

# Geometric quantization on CR manifolds

Chin-Yu Hsiao

Institute of Mathematics, Academia Sinica, Taiwan

- In this work we study the problem of “quantization commutes with reduction” for CR manifolds (for some non-hypoelliptic operators), in particular for Sasakian manifolds.
- An important difference between CR setting and symplectic setting is that the quantum spaces we considered in CR setting are infinite dimensional.

- Let  $X$  be a smooth and orientable manifold of dimension  $2n + 1$ ,  $n \geq 1$ .
- Let  $T^{1,0}X$  be a subbundle of  $\mathbb{C}TX$  the complexified tangent bundle of  $X$ .

## Definition

We say that  $T^{1,0}X$  is a CR structure of  $X$  if

- (i)  $\dim_{\mathbb{C}} T_x^{1,0}X = n$ , for every  $x \in X$ .
  - (ii)  $T^{1,0}X \cap T^{0,1}X = \{0\}$ , where  $T^{0,1}X := \overline{T^{1,0}X}$ .
  - (iii)  $[\mathcal{V}, \mathcal{V}] \subset \mathcal{V}$ ,  $\mathcal{V} = \mathcal{C}^\infty(X, T^{1,0}X)$ .
- For a  $2n + 1$  dimensional smooth manifold  $X$ , if we can find a CR structure  $T^{1,0}X$  on  $X$ , we call the pair  $(X, T^{1,0}X)$  a CR manifold.

- Take a Hermitian metric  $\langle \cdot | \cdot \rangle$  on  $\mathbb{C}TX$  such that we have the orthogonal decompositions:
  - $\mathbb{C}TX = T^{1,0}X \oplus T^{0,1}X \oplus \mathbb{C}T$ ,  $T \in \mathcal{C}^\infty(X, TX)$ ,  $\|T\| = 1$ ,
  - $\mathbb{C}T^*X = T^{*1,0}X \oplus T^{*0,1}X \oplus \mathbb{C}\omega_0$ ,  $\omega_0 \in \mathcal{C}^\infty(X, T^*X)$ ,  
 $\|\omega_0\| = 1$ ,
  - $\langle \omega_0, T \rangle = -1$ ,  $T^{*0,1}X = (T^{1,0}X \oplus \mathbb{C}T)^\perp$ .
  - $\omega_0$ : Reeb one form,  $T$ : Reeb vector field,  $T^{*0,1}X$ : bundle of  $(0, 1)$  forms.

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## Definition

For  $p \in X$ , the Levi form  $\mathcal{L}_p$  is the Hermitian quadratic form on  $T_p^{1,0}X$  given by  $\mathcal{L}_p(U, V) = -\frac{1}{2i}d\omega_0(p)(U, \bar{V})$ ,  $U, V \in T_p^{1,0}X$ .

- We say that  $X$  is strongly pseudoconvex at  $p \in X$  if the Levi form is positive definite at  $p \in X$ .
- We say that  $X$  is strongly pseudoconvex if the Levi form is positive definite at each point of  $X$ .

- Let  $\tau : \mathbb{C}T^*X \rightarrow T^{*0,1}X$  be the orthogonal projection.
- $\bar{\partial}_b = \tau \circ d : \mathcal{C}^\infty(X) \rightarrow \Omega^{0,1}(X)$ : tangential Cauchy-Riemann(CR) operator, where  $\Omega^{0,1}(X) = \mathcal{C}^\infty(X, T^{*0,1}X)$ .

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- We extend  $\bar{\partial}_b$  to  $L^2$  space:  
 $\bar{\partial}_b : \text{Dom } \bar{\partial}_b \subset L^2(X) \rightarrow L^2_{(0,1)}(X)$ , where  
 $\text{Dom } \bar{\partial}_b = \{u \in L^2(X); \bar{\partial}_b u \in L^2(X)\}$ .



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- For a function  $u \in L^2(X)$ , we say that  $u$  is a CR function if  $u \in \text{Ker } \bar{\partial}_b$ .
- If  $X$  is strongly pseudoconvex at some point of  $X$  and  $\bar{\partial}_b$  has  $L^2$  closed range, then  $\dim \text{Ker } \bar{\partial}_b = +\infty$  (Boutet de Monvel-Sjöstrand, Hsiao-Marinescu).

# CR manifolds with group action

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- Now, we assume that
  - $X$  admits a  $d$ -dim'l locally free connected compact Lie group action  $G$  with Lie algebra  $\mathfrak{g}$ .
  - The Lie group action  $G$  preserves  $\omega_0$  and CR structure. That is,  $g^*\omega_0 = \omega_0$  and  $dg(T^{1,0}X) = T^{1,0}X$ , for every  $g \in G$ ,  $g : X \rightarrow X$ .
- For any  $\xi \in \mathfrak{g}$ ,  $\xi_X$  : the vector field on  $X$  induced by  $\xi$ . Let  $\underline{\mathfrak{g}} = \text{Span}(\xi_X; \xi \in \mathfrak{g})$ .

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- Goal: Study  $H_b^0(X)^G$  the space of global  $G$ -invariant  $L^2$  CR functions.

## Definition

The momentum map associated to the form  $\omega_0$  is the map  $\mu : X \rightarrow \mathfrak{g}^*$  such that, for all  $x \in X$  and  $\xi \in \mathfrak{g}$ , we have

$$\langle \mu(x), \xi \rangle = \omega_0(\xi_X(x)). \quad (1)$$

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- We will work under the following natural assumption.

## Assumption

*0 is a regular value of  $\mu$ , the action of  $G$  on  $\mu^{-1}(0)$  is free and the Levi form of  $X$  is positive definite near  $\mu^{-1}(0)$ .*

- $X$  is not necessarily strongly pseudoconvex.

- Let  $Y := \mu^{-1}(0)$ ,  $Y_G := \mu^{-1}(0)/G$ .

## Theorem (H/Huang, 2017)

$Y_G$  is a strongly pseudoconvex CR manifold of dimension  $2n - 2d + 1$  with natural CR structure  $T^{1,0}Y_G$  induced from  $T^{1,0}X$ .

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- Is  $H_b^0(X)^G \cong H_b^0(Y_G)$ ?



# $G$ -invariant Szegő projection

- Fix a  $G$ -invariant smooth Hermitian metric  $\langle \cdot | \cdot \rangle$  on  $\mathbb{C}TX$ .
- Let  $(\cdot | \cdot)$  and  $(\cdot | \cdot)_{Y_G}$  be the  $L^2$  inner products on  $L^2(X)$  and  $L^2(Y_G)$  induced by  $\langle \cdot | \cdot \rangle$  respectively.
- Let  $\bar{\partial}_b : \mathcal{C}^\infty(X) \rightarrow \Omega^{0,1}(X)$  and  $\bar{\partial}_{b,Y_G} : \mathcal{C}^\infty(Y_G) \rightarrow \Omega^{0,1}(Y_G)$  be the tangential Cauchy-Riemann operators on  $X$  and  $Y_G$  respectively.
- We extend  $\bar{\partial}_b$  and  $\bar{\partial}_{b,Y_G}$  to  $L^2$  spaces in the standard way.

$$\bar{\partial}_b : \text{Dom } \bar{\partial}_b \subset L^2(X) \rightarrow L^2_{(0,1)}(X),$$

$$\bar{\partial}_{b,Y_G} : \text{Dom } \bar{\partial}_{b,Y_G} \subset L^2(Y_G) \rightarrow L^2_{(0,1)}(Y_G).$$

# $G$ -invariant Szegő projection

- Put

$$H_b^0(X) := \{u \in L^2(X); \bar{\partial}_b u = 0\},$$

$$H_b^0(X)^G := \{u \in H_b^0(X); h^* u = u, \text{ for every } h \in G\},$$

$$H_b^0(Y_G) := \{u \in L^2(Y_G); \bar{\partial}_{b, Y_G} u = 0\}.$$

- The  $G$ -invariant Szegő projection is the orthogonal projection

$$S_G : L^2(X) \rightarrow H_b^0(X)^G$$

with respect to  $(\cdot | \cdot)$ .

- Let  $S_{Y_G} : L^2(Y_G) \rightarrow H_b^0(Y_G)$  be the orthogonal projection with respect to  $(\cdot | \cdot)_{Y_G}$  (Szegő projection on  $Y_G$ ).

# The canonical Fredholm operator $\widehat{\sigma}$

- Let  $\iota_G : \mathcal{C}^\infty(X)^G \rightarrow \mathcal{C}^\infty(Y_G)$  be the natural restriction,
- $\mathcal{C}^\infty(X)^G$ : the space of  $G$ -invariant smooth functions on  $X$ .
- Let

$$\begin{aligned} \widehat{\sigma} : H_b^0(X)^G \cap \mathcal{C}^\infty(X)^G &\rightarrow H_b^0(Y_G), \\ u &\mapsto S_{Y_G} \circ E \circ \iota_G \circ f \circ u, \end{aligned} \tag{2}$$

- $E : \mathcal{C}^\infty(Y_G) \rightarrow \mathcal{C}^\infty(Y_G)$ : any elliptic pseudodifferential operator with principal symbol  $p_E(x, \xi) = |\xi|^{-\frac{d}{4}}$ ,
- $f \in \mathcal{C}^\infty(X)^G$ : a specific  $G$ -invariant smooth function.

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- $f \in \mathcal{C}^\infty(X)^G$ : a specific  $G$ -invariant smooth function.
- We can show that there exists a  $C > 0$  such that  $(\widehat{\sigma}u | \widehat{\sigma}u)_{Y_G} \leq C \|u\|^2$ , for every  $u \in H_b^0(X)^G \cap \mathcal{C}^\infty(X)$ .
- Hence, we can extend  $\widehat{\sigma}$  to  $\widehat{\sigma} : H_b^0(X)^G \rightarrow H_b^0(Y_G)$ .

## Theorem I (H/Ma/Marinescu, 2019)

Suppose that  $\bar{\partial}_{b, Y_G}$  has  $L^2$  closed range and the Levi form is positive definite near  $\mu^{-1}(0)$ . The map

$$\hat{\sigma} : H_b^0(X)^G \rightarrow H_b^0(Y_G)$$

is Fredholm. That is,  $\text{Ker } \hat{\sigma}$  and  $(\text{Im } \hat{\sigma})^\perp$  are finite dimensional subspaces of the spaces  $\mathcal{C}^\infty(X) \cap H_b^0(X)^G$  and  $\mathcal{C}^\infty(Y_G) \cap H_b^0(Y_G)$  respectively.

# Geometric quantization on CR manifolds

- In this work, we do not assume that  $\bar{\partial}_b$  has closed range on  $X$ .
- The definition of  $\hat{\sigma}$  depends on the choice of elliptic pseudodifferential operator  $E$ .
- Up to lower order terms of  $E$ , the map  $\hat{\sigma}$  is a canonical choice.
- $\bar{\partial}_b$  is not hypoelliptic and not transversally elliptic in general.
- Theorem I establishes "quantization commutes with reduction" for some non-hypoelliptic operators.

# Applications: Complex manifolds

- $(L, h^L)$  : a holomorphic line bundle over a connected compact complex manifold  $(M, J)$ ,
- $h^L$  is a Hermitian fiber metric of  $L$ .
- $R^L$  : the curvature of  $(L, h^L)$ .
- $G$  : a connected compact Lie group with Lie algebra  $\mathfrak{g}$ .  
Assume that
  - $G$  acts holomorphically on  $(M, J)$ ,
  - the action lifts to a holomorphic action on  $L$ ,
  - $h^L$  is preserved by the  $G$ -action.
  - $\omega := \frac{i}{2\pi} R^L$  is a  $G$ -invariant form.

- $\tilde{\mu} : M \rightarrow \mathfrak{g}^*$  : the momentum map induced by  $\omega$ . Assume that
  - $0 \in \mathfrak{g}^*$  is regular,
  - the action of  $G$  on  $\tilde{\mu}^{-1}(0)$  is free.
- $M_0 := \tilde{\mu}^{-1}(0)/G$ : a complex manifold with natural complex structure induced by  $J$ .
- $L_0 := L/G$ : a holomorphic line bundle over  $M_0$ .



## Theorem (Guillemin-Sternberg (1982))

*Suppose that  $R^L > 0$  on  $X$ . We have*

*$\dim H^0(M, L^m)^G = \dim H^0(M_0, L_0^m)$ , for every  $m \in \mathbb{N}^*$ .*

- $H^0(M_0, L_0^m)$ : the space of holomorphic sections on  $M_0$  with values in  $L_0^m$ ,*
- $H^0(M, L^m)^G$ : the space of  $G$ -invariant holomorphic sections with values in  $L^m$ .*

- Guillemin and Sternberg conjectured: "quantization commutes with reduction" holds for compact symplectic manifolds with compact connected Lie group  $G$ .
- When  $G$  is abelian, this conjecture was proved by Meinrenken (1996) and Vergne (1996).
- The remaining nonabelian case was first proved by Meinrenken (1998) using the symplectic cut techniques of Lerman, and then by Tian and Zhang (1998) using analytic localization techniques by Bismut-Lebeau.
- Paradan developed a  $K$ -theoretic approach for Guillemin and Sternberg conjecture.

- When symplectic manifold is non-compact and momentum map is proper, "quantization commutes with reduction problem (Vergne's conjecture in her ICM 2006 plenary lecture)," was solved by Ma-Zhang.
- Paradan gave a new proof for Vergne's conjecture.
- When manifold and group are both non-compact: many works, Mathai, Zhang, Hochs, etc.

# Applications: Complex manifolds

- Let  $X$  be the circle bundle of  $(L^*, h^{L^*})$ , i.e.  
$$X := \left\{ v \in L^*; |v|_{h^{L^*}}^2 = 1 \right\}.$$
- $X$  is a compact strongly pseudoconvex CR manifold with a group action  $G$ .
- $X$  admits a  $S^1$  action  $e^{i\theta}$ :  $e^{i\theta} \circ (z, \lambda) := (z, e^{i\theta} \lambda)$ , where  $\lambda$  denotes the fiber coordinate of  $X$ .
- $\bar{\partial}_b$  is not hypoelliptic but transversally elliptic with respect to the  $S^1$  action.

# Applications: Complex manifolds

- For every  $m \in \mathbb{Z}$ , let

$$H_{b,m}^0(X)^G := \left\{ u \in H_b^0(X)^G; (e^{i\theta})^* u = e^{im\theta} u, \text{ for every } e^{i\theta} \in S^1 \right\},$$

$$H_{b,m}^0(Y_G) := \left\{ u \in H_b^0(Y_G); (e^{i\theta})^* u = e^{im\theta} u, \text{ for every } e^{i\theta} \in S^1 \right\}.$$

- We have

- $H_b^0(X)^G := \bigoplus_{m \in \mathbb{Z}} H_{b,m}^0(X)^G$ ,  $H_b^0(Y_G) := \bigoplus_{m \in \mathbb{Z}} H_{b,m}^0(Y_G)$ ,

- $\hat{\sigma} : H_{b,m}^0(X)^G \rightarrow H_{b,m}^0(Y_G)$ , for every  $m \in \mathbb{Z}$ .

- For every  $m \in \mathbb{Z}$ ,

$$H^0(M, L^m)^G \cong H_{b,m}^0(X)^G, \quad H^0(M_0, L_0^m) \cong H_{b,m}^0(Y_G).$$

- From Theorem I, we deduce that if  $|m| \gg 1$ , then  $\dim H_{b,m}^0(X)^G = \dim H_{b,m}^0(Y_G)$ .

- Ma-Zhang showed that the map

$$\begin{aligned} \rho : H^0(M, L^m)^G &\rightarrow H^0(M_0, L_0^m), \\ u &\mapsto m^{-\frac{d}{4}} B_{M_0, m} \circ \iota_G \circ f \circ u, \end{aligned} \tag{3}$$

is an isomorphism if  $m$  is large enough,

- $B_{M_0, m} : L^2(M_0, L_0^m) \rightarrow H^0(M_0, L_0^m)$ : the orthogonal projection (Bergman projection).
- When we change  $m^{-\frac{d}{4}}$  in (3) to any  $m$ -dependent function with order  $m^{-\frac{d}{4}} + O(m^{-\frac{4}{d}-1})$ , we still have an isomorphism between  $H^0(M, L^m)^G$  and  $H^0(M_0, L_0^m)$  for  $m$  large.

- In this work, we only assume the Levi form is positive definite near  $\mu^{-1}(0)$ .
- As an application of Theorem I, we deduce

## Theorem

*With the notations and assumptions above and suppose that  $R^L$  is positive near  $\tilde{\mu}^{-1}(0)$ . Then, for  $|m|$  large, we have*

$$\dim H^0(M, L^m)^G = \dim H^0(M_0, L_0^m).$$

# Applications: Sasakian manifolds

- Let  $(X, T^{1,0}X)$  be a compact strongly pseudoconvex CR manifold.
- We say that  $X$  is torsion free if there is a non-vanishing global real vector field  $T \in \mathcal{C}^\infty(X, TX)$  such that
  - $T$  preserves the CR structure  $T^{1,0}X$ ,
  - $T, T^{1,0}X \oplus T^{0,1}X$  generate the complex tangent bundle of  $X$ .
- We call  $T$  CR Reeb vector field on  $X$ .
- Ornea and Verbitsky: A  $(2n + 1)$ -dimensional smooth manifold  $X$  is a Sasakian manifold if and only if  $X$  is a torsion free strongly pseudoconvex CR manifold.



# Applications: Sasakian manifolds

- $X$  is a quasi-regular (regular) Sasakian manifold if the flow of  $T$  induces a locally free (free)  $S^1$ -action on  $X$ .
- $X$  is an irregular Sasakian manifold if there is an orbit of the flow of  $T$  which is non-compact.
- In this case, the flow of  $T$  induces a transversal CR  $\mathbb{R}$ -action on  $X$ .
- We now assume that  $X$  is an irregular Sasakian manifold with a CR Reeb vector field  $T$  and suppose that the Lie group  $G$  preserves  $T$  and CR structure on  $X$ .

- Consider the operators

$$-i\mathcal{L}_T : \mathcal{C}^\infty(X) \rightarrow \mathcal{C}^\infty(X),$$

$$-i\mathcal{L}_{\hat{T}} : \mathcal{C}^\infty(Y_G) \rightarrow \mathcal{C}^\infty(Y_G),$$

- $\hat{T}$  is the CR Reeb vector field on  $Y_G$ ,
- $\mathcal{L}_T, \mathcal{L}_{\hat{T}}$  denote the Lie derivative of  $T$  and  $\hat{T}$  respectively.
- We extend  $-i\mathcal{L}_T$  and  $-i\mathcal{L}_{\hat{T}}$  to  $L^2$  spaces by their weak maximal extension.

## Theorem (H/Herrmann/Li, 2017)

*We have that  $\text{Spec}(-i\mathcal{L}_T)$  is countable and every element in  $\text{Spec}(-i\mathcal{L}_T)$  is an eigenvalue of  $-i\mathcal{L}_T$ , where  $\text{Spec}(-i\mathcal{L}_T)$  denotes the spectrum of  $-i\mathcal{L}_T$ .*

- Put

$$\text{Spec}(-i\mathcal{L}_T) = \{\alpha_1, \alpha_2, \dots\} \subset \mathbb{R},$$

$$\text{Spec}(-i\mathcal{L}_{\hat{T}}) = \{\beta_1, \beta_2, \dots\} \subset \mathbb{R},$$

$$H_{b,\alpha}^0(X)^G := \left\{ u \in H_b^0(X)^G; -i\mathcal{L}_T u = \alpha u \right\}, \quad \alpha \in \text{Spec}(-i\mathcal{L}_T),$$

$$H_{b,\beta}^0(Y_G) := \left\{ v \in H_b^0(Y_G); -i\mathcal{L}_{\hat{T}} v = \beta v \right\}, \quad \beta \in \text{Spec}(-i\mathcal{L}_{\hat{T}}).$$

- $\bar{\partial}_b$  is transversally elliptic with respect to the  $\mathbb{R}$  action.
- $H_{b,\alpha}^0(X)^G$  and  $H_{b,\beta}^0(Y_G)$  are finite dimensional subspaces of  $\mathcal{C}^\infty(X)^G$  and  $\mathcal{C}^\infty(Y_G)$  respectively, for every  $\alpha \in \text{Spec}(-i\mathcal{L}_T)$ ,  $\beta \in \text{Spec}(-i\mathcal{L}_{\hat{T}})$ .
- $H_b^0(X)^G = \bigoplus_{\alpha \in \text{Spec}(-i\mathcal{L}_T)} H_{b,\alpha}^0(X)^G$ ,  
 $H_b^0(Y_G) = \bigoplus_{\beta \in \text{Spec}(-i\mathcal{L}_{\hat{T}})} H_{b,\beta}^0(Y_G)$ .

# Quantization commutes with reduction for irregular Sasakian manifolds

Theorem II (H/Ma/Marinescu, 2019)

*There is a  $N \in \mathbb{N}$  such that the map*

$$\widehat{\sigma} : H_{b, \alpha_k}^0(X)^G \rightarrow H_{b, \alpha_k}^0(Y_G)$$

*is an isomorphism, for every  $k \geq N$  and if  $\beta_k \neq \alpha_k$ , where  $k \geq N$ , then  $\dim H_{b, \beta_k}^0(Y_G) = 0$ .*

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- It was shown by Marinescu and Yeganefar that  $\bar{\partial}_{b, Y_G}$  has  $L^2$  closed range.
- In the rest of this talk, we will sketch the proof of Theorem I.

- Consider  $\bar{\partial}_{b,G} : \text{Dom } \bar{\partial}_{b,G} \subset L^2(X)^G \rightarrow L^2_{(0,1)}(X)^G$ ,
  - $L^2_{(0,1)}(X)^G$ : the space of  $G$ -invariant  $L^2(0,1)$  forms,
  - $\text{Dom } \bar{\partial}_{b,G} = \left\{ u \in L^2(X)^G; \bar{\partial}_b u \in L^2_{(0,1)}(X)^G \right\}$ ,
  - $\bar{\partial}_{b,G} u = \bar{\partial}_b u$ , for every  $u \in \text{Dom } \bar{\partial}_{b,G}$ .
- Let  $\bar{\partial}_{b,G}^* : \text{Dom } \bar{\partial}_{b,G}^* \subset L^2_{(0,1)}(X)^G \rightarrow L^2(X)^G$  be the Hilbert space adjoint of  $\bar{\partial}_{b,G}$  with respect to  $(\cdot | \cdot)$ .

# $G$ -invariant Kohn Laplacian

- Let

$$\square_{b,G} = \bar{\partial}_{b,G}^* \bar{\partial}_{b,G} : \text{Dom } \square_{b,G} \subset L^2(X)^G \rightarrow L^2(X)^G$$

denote the (Gaffney extension) of the  $G$ -invariant Kohn Laplacian given by

$$\text{Dom } \square_{b,G} = \left\{ s \in L^2(X)^G; s \in \text{Dom } \bar{\partial}_{b,G}, \bar{\partial}_{b,G}s \in \text{Dom } \bar{\partial}_{b,G}^* \right\},$$

$$\square_{b,G}s = \bar{\partial}_{b,G}^* \bar{\partial}_{b,G}s \text{ for } s \in \text{Dom } \square_{b,G}.$$

- $\square_{b,G}$  is self-adjoint.



# Closed range property for $G$ -invariant Kohn Laplacian

- By using some kind of Kohn's hypoelliptic estimates, we can show that

Theorem (H/Ma/Marinescu, 2019)

*Recall that we work with the assumption that the Levi form is positive near  $\mu^{-1}(0)$ . The operator*

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- The Kohn Laplacian  $\square_b : \text{Dom } \square_b \subset L^2(X) \rightarrow L^2(X)$  may not have closed range.

# $G$ -invariant Szegő kernel

- Let  $S_G(x, y) \in \mathcal{D}'(X \times X)$  be the distribution kernel of the orthogonal projection  $S_G : L^2(X) \rightarrow \text{Ker } \square_{b,G} = H_b^0(X)^G$ .

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- We have

$$S_G(x, y) = \int_G S(x, h \circ y) d\mu(h) \text{ on } X \times X, \quad (4)$$

- $d\mu = d\mu(h)$ : the Haar measure on  $G$  with  $\int_G d\mu(h) = 1$ ,
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- When  $X$  is strongly pseudoconvex and  $\square_b$  has closed range, we can study  $S_G(x, y)$  by using (4) and Boutet de Monvel and Sjöstrand's classical result for the Szegő kernel  $S(x, y)$ .

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- Since the Kohn Laplacian  $\square_b$  may not have closed range, it is difficult to study  $S_G(x, y)$  by using (4).

# Spectral kernel for Kohn Laplacian

- $\square_b$  is self-adjoint and the spectrum of  $\square_b$  is contained in  $\overline{\mathbb{R}}_+$ .
- For  $\lambda \geq 0$ , set  $H_{b, \leq \lambda}^0(X) := \text{Ran } E([0, \lambda]) \subset L^2(X)$ ,
  - $E([0, \lambda])$ : the spectral projection of  $\square_b$ ,
  - $E$ : the spectral measure of  $\square_b$ .

- Let

$$S_{\leq \lambda} : L^2(X) \rightarrow H_{b, \leq \lambda}^0(X)$$

be the orthogonal projection with respect to the product  $(\cdot | \cdot)$ .

- Let  $S_{\leq \lambda}(x, y) \in \mathcal{D}'(X \times X)$  be the distribution kernels of  $S_{\leq \lambda}$ .

# Szegő projection for lower energy functions

## Theorem (H/Marinescu, 2017)

Assume that the Levi form is positive on an open set  $D \Subset X$ . Then for every  $\lambda > 0$ ,  $S_{\leq \lambda}(x, y)$  is a complex Fourier integral operator on  $D$  of the form

$$S_{\leq \lambda}(x, y) \equiv \int_0^{\infty} e^{i\varphi(x, y)t} s(x, y, t) dt,$$
$$s(x, y, t) \sim \sum_{j=0}^{+\infty} t^{n-j} s_j(x, y), \quad (5)$$
$$s_0(x, y) \neq 0,$$
$$\operatorname{Im} \varphi \geq 0, \quad \varphi(x, x) = 0.$$



# $G$ -invariant Szegő kernel asymptotics

- From the closed range property for  $G$ -invariant Kohn Laplacian, we can show that

## Theorem

*There is a  $\lambda_0 > 0$  such that*

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- From (5), (6) and note that the Levi form is positive near  $\mu^{-1}(0)$ , we can study  $S_G(x, y)$  near  $\mu^{-1}(0)$ .
- By using Kohn's estimates, we can show that  $S_G$  is smoothing away  $\mu^{-1}(0)$ .

## Theorem III (H/Ma/Marinescu, 2019)

- $S_G$  is smoothing outside  $\mu^{-1}(0)$ .
- In an open set  $U$  of  $\mu^{-1}(0)$ , we have

$$S_G(x, y) \equiv \int_0^\infty e^{i\Phi(x, y)t} a(x, y, t) dt \quad \text{on } U \times U,$$

- $a(x, y, t) \sim \sum_{j=0}^\infty a_j(x, y) t^{n-\frac{d}{2}-j}$  in  $S_{1,0}^{n-\frac{d}{2}}(U \times U \times \mathbb{R}_+)$ ,
- $d_x \Phi(x, x) = -d_y \Phi(x, x) = -\omega_0(x)$ ,  $\forall x \in \mu^{-1}(0)$ ,
- $\text{Im } \Phi(x, y) \geq 0$ ,  $\text{Im } \Phi(x, x) \approx d(x, \mu^{-1}(0))^2$ .

# The outline of the proof of Theorem I

- Consider  $\widehat{\sigma}$  as a map acting on  $L^2(X)$ :

$$\widehat{\sigma} : L^2(X) \rightarrow H_b^0(Y_G) \subset L^2(Y_G), \quad u \rightarrow S_{Y_G} \circ E \circ \iota_G \circ f \circ S_G \circ u.$$

- Let  $\widehat{\sigma}^* : L^2(Y_G) \rightarrow \mathcal{D}'(X)$  be the adjoint of  $\widehat{\sigma}$ .
- From Theorem III and by developing some kind of complex Fourier integral operators calculation, we can show that
  - $F := \widehat{\sigma}^* \widehat{\sigma} : L^2(X) \rightarrow \mathcal{D}'(X)$  is the same type of operator as  $S_G$ ,
  - $F = C_0(I - R)S_G$ ,  $C_0$  is a constant,  $R$  is also the same type of operator as  $S_G$ .
  - We take  $E$  so that the order of  $F$  is the same as the order of  $S_G$ .

# The outline of the proof of Theorem I

- We can take  $f$  so that
  - the leading symbol of  $R$  vanishes at  $\text{diag}(\mu^{-1}(0) \times \mu^{-1}(0))$ ,
  - $R : H^s(X) \rightarrow H^{s+\varepsilon}(X)$  is continuous, for every  $s \in \mathbb{Z}$ , where  $\varepsilon > 0$  is a constant and  $H^s(X)$  denotes the Sobolev space of order  $s$  on  $X$ .
- From  $F = \hat{\sigma}^* \hat{\sigma} = C_0(I - R)$  on  $H_b^0(X)^G$  and the regularity property of  $R$ , we can show that
  - the kernel of  $F : H_b^0(X)^G \rightarrow H_b^0(X)^G$  is a finite dimensional subspace of  $\mathcal{C}^\infty(X) \cap H_b^0(X)^G$ .
- Since  $\text{Ker } \hat{\sigma} \subset \text{Ker } F$ ,  $\text{Ker } \hat{\sigma}$  is a finite dimensional subspace of  $\mathcal{C}^\infty(X) \cap H_b^0(X)^G$ .

# The outline of the proof of Theorem I

- Similarly, we can repeat the argument above with minor change and deduce that
  - the kernel of the map

$$\hat{F} = \hat{\sigma}\hat{\sigma}^* : H_b^0(Y_G) \rightarrow H_b^0(Y_G)$$

is a finite dimensional subspace of  $\mathcal{C}^\infty(Y_G) \cap H_b^0(Y_G)$ .

- Since  $(\text{Im } \hat{\sigma})^\perp \subset \text{Ker } \hat{F}$ ,  $(\text{Im } \hat{\sigma})^\perp$  is a finite dimensional subspace of  $\mathcal{C}^\infty(Y_G) \cap H_b^0(Y_G)$ .

# The outline of the proof of Theorem I

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- We can also apply the method of the proof to study some extension problems in several complex variables.

# Microlocal isometric map $\sigma$

- By using spectral theory, we will show that there is a self-adjoint bounded operator
  - $\sqrt{N_+} : L^2(X) \rightarrow L^2(X)$ ,
  - $\sqrt{N_+} S_G = S_G \sqrt{N_+}$  on  $L^2(X)$ ,
  - $\sqrt{N_+}(I - R)\sqrt{N_+} = I - P$  on  $L^2(X)$ , where  $P$  is the orthogonal projection from  $L^2(X)$  onto  $\text{Ker}(I - R)$ .
- Let  $\sigma := \frac{1}{\sqrt{C_0}} \widehat{\sigma} \circ S_G \circ \sqrt{N_+} : H_b^0(X)^G \rightarrow H_b^0(Y_G)$ .
- $\sigma^* \sigma = \frac{1}{C_0} \sqrt{N_+} \widehat{\sigma}^* \widehat{\sigma} \sqrt{N_+} = \sqrt{N_+}(I - R)\sqrt{N_+} = I - P$ .



# Microlocal isometric map $\sigma$

- We have

$$(\sigma u | \sigma v)_{Y_G} = (\sqrt{N_+}(I-R)\sqrt{N_+}u | v) = (u | v) - (Pu | v),$$

for every  $u, v \in H_b^0(X)^G$ .

- Note that  $P$  is smoothing and for  $u \in H_b^0(X)^G$ ,  $Pu = 0$  if and only if  $u \in (\text{Ker } \sigma)^\perp$ .
- $\sigma$  is microlocally isometric.

## Theorem (H/Ma/Marinescu, 2019)

Suppose that  $\bar{\partial}_{b, Y_G}$  has  $L^2$  closed range and the Levi form is positive near  $\mu^{-1}(0)$ . The map

$$\sigma : H_b^0(X)^G \rightarrow H_b^0(Y_G)$$

is Fredholm and

$$(\sigma u | \sigma v)_{Y_G} = (u | v), \quad u, v \in (\text{Ker } \sigma)^\perp.$$