7.6.1 in [Dix] to the pair  $(l, l \cap p)$ . In particular, if  $v \in M$ , then  $U(l) \cdot v$  has finite length as an l-module.  $\Box$ 

Corollary 1.5  $M \in \mathcal{M}(\mathfrak{g}, Z_{U(\mathfrak{l})})$ .

**Lemma 1.6**  $\sigma(\mathfrak{l}, M) \subseteq \{\theta_{(\eta|_{\mathfrak{h}})+\rho_{\mathfrak{l}}}^{\mathfrak{l}} \mid \eta \in \operatorname{supp}_{\mathfrak{h}} M\}.$ 

*Proof* The simple I–subquotients of *M* are  $(I, I \cap \mathfrak{p})$ –modules, and our claim follows the well-known relationship between the highest weight of a highest weight module and its central character.  $\Box$ 

Let *N* be a  $\mathfrak{g}$ -module, and let  $\mathfrak{g}[N]$  be the set of elements  $x \in \mathfrak{g}$  that act locally finitely in *N*. Then  $\mathfrak{g}[N]$  is a Lie subalgebra of  $\mathfrak{g}$ , the *Fernando-Kac* subalgebra associated to *N*. The fact has been proved independently by V. Kac in [K] and by S. Fernando in [F].

**Theorem 1.7** Let  $M_1$  be a non-zero subquotient of M. Assume that  $\eta|_{\mathfrak{h}_1}$  is non-integral relative to  $\mathfrak{l}$  for all  $\eta \in \operatorname{supp}_{\mathfrak{h}} M$ . Then  $\mathfrak{l} \not\subseteq \mathfrak{g}[M_1]$ .

*Proof* By Lemma 1.6, no central character of l in  $M_1$  is l-integral. Therefore, no non-zero l-submodule of  $M_1$  is finite dimensional. But  $M_1 \neq 0$ . Hence,  $l \not\subseteq g[M_1]$ .  $\Box$ 

In agreement with [PZ2], we define a g-module *M* to be a (g,  $\mathfrak{f}$ )-*module* if *M* is isomorphic as a  $\mathfrak{f}$ -module to a direct sum of isotypic components of  $\mathfrak{f}$ -types. If *M* is a (g,  $\mathfrak{f}$ )-module, we write  $M[\mu]$  for the  $V(\mu)$ -isotypic component of *M*, and we say that  $V(\mu)$  is a  $\mathfrak{f}$ -type of *M* if  $M[\mu] \neq 0$ . We say that a (g,  $\mathfrak{f}$ )-module *M* is of finite type if dim  $M[\mu] \neq \infty$  for every  $\mathfrak{f}$ -type  $V(\mu)$  of *M*. Sometimes, we also refer to (g,  $\mathfrak{f}$ )-modules of finite type as generalized Harish-Chandra modules.

Note that for any  $(\mathfrak{g}, \mathfrak{k})$ -module of finite type M and any  $\mathfrak{k}$ -type  $V(\sigma)$  of M, the finite-dimensional  $\mathfrak{k}$ -module  $M[\sigma]$  is a  $\mathfrak{k}$ -module for  $\mathfrak{k} = \mathfrak{k} + C(\mathfrak{k})$ . In particular, M is a  $(\mathfrak{g}, \mathfrak{k})$ -module of finite type. We will write  $M\langle \delta \rangle$  for the  $\mathfrak{k}$ -isotypic components of M where  $\delta \in (\mathfrak{h} \cap \mathfrak{k})^*$ .

If *M* is a module of finite length, a t-type  $V(\mu)$  of *M* is *minimal* if the function  $\mu' \mapsto || \operatorname{Re} \mu' + 2\rho ||^2$  defined on the set  $\{\mu' \in t^* \mid M[\mu'] \neq 0\}$  has a minimum at  $\mu$ . Any non-zero (g, t)-module *M* of finite length has a minimal t-type.

### 1.4 Generalities on the Zuckerman functor

Recall that the *functor of*  $\mathfrak{t}$ *-finite vectors*  $\Gamma_{\mathfrak{g},\mathfrak{t}}^{\mathfrak{g},\mathfrak{t}}$  is a well-defined left-exact functor on the category of ( $\mathfrak{g},\mathfrak{t}$ )-modules with values in ( $\mathfrak{g},\mathfrak{t}$ )-modules,

$$\Gamma_{\mathfrak{g},\mathfrak{k}}^{\mathfrak{g},\mathfrak{k}}(M) := \sum_{M' \subset M, \dim M' = 1, \dim U(\mathfrak{k}) \cdot M' < \infty} M'$$

By  $R \cdot \Gamma_{\mathfrak{g},\mathfrak{f}}^{\mathfrak{g},\mathfrak{f}} := \bigoplus_{i \ge 0} R^i \Gamma_{\mathfrak{g},\mathfrak{f}}^{\mathfrak{g},\mathfrak{f}}$  we denote as usual the total right derived functor of  $\Gamma_{\mathfrak{g},\mathfrak{f}}^{\mathfrak{g},\mathfrak{f}}$  see [Z] and the references therein.

**Proposition 1.8** *If* I *is any reductive subalgebra of* g *containing* t*, then there is a natural isomorphism of* I*-modules* 

$$R^{\cdot}\Gamma^{9,t}_{\alpha,\mathfrak{k}}(N) \cong R^{\cdot}\Gamma^{\mathfrak{l},t}_{\mathfrak{l}\mathfrak{k}}(N).$$
(1)

*Proof* See Proposition 2.5 in [PZ4]. □

**Proposition 1.9** If  $\tilde{N} \in \mathcal{M}(\mathfrak{l},\mathfrak{t},Z_{U(\mathfrak{l})}) := \mathcal{M}(\mathfrak{l},Z_{U(\mathfrak{l})}) \cap \mathcal{M}(\mathfrak{l},\mathfrak{t})$ , then

 $R^{\cdot}\Gamma_{\mathfrak{l},\mathfrak{k}}^{\mathfrak{l},\mathfrak{t}}(\tilde{N})\in\mathcal{M}(\mathfrak{l},\mathfrak{k},Z_{U(\mathfrak{l})}).$ 

Moreover,

$$\sigma(\mathfrak{l}, R^{\cdot}\Gamma_{\mathfrak{l}\mathfrak{k}}^{\mathfrak{l},\mathfrak{t}}(\tilde{N})) \subset \sigma(\mathfrak{l}, \tilde{N}).$$

*Proof* See Proposition 2.12 and Corollary 2.8 in [Z]. □

**Corollary 1.10** If  $N \in \mathcal{M}(\mathfrak{g}, \mathfrak{t}, Z_{U(\mathfrak{l})}) := \mathcal{M}(\mathfrak{g}, Z_{U(\mathfrak{l})}) \cap \mathcal{M}(\mathfrak{g}, \mathfrak{t})$ , then

$$R^{\cdot}\Gamma_{\mathfrak{g},\mathfrak{k}}^{\mathfrak{g},\mathfrak{k}}(N)\in\mathcal{M}(\mathfrak{g},\mathfrak{k},Z_{U(\mathfrak{l})}).$$

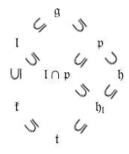
Moreover,

 $\sigma(\mathfrak{l}, R^{\cdot}\Gamma^{\mathfrak{g},\mathfrak{t}}_{\mathfrak{q},\mathfrak{t}}(N)) \subseteq \sigma(\mathfrak{l}, N).$ 

*Proof* Apply Propositions 1.8 and 1.9. □

Note that the isomorphism (1) enables us to write simply  $\Gamma_{t,t}$  instead of  $\Gamma_{g,t}^{g,t}$ .

For  $g \supseteq I \supseteq t \supseteq t$  as above, let  $\mathfrak{p}$  be a t-compatible parabolic subalgebra of  $\mathfrak{g}$ . Then  $I \cap \mathfrak{p}$  is a t-compatible parabolic subalgebra of  $\mathfrak{l}$ . Let  $\mathfrak{h}_{\mathfrak{l}} \subset I \cap \mathfrak{p}$  be a Cartan subalgebra of  $\mathfrak{l}$  containing  $\mathfrak{t}$ , and let  $\mathfrak{h} \subset \mathfrak{p}$  be a Cartan subalgebra of  $\mathfrak{g}$  such that  $\mathfrak{h}_{\mathfrak{l}} = \mathfrak{h} \cap \mathfrak{l}$ . We have the following diagram of subalgebras:



In this setup we have the following result.

**Theorem 1.11** Suppose  $N \in \mathcal{M}(\mathfrak{g}, \mathfrak{p}) \cap \mathcal{M}(\mathfrak{g}, \mathfrak{t})$ , M is a non-zero subquotient of  $R^{\cdot}\Gamma_{\mathfrak{t},\mathfrak{t}}(N)$  and  $\eta|_{\mathfrak{h}_{\mathfrak{l}}}$  is not  $\mathfrak{l}$ -integral for all  $\eta \in \operatorname{supp}_{\mathfrak{h}} N$ . Then  $\mathfrak{l} \not\subseteq \mathfrak{g}[M]$ .

*Proof* Every central character of I in *M* is a central character of I in *N*. This follows from Corollary 2.8 in [Z]. By our assumptions, no central character of I in *N* is I–integral. Hence, no I–submodule of *M* is finite dimensional, and thus  $I \nsubseteq g[M]$ .  $\Box$ 

## 2 The fundamental series: main results

We now introduce one of our main objects of study: the fundamental series of generalized Harish-Chandra modules.

We start by fixing some more notation: if  $\mathfrak{q}$  is a subalgebra of  $\mathfrak{g}$  and J is a  $\mathfrak{q}$ -module, we set  $\operatorname{ind}_{\mathfrak{q}}^{\mathfrak{g}}J := U(\mathfrak{g}) \otimes_{U(\mathfrak{q})} J$  and  $\operatorname{pro}_{\mathfrak{q}}^{\mathfrak{g}}J := \operatorname{Hom}_{U(\mathfrak{q})}(U(\mathfrak{g}), J)$ . For a finite-dimensional  $\mathfrak{p}$ - or  $\overline{\mathfrak{p}}$ -module E we set  $N_{\mathfrak{p}}(E) := \Gamma_{\mathfrak{t},0}(\operatorname{pro}_{\mathfrak{p}}^{\mathfrak{g}}(E \otimes \Lambda^{\dim \mathfrak{n}}(\mathfrak{n})))$ ,  $N_{\overline{\mathfrak{p}}}(E^*) := \Gamma_{\mathfrak{t},0}(\operatorname{pro}_{\overline{\mathfrak{p}}}^{\mathfrak{g}}(E^* \otimes \Lambda^{\dim \mathfrak{n}}(\mathfrak{n}^*)))$ . One can show that both  $N_{\mathfrak{p}}(E)$  and  $N_{\overline{\mathfrak{p}}}(E^*)$ have simple socles as long as E itself is simple.

The *fundamental series* of ( $\mathfrak{g}$ ,  $\mathfrak{f}$ )-modules of finite type  $F(\mathfrak{k}, \mathfrak{p}, E)$  is defined as follows. Let  $\mathfrak{p} = \mathfrak{m} \mathfrak{D} \mathfrak{n}$  be a minimal compatible parabolic subalgebra (recall that  $\mathfrak{m} = C(\mathfrak{t})$ ), E be a simple finite-dimensional  $\mathfrak{p}$ -module on which  $\mathfrak{t}$  acts via a fixed weight  $\omega \in \mathfrak{t}^*$ , and  $\mu := \omega + 2\rho_{\mathfrak{n}}^{\perp}$  where  $\rho_{\mathfrak{n}}^{\perp} := \rho_{\mathfrak{n}} - \rho$ . Set

$$F^{\cdot}(\mathfrak{t},\mathfrak{p},E) := R^{\cdot}\Gamma_{\mathfrak{t},\mathfrak{t}}(N_{\mathfrak{p}}(E)).$$

In the rest of the paper we assume that  $\mathfrak{h} \cap \tilde{\mathfrak{t}}$  is a Cartan subalgebra of  $\tilde{\mathfrak{t}}$ .

- **Theorem 2.1** *a)*  $F(\mathfrak{t}, \mathfrak{p}, E)$  *is a* ( $\mathfrak{g}, \mathfrak{t}$ )*-module of finite type and*  $Z_{U(\mathfrak{g})}$  *acts on*  $F(\mathfrak{p}, E)$  *via the*  $Z_{U(\mathfrak{g})}$ *-character*  $\theta_{\nu+\tilde{\rho}}$  *where*  $\tilde{\rho} := \rho_{ch_b \mathfrak{b}}$  *for some Borel subalgebra*  $\mathfrak{b}$  *of*  $\mathfrak{g}$  *with*  $\mathfrak{b} \supset \mathfrak{h}$ ,  $\mathfrak{b} \subset \mathfrak{p}$  *and*  $\mathfrak{b} \cap \mathfrak{t} = \mathfrak{b}_{\mathfrak{t}}$ *, and where*  $\nu$  *is the*  $\mathfrak{b}$ *-highest weight of* E (*note that*  $\nu|_{\mathfrak{t}} = \omega$ ).
- *b)*  $F(\mathfrak{t},\mathfrak{p},E)$  *is a* ( $\mathfrak{g},\mathfrak{t}$ )*-module of finite length.*
- c) There is a canonical isomorphism

$$F(\mathfrak{t},\mathfrak{p},E) \simeq R^{\Gamma}\Gamma_{\mathfrak{t},\mathfrak{f}\cap\mathfrak{m}}(\Gamma_{\mathfrak{f}\cap\mathfrak{m},0}(\operatorname{pro}_{\mathfrak{p}}^{\mathfrak{g}}(E\otimes\Lambda^{\dim\mathfrak{n}}(\mathfrak{n})))).$$
(2)

*Proof* Part a) is a recollection of Theorem 2, a) in [PZ2]. Part b) is a recollection of Theorem 2.5 in [PZ5]. Part c) follows from the comparison principle (Proposition 2.6) in [PZ4]. □

**Corollary 2.2**  $F(\mathfrak{t},\mathfrak{p},E)$  is a  $(\mathfrak{g},\tilde{\mathfrak{t}})$ -module of finite type.

*Proof* As we observed in subsection 1.3, every  $(g, \mathfrak{k})$ -module of finite type is a  $(g, \tilde{\mathfrak{k}})$ -module of finite type.  $\Box$ 

**Corollary 2.3** Let  $\mathfrak{t}_1$  and  $\mathfrak{t}_2$  be two algebraic reductive in g subalgebras such that  $\tilde{\mathfrak{t}}_1 = \tilde{\mathfrak{t}}_2$ . Suppose that  $\mathfrak{p}$  is a parabolic subalgebra which is both  $\mathfrak{t}_1$ - and  $\mathfrak{t}_2$ -compatible

and  $t_1$ - and  $t_2$ -minimal for some Cartan subalgebras  $t_1$  of  $t_1$  and  $t_2$  of  $t_2$ . Then there exists a canonical isomorphism

$$F(\mathfrak{t}_1,\mathfrak{p},E)\simeq F(\mathfrak{t}_2,\mathfrak{p},E)$$

*Proof* Consider the isomorphism (2) for  $\mathfrak{k}_1$  and  $\mathfrak{k}_2$ , and notice that

$$R^{\cdot}\Gamma_{\tilde{\mathfrak{t}},\tilde{\mathfrak{t}}\cap\mathfrak{m}}(\Gamma_{\tilde{\mathfrak{t}}\cap\mathfrak{m},0}(\operatorname{pro}_{\mathfrak{p}}^{\mathfrak{g}}(E\otimes\Lambda^{\dim\mathfrak{n}}(\mathfrak{n}))))$$

depends only on  $\tilde{\mathfrak{t}}$  and  $\mathfrak{p}$  but not on  $\mathfrak{t}_1$  and  $\mathfrak{t}_2$ .  $\Box$ 

**Corollary 2.4** *Let* M *be any non-zero subquotient of*  $F(\mathfrak{t}, \mathfrak{p}, E)$ *. If the*  $\mathfrak{b}$ *-highest weight*  $v \in \mathfrak{h}^*$  *of* E *is non-integral after restriction to*  $\mathfrak{h} \cap \mathfrak{l}$  *for any reductive subalgebra*  $\mathfrak{l}$  *of*  $\mathfrak{g}$  *such that*  $\mathfrak{l} \supset \tilde{\mathfrak{t}}$ *, then*  $\tilde{\mathfrak{t}}$  *is a maximal reductive subalgebra of*  $\mathfrak{g}[M]$ *.* 

*Proof* Corollary 2.2 shows that  $\tilde{\mathfrak{t}} \subseteq \mathfrak{g}[M]$ . Theorem 1.11 shows that if  $\mathfrak{l}$  is a reductive subalgebra of  $\mathfrak{g}$  such that  $\mathfrak{l}$  is strictly larger than  $\tilde{\mathfrak{t}}$ , then  $\mathfrak{l} \not\subseteq \mathfrak{g}[M]$ . The assumption on  $\nu$  implies that all weights in  $\mathfrak{supp}_{\mathfrak{h}\cap\mathfrak{l}}(N_{\mathfrak{p}}(E))$  are non-integral with respect to  $\mathfrak{l}$ .  $\Box$ 

#### Example

Here is an example to Corollary 2.4. Let  $\mathfrak{g} = F_4$ ,  $\mathfrak{t} \simeq \mathfrak{so}(3) \oplus \mathfrak{so}(6)$ . Then  $\mathfrak{t} = \tilde{\mathfrak{t}}$ . By inspection, there is only one proper intermediate subalgebra I,  $\tilde{\mathfrak{t}} \subset \mathfrak{l} \subset \mathfrak{g}$ , and I is isomorphic to  $\mathfrak{so}(9)$ . We have  $\mathfrak{t} = \mathfrak{h}$ , and  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$ ,  $\varepsilon_4$  is a standard basis of  $\mathfrak{h}^*$ , see [Bou]. A weight  $\nu = \sum_{i=1}^4 m_i \varepsilon_i$  is  $\mathfrak{t}$ -integral iff  $m_1 \in \mathbb{Z}$  or  $m_1 \in \mathbb{Z} + \frac{1}{2}$ , and  $(m_2, m_3, m_4) \in \mathbb{Z}^3$  or  $(m_2, m_3, m_4) \in \mathbb{Z}^3 + (\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ . On the other hand,  $\nu$  is I–integral if  $(m_1, m_2, m_3, m_4) \in \mathbb{Z}^4$  or  $(m_1, m_2, m_3, m_4) \in \mathbb{Z}^4 + (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ . So if the b–highest weight  $\nu$  of E is not I–integral, Corollary 2.4 implies that  $\mathfrak{g}[M] = \tilde{\mathfrak{t}}$  for any simple subquotient M of  $F(\mathfrak{t}, \mathfrak{p}, E)$ .

#### Remark

- a) In [PZ1] another method, based on the notion of a small subalgebra introduced by Willenbring and Zuckerman in [WZ], for computing maximal reductive subalgebras of the Fernando-Kac subalgebras associated to simple subquotients of  $F(\mathfrak{t}, \mathfrak{p}, E)$  is suggested. Note that the subalgebra  $\mathfrak{t} \simeq \mathfrak{so}(3) \oplus \mathfrak{so}(6)$  of  $F_4$  considered in the above example is not small in  $\mathfrak{so}(9)$ , so the above conclusion that  $\mathfrak{g}[M] = \mathfrak{t}$  does not follow from [PZ1]. On the other hand, if one replaces  $\mathfrak{t}$  in the example by  $\mathfrak{t}' \simeq \mathfrak{so}(5) \oplus \mathfrak{so}(4)$ , then a conclusion similar to that of the example can be reached both by the method of [PZ1] and by Corollary 2.4.
- b) There are pairs ( $\mathfrak{g}$ ,  $\mathfrak{k}$ ) to which neither the method of [PZ1] nor Corollary 2.4 apply. Such an example is a pair ( $\mathfrak{g} = F_4$ ,  $\mathfrak{k} \simeq \mathfrak{so}(8)$ ). The only proper

intermediate subalgebra in this case is  $l \simeq \mathfrak{so}(9)$ ; however  $\mathfrak{so}(8)$  is not small in  $\mathfrak{so}(9)$  and any  $\mathfrak{t} = \tilde{\mathfrak{t}}$ -integrable weight is also l-integrable.

If *M* is a (g,  $\mathfrak{t}$ )-module of finite type, then  $\Gamma_{\mathfrak{t},0}(M^*)$  is a well-defined (g,  $\mathfrak{t}$ )module of finite type and  $\Gamma_{\mathfrak{t},0}(\cdot^*)$  is an involution on the category of (g,  $\mathfrak{t}$ )modules of finite type. We put  $\Gamma_{\mathfrak{t},0}(M^*) := M^*_{\mathfrak{t}}$ . There is an obvious g-invariant non-degenerate pairing  $M \times M^*_{\mathfrak{t}} \to \mathbb{C}$ .

The following five statements are recollections of the main results of [PZ2] (Theorem 2 through Corollary 4 in [PZ2]).

**Theorem 2.5** Assume that  $V(\mu)$  is a generic t-type and that  $\mathfrak{p} = \mathfrak{p}_{\mu+2\rho}$  ( $\mu$  is necessarily  $\mathfrak{b}_{\mathfrak{t}}$ -dominant and t-integral).

- a)  $F^i(\mathfrak{k},\mathfrak{p},E) = 0$  for  $i \neq s := \dim \mathfrak{n}_{\mathfrak{k}}$ .
- b) There is a t-module isomorphism

$$F^{s}(\mathfrak{t},\mathfrak{p},E)[\mu] \cong \mathbb{C}^{\dim E} \otimes V(\mu),$$

and  $V(\mu)$  is the unique minimal t-type of  $F^{s}(t, \mathfrak{p}, E)$ .

- c) Let  $\bar{F}^{s}(\mathfrak{t},\mathfrak{p},E)$  be the g-submodule of  $F^{s}(\mathfrak{t},\mathfrak{p},E)$  generated by  $F^{s}(\mathfrak{t},\mathfrak{p},E)[\mu]$ . Then  $\bar{F}^{s}(\mathfrak{t},\mathfrak{p},E)$  is simple and  $\bar{F}^{s}(\mathfrak{t},\mathfrak{p},E) = \operatorname{Soc}F^{s}(\mathfrak{t},\mathfrak{p},E)$ . Moreover,  $F^{s}(\mathfrak{t},\mathfrak{p},E)$ is cogenerated by  $F^{s}(\mathfrak{t},\mathfrak{p},E)[\mu]$ . This implies that  $F^{s}(\mathfrak{t},\mathfrak{p},E)^{*}_{\mathfrak{t}}$  is generated by  $F^{s}(\mathfrak{t},\mathfrak{p},E)^{*}_{\mathfrak{t}}[w_{m}(-\mu)]$ , where  $w_{m} \in W_{\mathfrak{t}}$  is the element of maximal length in the Weyl group  $W_{\mathfrak{t}}$  of  $\mathfrak{t}$ .
- d) For any non-zero g-submodule M of F<sup>s</sup>(t, p, E) there is an isomorphism of mmodules

 $H^r(\mathfrak{n}, M)^\omega \cong E,$ 

where  $r := \dim(\mathfrak{n} \cap \mathfrak{k}^{\perp})$ .

**Theorem 2.6** Let M be a simple  $(\mathfrak{g}, \mathfrak{t})$ -module of finite type with minimal  $\mathfrak{t}$ -type  $V(\mu)$  which is generic. Then  $\mathfrak{p} := \mathfrak{p}_{\mu+2\rho} = \mathfrak{m} \oplus \mathfrak{n}$  is a minimal compatible parabolic subalgebra. Let  $\omega := \mu - 2\rho_n^{\perp}$  (recall that  $\rho_n^{\perp} = \rho_{ch_t(\mathfrak{n} \cap \mathfrak{t}^{\perp})}$ ), and let E be the  $\mathfrak{p}$ -module  $H^r(\mathfrak{n}, M)^{\omega}$  with trivial  $\mathfrak{n}$ -action, where  $r = \dim(\mathfrak{n} \cap \mathfrak{t}^{\perp})$ . Then E is a simple  $\mathfrak{p}$ -module, the pair  $(\mathfrak{p}, E)$  satisfies the hypotheses of Theorem 2.5, and M is canonically isomorphic to  $\overline{F}^s(\mathfrak{p}, E)$  for  $s = \dim(\mathfrak{n} \cap \mathfrak{t})$ .

**Corollary 2.7** (Generic version of a theorem of Harish-Chandra). There exist at most finitely many simple  $(\mathfrak{g}, \mathfrak{k})$ -modules M of finite type with a fixed  $Z_{U(\mathfrak{g})}$ -character such that a minimal  $\mathfrak{k}$ -type of M is generic. (Moreover, each such M has a unique minimal  $\mathfrak{k}$ -type by Theorem 2.5 b).)

*Proof* By Theorems 2.1 a) and 2.6, if *M* is a simple ( $\mathfrak{g}, \mathfrak{f}$ )-module of finite type with generic minimal  $\mathfrak{f}$ -type  $V(\mu)$  for some  $\mu$ , then the  $Z_{U(\mathfrak{g})}$ -character of *M* is  $\theta_{\nu+\tilde{\rho}}$ . There are finitely many Borel subalgebras  $\mathfrak{b}$  as in Theorem 2.1 a); thus, if  $\theta_{\nu+\tilde{\rho}}$  is fixed, there are finitely many possibilities for the weight  $\nu$  (as  $\theta_{\nu+\tilde{\rho}}$  determines  $\nu + \tilde{\rho}$  up to a finite choice). Hence, up to isomorphism,

there are finitely many possibilities for the p-module *E*, and consequently, up to isomorphism, there are finitely many possibilities for *M*.  $\Box$ 

**Theorem 2.8** Assume that the pair  $(g, \mathfrak{k})$  is regular, i.e. t contains a regular element of g. Let M be a simple  $(g, \mathfrak{k})$ -module (a priori of infinite type) with a minimal  $\mathfrak{k}$ -type  $V(\mu)$  which is generic. Then M has finite type, and hence by Theorem 2.6, M is canonically isomorphic to  $\overline{F}^{s}(\mathfrak{p}, E)$  (where  $\mathfrak{p}, E$  and s are as in Theorem 2.6).

**Corollary 2.9** *Let the pair* (g, f) *be regular.* 

- a) There exist at most finitely many simple (g, ℓ)-modules M with a fixed Z<sub>U(g)</sub>-character, such that a minimal ℓ-type of M is generic. All such M are of finite type (and have a unique minimal ℓ-type by Theorem 2.5 b)).
- *b)* (*Generic version of Harish-Chandra's admissibility theorem*). Every simple (g, t)–module with a generic minimal t–type has finite type.

*Proof* The proof of a) is as the proof of Corollary 2.7 but uses Theorem 2.8 instead of Theorem 2.6, and b) is a direct consequence of Theorem 2.8.  $\Box$ 

The following statement follows from Corollary 2.4 and Theorem 2.6.

**Corollary 2.10** Let M be as in Theorem 2.6. If the  $\mathfrak{b}$ -highest weight of E is not 1-integral for any reductive subalgebra 1 with  $\tilde{\mathfrak{t}} \subset 1 \subseteq \mathfrak{g}$ , then  $\tilde{\mathfrak{t}}$  is a maximal reductive subalgebra of  $\mathfrak{g}[M]$ .

**Definition 2.11** Let  $\mathfrak{p} \supset \mathfrak{b}_{\mathfrak{t}}$  be a minimal  $\mathfrak{t}$ -compatible parabolic subalgebra and let *E* be a simple finite dimensional  $\mathfrak{p}$ -module on which  $\mathfrak{t}$  acts by  $\omega$ . We say that the pair ( $\mathfrak{p}, E$ ) is allowable if  $\mu = \omega + 2\rho_{\mathfrak{n}}^{\perp}$  is dominant integral for  $\mathfrak{t}, \mathfrak{p}_{\mu+2\rho} = \mathfrak{p}$ , and  $V(\mu)$  is generic.

Theorem 2.6 provides a classification of simple  $(\mathfrak{g}, \mathfrak{k})$ -modules with generic minimal  $\mathfrak{k}$ -type in terms of allowable pairs. Note that for any minimal  $\mathfrak{k}$ -compatible parabolic subalgebra  $\mathfrak{p} \supset \mathfrak{b}_{\mathfrak{k}}$ , there exists a  $\mathfrak{p}$ -module *E* such that  $(\mathfrak{p}, E)$  is allowable.

## 3 The case $f \simeq \mathfrak{sl}(2)$

Let  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ . In this case there is only one minimal t-compatible parabolic subalgebra  $\mathfrak{p} = \mathfrak{m} \mathfrak{D}\mathfrak{n}$  of  $\mathfrak{g}$  which contains  $\mathfrak{b}_{\mathfrak{l}}$ . Furthermore, we can identify the elements of  $\mathfrak{t}^*$  with complex numbers, and the  $\mathfrak{b}_{\mathfrak{l}}$ -dominant integral weights of t in  $\mathfrak{n} \cap \mathfrak{t}^{\perp}$  with non-negative integers. It is shown in [PZ2] that in this case the genericity assumption on a  $\mathfrak{t}$ -type  $V(\mu)$ ,  $\mu \ge 0$ , amounts to the condition  $\mu \ge \Gamma := \tilde{\rho}(h) - 1$  where  $h \in \mathfrak{h}$  is the semisimple element in a standard basis e, h, f of  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ .

In our work [PZ5] we have proved a different sufficient condition for the main results of [PZ2] to hold when  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ . Let  $\lambda_1$  and  $\lambda_2$  be the maximum

and submaximum weights of t in  $\mathfrak{n} \cap \mathfrak{k}^{\perp}$  (if  $\lambda_1$  has multiplicity at least two in  $\mathfrak{n} \cap \mathfrak{k}^{\perp}$ , then  $\lambda_2 = \lambda_1$ ; if dim  $\mathfrak{n} \cap \mathfrak{k}^{\perp} = 1$ , then  $\lambda_2 = 0$ ). Set  $\Lambda := \frac{\lambda_1 + \lambda_2}{2}$ .

**Theorem 3.1** If  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ , all statements of section 2 from Theorem 2.5 through Corollary 2.9 hold if we replace the assumption that  $\mu$  is generic by the assumption  $\mu \ge \Lambda$ . As a consequence, the isomorphism classes of simple  $(\mathfrak{g}, \mathfrak{t})$ -modules whose minimal  $\mathfrak{t}$ -type is  $V(\mu)$  with  $\mu \ge \Lambda$  are parameterized by the isomorphism classes of simple  $\mathfrak{p}$ -modules E on which  $\mathfrak{t}$  acts via  $\mu - 2\rho_{\mathfrak{n}}^1$ .

The  $\mathfrak{sl}(2)$ -subalgebras of a simple Lie algebra are classified (up to conjugation) by Dynkin in [D]. We will now illustrate the computation of the bound  $\Lambda$  as well as the genericity condition on  $\mu$  in examples.

We first consider three types of  $\mathfrak{sl}(2)$ -subalgebras of a simple Lie algebra: long root- $\mathfrak{sl}(2)$ , short root- $\mathfrak{sl}(2)$  and principal  $\mathfrak{sl}(2)$  (of course, there are short roots only for the series *B*, *C* and for *G*<sub>2</sub> and *F*<sub>4</sub>). We compare the bounds  $\Lambda$ and  $\Gamma$  in the following table.

	long root	short root	principal
	$\Gamma=n-1\geq 1=\Lambda$		$\Gamma = \frac{n(n+1)(n+2)}{6} - 1 \ge 2n - 1 = \Lambda$
			$\Gamma = \frac{n(n+1)(4n-1)}{6} - 1 > 4n - 3 = \Lambda$
$C_n, n \ge 3$	$\Gamma=n-1>1=\Lambda$	$\Gamma=2n-2>2=\Lambda$	$\Gamma = \frac{n(n+1)(2n+1)}{3} - 1 > 4n - 3 = \Lambda$
$D_n, n \ge 4$	$\Gamma=2n-4>1=\Lambda$	not applicable	$\Gamma = \frac{2(n-1)n(n+1)}{3} - 1 > 4n - 7 = \Lambda$
$E_6$	$\Gamma = 10 > 1 = \Lambda$	not applicable	$\Gamma = 155 > 21 = \Lambda$
$E_7$	$\Gamma = 16 > 1 = \Lambda$	not applicable	$\Gamma = 398 > 33 = \Lambda$
$E_8$	$\Gamma = 28 > 1 = \Lambda$	not applicable	$\Gamma = 1239 > 57 = \Lambda$
$F_4$	$\Gamma = 7 > 1 = \Lambda$	$\Gamma = 10 > 2 = \Lambda$	$\Gamma = 109 > 21 = \Lambda$
<i>G</i> <sub>2</sub>	$\Gamma = 2 > 1 = \Lambda$	$\Gamma = 4 > 3 = \Lambda$	$\Gamma = 15 > 9 = \Lambda$

#### Table A

Let's discuss the case  $g = F_4$  in more detail. Recall that the *Dynkin* index of a semisimple subalgebra  $\mathfrak{s} \subset \mathfrak{g}$  is the quotient of the normalized  $\mathfrak{g}$ -invariant summetic bilinear form on  $\mathfrak{g}$  restricted to  $\mathfrak{s}$  and the normalized  $\mathfrak{s}$ -invariant symmetric bilinear form on  $\mathfrak{s}$ , where for both  $\mathfrak{g}$ and  $\mathfrak{s}$  the square length of a long root equals 2. According to Dynkin [D], the conjugacy class of an  $\mathfrak{sl}(2)$ -subalgebra  $\mathfrak{t}$  of  $F_4$  is determined by the Dynkin index of  $\mathfrak{t}$  in  $F_4$ . Moreover, for  $\mathfrak{g} = F_4$  the following integers are Dynkin indices of  $\mathfrak{sl}(2)$ -subalgebras: 1(long root), 2(short root), 3,4,6,8,9,10,11,12,28,35,36,60,156. The bounds  $\Lambda$  and  $\Gamma$  are given in the following table.

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Dynkin index	1	2	3	
	$\Gamma = 7 > 1 = \Lambda$	$\Gamma = 10 > 2 = \Lambda$	$\Gamma = 14 > 3 = \Lambda$	
Dynkin index	4	6	8	
	$\Gamma = 15 > 3 = \Lambda$	$\Gamma = 16 > 4 = \Lambda$	$\Gamma = 17 > 4 = \Lambda$	
Dynkin index	9	10	11	
	$\Gamma = 25 > 5 = \Lambda$	$\Gamma = 26 > 5 = \Lambda$	$\Gamma = 28 > 6 = \Lambda$	
Dynkin index	12	28	35	
	$\Gamma = 29 > 6 = \Lambda$	$\Gamma = 45 > 9 = \Lambda$	$\Gamma = 50 > 10 = \Lambda$	
Dynkin index	36	60	156	
	$\Gamma=51>10=\Lambda$	$\Gamma=67>13=\Lambda$	$\Gamma = 109 > 21 = \Lambda$	

#### Table B

We conclude this section by recalling a conjecture from [PZ5]. Let  $C_{\bar{p},t,n}$  denote the full subcategory of  $\mathfrak{g}$ -mod consisting of finite-length modules with simple subquotients which are  $\bar{\mathfrak{p}}$ -locally finite ( $\mathfrak{g},\mathfrak{t}$ )-modules N whose t-weight spaces  $N^{\beta}$ ,  $\beta \in \mathbb{Z}$ , satisfy  $\beta \ge n$ . Let  $C_{\mathfrak{t},n}$  be the full subcategory of  $\mathfrak{g}$ -mod consisting of finite length modules whose simple subquotients are ( $\mathfrak{g},\mathfrak{t}$ )-modules with minimal  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ -type  $V(\mu)$  for  $\mu \ge n$ . We show in [PZ5] that the functor  $R^1\Gamma_{\mathfrak{t},\mathfrak{t}}$  is a well-defined fully faithful functor from  $C_{\mathfrak{p},\mathfrak{t},n+2}$  to  $C_{\mathfrak{t},n}$  for  $n \ge 0$ . Moreover, we make the following conjecture.

**Conjecture 3.2** Let  $n \ge \Lambda$ . Then  $R^1\Gamma_{\mathfrak{t},\mathfrak{t}}$  is an equivalence between the categories  $C_{\mathfrak{p},\mathfrak{t},n+2}$  and  $C_{\mathfrak{t},n}$ .

We have proof of this conjecture for  $g \simeq \mathfrak{sl}(2)$  and, jointly with V. Serganova, for  $g \simeq \mathfrak{sl}(3)$ .

## 4 Eligible subalgebras

In what follows we adopt the following terminology. A *root subalgebra* of g is a subalgebra which contains a Cartan subalgebra of g. An *r-subalgebra* of g is a subalgebra I whose root spaces (with respect to a Cartan subalgebra of I) are root spaces of g. The notion of *r*-subalgebra goes back to [D]. A root subalgebra is, of course, an *r*-subalgebra.

We now give the following key definition.

**Definition 4.1** An algebraic reductive in g subalgebra t is eligible if C(t) = t + C(t).

Note that in the above definition one can replace t with any Cartan subalgebra of t. Furthermore, if t is eligible then  $\mathfrak{h} \subset C(\mathfrak{t}) = \mathfrak{t} + C(\mathfrak{t}) \subset \tilde{\mathfrak{t}} = \mathfrak{t} + C(\mathfrak{t})$ , i.e.  $\mathfrak{h}$  is a Cartan subalgebra of both  $\tilde{\mathfrak{t}}$  and g. In particular,  $\tilde{\mathfrak{t}}$  is a reductive root subalgebra of g. As t is an ideal in  $\tilde{\mathfrak{t}}$ , t is an *r*-subalgebra of g.

**Proposition 4.2** Assume t is an r-subalgebra of g. The following three conditions are equivalent:

(i)  $\mathfrak{t}$  is eligible; (ii)  $C(\mathfrak{t})_{ss} = C(\mathfrak{t})_{ss}$ ; (iii) dim  $C(\mathfrak{t})_{ss} = \dim C(\mathfrak{t})_{ss}$ .

*Proof* The implications (i) $\Rightarrow$ (ii) $\Rightarrow$ (iii) are obvious. To see that (iii) implies (i), observe that if  $\mathfrak{t}$  is an *r*-subalgebra of  $\mathfrak{g}$ , then  $\mathfrak{h} \subseteq \mathfrak{t} + C(\mathfrak{t}) \subseteq C(\mathfrak{t})$ . Therefore the inclusion  $\mathfrak{t} + C(\mathfrak{t}) \subseteq C(\mathfrak{t})$  is proper if and only if  $\mathfrak{g}^{\pm \alpha} \in C(\mathfrak{t}) \setminus C(\mathfrak{t})$  for some root  $\alpha \in \Delta$ , or, equivalently, if the inclusion  $C(\mathfrak{t})_{ss} \subseteq C(\mathfrak{t})_{ss}$  is proper.  $\Box$ 

An algebraic, reductive in g, *r*-subalgebra t may or may not be eligible. If t is a root subalgebra, then t is always eligible. If g is simple of types *A*, *C*, *D* and t is a semisimple *r*-subalgebra, then t is necessarily eligible. In general, a semisimple *r*-subalgebra is eligible if and only if the roots of g which vanish on t are strongly orthogonal to the roots of t. For example, if g is simple of type *B* and t is a simple *r*-subalgebra of type *B* of rank less or equal than rkg – 2, then  $C(t)_{ss}$  is simple of type *D* whereas  $C(t)_{ss}$  is simple of type *B*. Hence in this case t is not eligible.

Note, however that any semisimple *r*-subalgebra  $\mathfrak{k}'$  can be extended to an eligible subalgebra  $\mathfrak{k}$  just by setting  $\mathfrak{k} := \mathfrak{k}' + \mathfrak{h}_{C(\mathfrak{k}')}$  where  $\mathfrak{h}_{C(\mathfrak{k}')}$  is a Cartan subalgebra of  $C(\mathfrak{k}')$ . Finally, note that if *x* is any algebraic regular semisimple element of  $C(\mathfrak{k}')$ , then  $\mathfrak{k} := \mathfrak{k}' \oplus Z(C(\mathfrak{k}')) + \mathbb{C}x$  is an eligible subalgebra of  $\mathfrak{g}$ . Indeed, if  $\mathfrak{k}' \subseteq \mathfrak{k}'$  is a Cartan subalgebra of  $\mathfrak{k}'$ , and  $\mathfrak{h}_{\mathfrak{k}} := \mathfrak{k}' \oplus Z(C(\mathfrak{k}')) + \mathbb{C}x$  is the corresponding Cartan subalgebra of  $\mathfrak{k}$ , then  $C(\mathfrak{h}_{\mathfrak{k}})$  is a Cartan subalgebra of  $\mathfrak{g}$ . Hence,

$$C(\mathfrak{h}_{\mathfrak{f}}) = \mathfrak{h}_{\mathfrak{f}} + C(\mathfrak{f}) \tag{3}$$

as the right-hand side of (3) necessarily contains a Cartan subalgebra of g.

To any eligible subalgebra  $\mathfrak{k}$  we assign a unique weight  $\varkappa \in \mathfrak{h}^*$  (the "canonical weight associated with  $\mathfrak{k}$ "). It is defined by the conditions  $\varkappa|_{(\mathfrak{h}\cap\mathfrak{l}_{ss})} = \rho, \ \varkappa|_{(\mathfrak{h}\cap\mathcal{C}(\mathfrak{h}))} = 0.$ 

## **5** The generalized discrete series

In what follows we assume that  $\mathfrak{t}$  is eligible and  $\mathfrak{h} \subset \tilde{\mathfrak{t}}$ . In this case  $\mathfrak{h}$  is a Cartan subalgebra both of  $\tilde{\mathfrak{t}}$  and  $\mathfrak{g}$ . Let  $\lambda \in \mathfrak{h}^*$  and set  $\gamma := \lambda|_{\mathfrak{t}}$ . Assume that  $\mathfrak{m} := \mathfrak{m}_{\gamma} = C(\mathfrak{t})$ . Assume furthermore that  $\lambda$  is  $\mathfrak{m}$ -integral and let  $E_{\lambda}$  be a simple finite-dimensional  $\mathfrak{m}$ -module with  $\mathfrak{b}$ -highest weight  $\lambda$ . Then

$$D(\mathfrak{k},\lambda) := F^{s}(\mathfrak{k},\mathfrak{p}_{\gamma},E_{\lambda}\otimes\Lambda^{\dim\mathfrak{n}_{\gamma}}(\mathfrak{n}_{\gamma}^{*}))$$

is by definition a *generalized discrete series module*.

Note that since  $D(\mathfrak{k}, \lambda)$  is a fundamental series module, Theorem 2.1 applies to  $D(\mathfrak{k}, \lambda)$ . In the case when  $\mathfrak{k}$  is a root subalgebra and  $\lambda$  is regular, we have  $\lambda = \gamma$  and  $\mathfrak{p}_{\gamma}$  is a Borel subalgebra of  $\mathfrak{g}$  which we denote by  $\mathfrak{b}_{\lambda}$ . Then  $D(\mathfrak{k}, \lambda) = R^s \Gamma_{\mathfrak{k},\mathfrak{h}}(\Gamma_{\mathfrak{h}}(\operatorname{pro}_{\mathfrak{b}_{\lambda}}^{\mathfrak{g}} E_{\lambda}))$ , i.e.  $D(\mathfrak{k}, \lambda)$  is cohomologically co-induced from a 1-dimensional  $\mathfrak{b}_{\lambda}$ -module. If in addition,  $\mathfrak{k}$  is a symmetric subalgebra,  $\lambda$ is  $\mathfrak{k}$ -integral, and  $\lambda - \tilde{\rho}$  is  $\mathfrak{b}_{\lambda}$ -dominant regular, then  $D(\mathfrak{k}, \lambda)$  is a ( $\mathfrak{g}, \mathfrak{k}$ )-module in Harish-Chandra's discrete series, see [KV], Ch.XI.

Suppose  $\mathfrak{t}$  is eligible but  $\mathfrak{t}$  is not a root subalgebra. Suppose further that  $\tilde{\mathfrak{t}}$  is symmetric. Any simple subquotient M of  $D(\mathfrak{t}, \lambda)$  is a  $(\mathfrak{g}, \tilde{\mathfrak{t}})$ -module and thus a Harish-Chandra module for  $(\mathfrak{g}, \tilde{\mathfrak{t}})$ . However, M may or may not be in the discrete series of  $(\mathfrak{g}, \tilde{\mathfrak{t}})$ -modules. This becomes clear in Theorem 5.6 below.

Our first result is a sharper version of the main result of [PZ3] for an eligible t.

**Theorem 5.1** Let  $\mathfrak{t} \subseteq \mathfrak{g}$  be eligible. Assume that  $\lambda - 2\varkappa$  is  $\mathfrak{t}$ -integral and dominant. Then,  $D(\mathfrak{t}, \lambda) \neq 0$ . Moreover, if we set  $\mu := (\lambda - 2\varkappa)|_{\mathfrak{t}}$ , then  $V(\mu)$  is the unique minimal  $\mathfrak{t}$ -type of  $D(\mathfrak{t}, \lambda)$ . Finally, there are isomorphisms of simple finite-dimensional  $\mathfrak{t}$ -modules

$$D(\mathfrak{k},\lambda)[\mu] \cong D(\mathfrak{k},\lambda)\langle \lambda - 2\varkappa \rangle \simeq V_{\mathfrak{k}}(\lambda - 2\varkappa).$$

*Proof* Note that  $\mu = \gamma - 2\rho$ . By Lemma 2 in [PZ3]

 $\dim \operatorname{Hom}_{\mathfrak{k}}(V(\mu), D(\mathfrak{k}, \lambda)) = \dim E_{\lambda},$ 

and hence  $D(\mathfrak{t}, \lambda) \neq 0$ . In addition,  $V(\mu)$  is the unique minimal  $\mathfrak{t}$ -type of  $D(\mathfrak{t}, \lambda)$ . By construction,  $D(\mathfrak{t}, \lambda)[\mu]$  is a finite-dimensional  $\tilde{\mathfrak{t}}$ -module. We will use Theorem 2.1 c) to compute  $D(\mathfrak{t}, \lambda)[\mu]$  as a  $\tilde{\mathfrak{t}}$ -module. Since  $\mathfrak{t}$  is eligible, we have  $\mathfrak{m} = \mathfrak{t} + C(\mathfrak{t})$ . As  $[\mathfrak{t}, C(\mathfrak{t})] = 0$  and  $\mathfrak{t}$  is toral, the restriction of  $E_{\lambda}$  to  $C(\mathfrak{t})$  is simple. We have

$$\tilde{\mathfrak{t}}=\mathfrak{t}_{ss}\oplus C(\mathfrak{t}),$$

and hence there is an isomorphism of *t*-modules

$$V_{\tilde{\mathfrak{t}}}(\lambda - 2\varkappa) \cong (V(\mu)|_{\mathfrak{t}_{ss}}) \boxtimes E_{\lambda}.$$

Consequently, we have isomorphisms of C(t)-modules

$$\operatorname{Hom}_{\mathfrak{k}}(V(\mu), V_{\mathfrak{k}}(\lambda - 2\varkappa)) \cong \operatorname{Hom}_{\mathfrak{k}_{ss}}((V(\mu)|_{\mathfrak{k}_{ss}}), V_{\mathfrak{k}}(\lambda - 2\varkappa)) \cong E_{\lambda}.$$
(4)

Write  $\mathfrak{p}_{\gamma} = \mathfrak{p}$  and note that  $\tilde{\mathfrak{k}} \cap \mathfrak{m} = \mathfrak{m}$ . By Theorem 2.1 c), we have a canonical isomorphism

$$D(\mathfrak{k},\lambda)\cong R^{s}\Gamma_{\mathfrak{k},\mathfrak{m}}(\Gamma_{\mathfrak{m},0}(\mathrm{pro}_{\mathfrak{p}}^{\mathfrak{g}}E_{\lambda})).$$

According to the theory of the bottom layer [KV], Ch.V, Sec.6,  $D(\mathfrak{t}, \lambda)$  contains the  $\tilde{\mathfrak{t}}$ -module

$$R^{s}\Gamma_{\tilde{\mathfrak{t}},\mathfrak{m}}(\Gamma_{\mathfrak{m},0}(\mathrm{pro}_{\tilde{\mathfrak{t}}\cap\mathfrak{p}}^{\mathfrak{t}}E_{\lambda}))$$

which is in turn isomorphic to  $V_{\tilde{f}}(\lambda - 2\varkappa)$ .

By the above argument, we have a sequence of injections

$$V_{\tilde{\mathfrak{f}}}(\lambda - 2\varkappa) \hookrightarrow D(\mathfrak{k}, \lambda) \langle \lambda - 2\varkappa \rangle \hookrightarrow D(\mathfrak{k}, \lambda)[\mu].$$

We conclude from (4) that the above sequence of injections is in fact a sequence of isomorphisms of simple  $\tilde{t}$ -modules.  $\Box$ 

**Corollary 5.2** Under the assumptions of Theorem 5.1, there exists a simple  $(g, \mathfrak{t})$ -module M of finite type over  $\mathfrak{t}$ , such that if  $V(\mu)$  is a minimal  $\mathfrak{t}$ -type of M, then  $V(\mu)$  is the unique minimal  $\mathfrak{t}$ -type of M and there is an isomorphism of finite-dimensional  $\mathfrak{t}$ -modules

$$M[\mu] \cong V_{\tilde{f}}(\lambda - 2\varkappa).$$

In particular,  $M[\mu]$  is a simple  $\tilde{t}$ -submodule of M.

*Proof* First we construct a module *M* as required. Let  $\overline{D}(\mathfrak{k}, \lambda)$  be the  $U(\mathfrak{g})$ -submodule of  $D(\mathfrak{k}, \lambda)$  generated by the  $\tilde{\mathfrak{k}}$ -isotypic component  $D(\mathfrak{k}, \lambda)\langle \lambda - 2\varkappa \rangle$ . Suppose *N* is a proper  $\mathfrak{g}$ -submodule of  $\overline{D}(\mathfrak{k}, \lambda)$ . Since  $D(\mathfrak{k}, \lambda)\langle \lambda - 2\varkappa \rangle$  is simple over  $\tilde{\mathfrak{k}}$ ,

$$N \cap (D(\mathfrak{k},\lambda)\langle\lambda-2\varkappa\rangle) = 0.$$

Thus, if  $N(\mathfrak{t}, \lambda)$  is the maximum proper submodule of  $\overline{D}(\mathfrak{t}, \lambda)$ , the quotient module

$$M = \bar{D}(\mathfrak{k}, \lambda) / N(\mathfrak{k}, \lambda)$$

is a simple  $(\mathfrak{g}, \tilde{\mathfrak{t}})$ -module, and *M* has finite type over  $\mathfrak{t}$ . Theorem 5.1 implies now that  $V(\mu)$  is the unique minimal  $\mathfrak{t}$ -type of *M* and that there is an isomorphism of finite-dimensional  $\tilde{\mathfrak{t}}$ -modules,

$$M[\mu] \cong V_{\tilde{f}}(\lambda - 2\varkappa).$$

If  $\mathfrak{t}$  is symmetric (and hence  $\mathfrak{t}$  is a root subalgebra due to the eligibility of  $\mathfrak{t}$ ), Theorem 5.1 and Corollary 5.2 go back to [V] (where they are proven by a different method).

The following two statements are consequences of the main results of section 2 and Theorem 5.1.

**Corollary 5.3** Let  $\mathfrak{t}$  be eligible,  $\lambda \in \mathfrak{h}^*$  be such that  $\lambda - 2\varkappa$  is  $\tilde{\mathfrak{t}}$ -integral and  $V(\mu)$  is generic for  $\mu := \lambda|_{\mathfrak{t}} - 2\rho$ .

*a)* Soc  $D(\mathfrak{t}, \lambda)$  is a simple (g,  $\mathfrak{t}$ )-module with unique minimal  $\mathfrak{t}$ -type  $V(\mu)$ .

*b)* There is a canonical isomorphism of C(t)-modules

Hom<sub>$$\mathfrak{k}$$</sub>( $V(\mu)$ , Soc  $D(\mathfrak{k}, \lambda)$ )  $\simeq E_{\lambda}$ .

*c)* There is a canonical isomorphism of  $\tilde{t}$ -modules

 $V(\mu) \otimes \operatorname{Hom}_{\mathfrak{f}}(V(\mu), \operatorname{Soc} D(\mathfrak{k}, \lambda)) \simeq V_{\mathfrak{f}}(\lambda - 2\varkappa),$ 

*i.e.* the  $V(\mu)$ -isotypic component of SocD( $\mathfrak{t}, \lambda$ ) is a simple  $\tilde{\mathfrak{t}}$ -module isomorphic to  $V_{\tilde{\mathfrak{t}}}(\lambda - 2\varkappa)$ .

d) If  $\lambda - 2\varkappa$  is not 1–integral for any reductive subalgebra 1 such that  $\tilde{\mathfrak{t}} \subset \mathfrak{l} \subseteq \mathfrak{g}$ , then  $\tilde{\mathfrak{t}}$  is a maximal reductive subalgebra of  $\mathfrak{g}[M]$  for any subquotient M of  $D(\mathfrak{t}, \lambda)$ , in particular of Soc  $D(\mathfrak{t}, \lambda)$ .

Proof

*a)* Observe that  $\mathfrak{p}_{\gamma} = \mathfrak{p}_{\mu+2\rho}$ , and  $D(\mathfrak{k}, \lambda) = F^{s}(\mathfrak{k}, \mathfrak{p}_{\mu+2\rho}, E_{\lambda} \otimes \Lambda^{\dim \mathfrak{n}}(\mathfrak{n}^{*}))$ . So, a) follows from Theorem 2.5 c).

b) By Theorem 2.5 c),  $\text{Hom}_{\mathfrak{t}}(V(\mu), \text{ Soc } D(\mathfrak{t}, \lambda)) = \text{Hom}_{\mathfrak{t}}(V(\mu), D(\mathfrak{t}, \lambda))$ , which in turn is isomorphic to  $\text{Hom}_{\mathfrak{t}}(V(\mu), V_{\mathfrak{t}}(\lambda - 2\varkappa))$  by Theorem 5.1. The desired isomorphism follows now from (4).

*c)* This follows from the isomorphism in b) and the isomorphism  $V(\mu) \otimes E_{\lambda} \cong V_{\tilde{t}}(\lambda - 2\kappa)$  of  $\tilde{t}$ -modules.

*d*) Follows from Corollary 2.4. Note that, since  $\mathfrak{t}$  is eligible,  $\mathfrak{t}$  is a root subalgebra and the condition that  $\lambda - 2\varkappa$  be not  $\mathfrak{l}$ -integral involves only finitely many subalgebras  $\mathfrak{l}$ .  $\Box$ 

**Corollary 5.4** Let  $\mathfrak{t}$  be eligible and let  $V(\mu)$  be a generic  $\mathfrak{t}$ -type.

a) Let M be a simple (g, t)-module of finite type with minimal t-type V(μ). Then M[μ] is a simple finite-dimensional t̃-module isomorphic to V<sub>t̃</sub>(λ) for some weight λ ∈ b\* such that λ|t = μ + 2ρ and μ - 2x is t̃-integral. Moreover,

$$M \cong \operatorname{Soc} D(\mathfrak{k}, \lambda).$$

If in addition  $\lambda$  is not 1-integral for any reductive subalgebra 1 with  $\tilde{\mathfrak{t}} \subset \mathfrak{l} \subseteq \mathfrak{g}$ , then  $\tilde{\mathfrak{t}}$  is a unique maximal reductive subalgebra of  $\mathfrak{g}[M]$ .

b) If t is regular in g, then a) holds for any simple (g, t)-module with generic minimal t-type V(μ). In particular M has finite type over t.

Proof

*a)* We apply Theorem 2.6. Since  $V(\mu)$  is generic,  $\mathfrak{p} = \mathfrak{p}_{\mu+2\rho} = \mathfrak{m} \mathfrak{D}\mathfrak{n}$  is a minimal t–compatible parabolic subalgebra. Let  $\omega := \mu - 2\rho_{\mathfrak{n}}^{\perp}$  (recall that  $\rho_{\mathfrak{n}}^{\perp} = \rho_{\mathfrak{n}} - \rho$ ) and let Q be the  $\mathfrak{m}$ –module  $H^{r}(\mathfrak{n}, M)^{\omega}$  where  $r = \dim(\mathfrak{t}^{\perp} \cap \mathfrak{n})$ .

Observe that Q is a simple  $\mathfrak{m}$ -module and M is canonically isomorphic to  $\overline{F}^{s}(\mathfrak{p}, Q) = \operatorname{Soc} F^{s}(\mathfrak{p}, Q)$ . Let  $\lambda \in \mathfrak{h}^{*}$  be so that  $\lambda - 2\widetilde{\rho}_{\mathfrak{n}}$  is an extreme weight of  $\mathfrak{h}$  in Q. Thus,  $F^{s}(\mathfrak{p}, Q) = F^{s}(\mathfrak{p}, E_{\lambda} \otimes \Lambda^{\dim \mathfrak{n}}(\mathfrak{n}^{*})) = D(\mathfrak{f}, \lambda)$ . Finally,  $M \cong \operatorname{Soc} D(\mathfrak{f}, \lambda)$ , and  $\lambda|_{\mathfrak{t}} = \mu + 2\rho$ . It follows that  $\lambda - 2\varkappa$  is both  $\mathfrak{t}$ -integral and  $C(\mathfrak{t})$ -integral. Hence, the weight  $\lambda - 2\varkappa$  is  $\mathfrak{t}$ -integral.

*b*) We apply Theorem 2.8.  $\Box$ 

**Corollary 5.5** If  $\mathfrak{t} \simeq \mathfrak{sl}(2)$ , the genericity assumption on  $V(\mu)$  in Corollaries 5.3 and 5.4 can be replaced by the assumption  $\mu \ge \Lambda$ .

*Proof* The statement follows directly from Theorem 3.1. □

We conclude this paper by discussing in more detail an example of an eligible  $\mathfrak{sl}(2)$ -subalgebra. Note first that if g is any simple Lie algebra and t is a long root  $\mathfrak{sl}(2)$ -subalgebra, then the pair (g, t) is a symmetric pair. This is a well-known fact and it implies in particular that any (g, t)-module of finite type and of finite length is a Harish-Chandra module for the pair  $(g, \tilde{t})$ . The latter modules are classified under the assumption of simplicity see [KV], Ch.XI; however, in general, it is an open problem to determine which simple  $(g, \tilde{f})$ -modules have finite type over f. Without having been explicitly stated, this problem has been discussed in the literature, see [GW], [OW] and the references therein. On the other hand, in this case  $\Lambda = 1$ , hence Corollaries 5.4 and 5.5 provide a classification of simple (g, f)-modules of finite type with minimal  $\mathfrak{t}$ -types  $V(\mu)$  for  $\mu \geq 1$ . So the above problem reduces to matching the above two classifications in the case when  $\mu \ge 1$ , and finding all simple (g, t)-modules of finite type whose minimal t-type equals V(0) among the simple Harish-Chandra modules for the pair (g, t). We do this here in a special case.

Let  $\mathfrak{g} = \mathfrak{sp}(2n+2)$  for  $n \ge 2$ . By assumption,  $\mathfrak{f}$  is a long root  $\mathfrak{sl}(2)$ -subalgebra, and  $\mathfrak{\tilde{t}} \simeq \mathfrak{sp}(2n) \oplus \mathfrak{k}$ . Consider simple  $(\mathfrak{g}, \mathfrak{\tilde{t}})$ -modules with  $Z_{U(\mathfrak{g})}$ -character equal to the character of a trivial module. According to the Langlands classification, there are precisely  $(n + 1)^2$  pairwise non-isomorphic such modules, one of which is the trivial module. Following [Co] (see figure 4.5 on page 93) we enumerate them as  $\sigma_t$  for  $0 \le t \le n$  and  $\sigma_{ij}$  for  $0 \le i \le n - 1, 1 \le j \le 2n, i <$  $j, i + j \le 2n$ . The modules  $\sigma_t$  are discrete series modules. The modules  $\sigma_{ij}$  are Langlands quotients of the principal series (all of them are proper quotients in this case).

We announce the following result which we intend to prove elsewhere.

**Theorem 5.6** Let  $g = \mathfrak{sp}(2n+2)$  for  $n \ge 2$  and  $\mathfrak{t}$  be a long root  $\mathfrak{sl}(2)$ -subalgebra.

a) Any simple  $(\mathfrak{g}, \mathfrak{t})$ -module of finite type is isomorphic to a subquotient of the generalized discrete series module  $D(\mathfrak{t}, \lambda)$  for some  $\tilde{\mathfrak{t}} = \mathfrak{sp}(2n) \oplus \mathfrak{t}$ -integral weight  $\lambda - 2\varkappa$ .

b) The modules  $\sigma_0, \sigma_{0i}$  for  $i = 1, ..., 2n, \sigma_{12}$  are, up to isomorphism, all of the simple  $(g, \mathfrak{t})$ -modules of finite type whose  $Z_{U(g)}$ -character equals that of a trivial g-module. Moreover, their minimal  $\mathfrak{t}$ -types are as follows:

module	minimal t–type
$\sigma_0$	V(2n)
$\sigma_{0j}, n+1 \le j \le 2n$	V(j-1)
$\sigma_{0j}, 2 \le j \le n$	V(j-2)
$\sigma_{01}$ (trivial representation)	V(0)
σ <sub>12</sub>	V(0)

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