

# Introduction to random zeros of holomorphic sections:

## Part 1: construction of random sections

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Central objective: zeros of random holomorphic sections on a complex manifold and their semi-classical limits

1st: construction of Gaussian (random) holomorphic sections  
How to study their zeros?

2nd: Introduce the semi-classical setting  
Prove the equidistribution results for random zeros

3th: Large Deviation Estimates (LDEs)  
⇒ Hole probability

4th: Variance of "random zeros"  
& Central Limit Theorem (CLT)

Basic tools: ① Probability theory

② complex geometry/analysis

- Bergman kernel
- Subharmonic function
- positive currents

③ Techniques from local index theory

Main References:

compact  
Kähler  
manifolds

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- B. SHIFFMAN, S. ZELDITCH, AND S. ZREBIEC, Overcrowding and hole probabilities for random zeros on complex manifolds, *Indiana Univ. Math. J.*, 57 (2008), pp. 1977–1997.

non-compact  
setting

- A. DREWITZ, B. LIU, AND G. MARINESCU, Large deviations for zeros of holomorphic sections on punctured Riemann surfaces, *Michigan Mathematical Journal*, Advance Publication (2023), pp. 1–41.
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## §0 Notation / Overview

$X$  connected complex mfd (paracompact)  
 $\pi: L \rightarrow X$  hol. line bundle

$$H^0(X, L) = \{ \text{(global) hol. sections} \}$$

$$\bar{\partial}^L \approx \sum_j dz_j \frac{\partial}{\partial \bar{z}_j} \quad (z_1, \dots, z_n) \text{ complex coord.}$$

$n = \dim_{\mathbb{C}} X$

More:  $\int dV$  volume form  $X$   $C^\infty$   
 $h_L$  Hermitian metric on  $L$

$L^2$ -inner product

$$L^2(X, L) = \{ \|s\|_{L^2(X, L)}^2 := \int_X |s(x)|_{h_L}^2 dV(x) < \infty \}$$

Def:  $H_{(2)}^0(X, L) = H^0(X, L) \cap L^2(X, L) \subset L^2(X, L)$  closed

(separable) Hilbert space

$$d = \dim_{\mathbb{C}} H_{(2)}^0(X, L) = \begin{cases} \aleph & \\ \infty & \text{"difficult"} \end{cases}$$

$h_L$  Chern connection  $\nabla^L \rightsquigarrow$  Chern curvature  $R^L$

First Chern form  $c_1(L, h_L) := \frac{\text{Tr } R^L}{2\pi}$   $C^\infty$  (1,1)-forms

$s$  hol. section  $Z(s) := \{ x \in X, s(x) = 0 \}$   
 $\approx$  complex submanifold of codim = 1

$\Rightarrow [Z(s)]$  (1,1)-current

$$Q = [Z(s)] \xrightarrow{\neq} G(L, h_L)$$

$\uparrow \qquad \qquad \uparrow$   
 For us: random \qquad deterministic

## § 1 Preliminary

§ 1.1 Probability theory: Gaussian variables

$$\eta \sim N_{\mathbb{C}}(0, \frac{1}{2}) \quad \text{standard complex Gaussian}$$

$$\eta = \text{Re}(\eta) + j \text{Im}(\eta)$$

$\nwarrow \qquad \qquad \nearrow$   
 $N_{\mathbb{R}}(0, \frac{1}{2}) \qquad \text{independent}$

Probability density fct:  $\frac{1}{\pi} e^{-|\eta|^2} d^2\eta$  PDF on  $\mathbb{C}$

i.i.d: independent & identically distributed

Gaussianity:  $\{\eta_j\}_{j=1}^{\infty}$  i.i.d  $\sim N_{\mathbb{C}}(0, 1)$

$$\vec{a}, \vec{b} \in \ell^2(\mathbb{C})$$

$$\langle \vec{a}, \vec{\eta} \rangle, \langle \vec{b}, \vec{\eta} \rangle \quad \text{Gaussian}$$

$$\int N_{\mathbb{C}}(0, |\vec{a}|_{\ell^2}^2)$$

If  $\langle \vec{a}, \vec{b} \rangle_{\ell^2} = 0$ ,  $\langle \vec{a}, \vec{\eta} \rangle, \langle \vec{b}, \vec{\eta} \rangle$  are independent

## § 1.2 Current theory

$$(1, 1)\text{-current } \beta: \int_0^{\eta_1, \eta_2} (X) \rightarrow \mathbb{C}$$

test form

流动形

$$\varphi \mapsto \langle \beta, \varphi \rangle$$

Thm (Lelong 1957)  $Y \subset X$  analytic subset with  
pure codim = 1

$$\langle [Y], \varphi \rangle := \int_{Y^{\text{reg}}} \varphi|_{Y^{\text{reg}}}$$

$[Y]$  defines a closed positive  $(1,1)$ -current.  
( $d[Y] = 0$ )

$0 \neq s \in H^0(X, L)$   $[Z(s)]$  is closed positive  $(1,1)$ -current  
with  $h_L$

Thm (Poincaré-Lelong formula)  $s \neq 0$

$$\underline{[Z(s)]} = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log |s|_{h_L}^2 + c_1(L, h_L)$$

$\int_{\text{loc}}^1 (X)$

Weak topology on  $(1,1)$ -currents

$$\beta_p \rightarrow \beta \text{ as } (1,1)\text{-currents}$$

$$\Leftrightarrow \forall \varphi \in \text{test forms}$$

$$\langle \beta_p, \varphi \rangle \rightarrow \langle \beta, \varphi \rangle \text{ in } \mathbb{C}$$

§2 Construction of Gaussian hol. sections

$X$  cplx mfd  $dV$

$(L, h_L)$  Hermitian hol. line bundle

$$H^0(X, L) \neq \{0\}$$

— Take  $s_1, \dots, s_d \in H^0(X, L)$   $s_j \neq 0$   
 $d \in \mathbb{N}_{\geq 1} \cup \{\infty\}$



Hypothesis: 
$$B(x) := \sum_{j=1}^{\infty} |f_j(x)|_{h_L}^2 < \infty \quad \forall x$$

locally uniformly convergent!

—  $\{\eta_j\}_{j=1}^d$  i.i.d.  $\sim \mathcal{N}_{\mathbb{C}}(0, 1)$

Def: 
$$S_\eta := \sum_{j=1}^d \eta_j S_j$$

Thm 1: (cf. Kahane's book some random series of functions)

①  $S_\eta$  is almost surely a holomorphic section on  $X$ .  
(a.s.)  $\nabla$  of  $L$

② If  $\{S_j\}_{j=1}^d \subset H_{(2,1)}^0(X, L)$  is an  $L^2$ -orthogonal family,  $0 < C_1 < C_2 < +\infty$   

$$C_1 < \|S_j\|_{L^2(X, L)}^2 < C_2 \quad \forall j.$$

Then

$$d < \infty \Leftrightarrow \int_X B(x) d\mu(x) < \infty \Leftrightarrow S_\eta \text{ is a.s. } L^2 \text{ on } X$$

$$d = \infty \Leftrightarrow \int_X B(x) d\mu(x) = \infty \Leftrightarrow S_\eta \text{ is a.s. non-} L^2 \text{ on } X$$

(almost never  $L^2$ )

Proof: Assume  $d = \infty$   $\bar{U}$  compact  $\subset X$

① It's enough to prove that:  $\bar{U} \subset\subset X$

$$(*) \quad \mathbb{P} \left( \limsup_{k \rightarrow \infty} \sup_{U \subset \bar{U}} \left\| \sum_{j=1}^k \eta_{k+j} S_{k+j} \right\|_{L^2(U)}^2 > \varepsilon \right) = 0$$

Why?

For holomorphic sections

local  $L^2$ -norm  $\geq$  local  $C^0$ -norm

(\*) holds, taking  $\epsilon = \frac{1}{N}$   $N \rightarrow \infty$

$\Rightarrow S_\eta|_U$  is almost surely holomorphic

$U_j \uparrow X \Rightarrow S_\eta$  is a.s. holomorphic on  $X$ .

Proof of (\*):  $Y_e^k(U) = \left\| \sum_{j=1}^e \eta_{k+j} S_{k+j} \right\|_{L^2(U)}^2$

$k, U$   
fixed

$\{Y_e^k(U)\}_{e=1}^N$  is a submartingale w.r.t.  $(\mathcal{F}_e^k)$

$$\mathcal{F}_e^k = \sigma(\langle \eta_{k+i} S_{k+i}, \eta_{k+j} S_{k+j} \rangle_{i,j \leq e})$$

i.e.  $\mathbb{E}[Y_{e+1}^k | \mathcal{F}_e^k] \geq Y_e^k$   $i, j \leq e$

$$Y_{e+1}^k = Y_e^k + \left\| \eta_{k+e+1} S_{k+e+1} \right\|_{L^2(U)}^2 + 2 \operatorname{Re} \langle \eta_{k+e+1} S_{k+e+1}, \sum_{j=1}^e \eta_{k+j} S_{k+j} \rangle_{L^2(U)}$$

$$\mathbb{E}[Y_{e+1}^k | \mathcal{F}_e^k] = Y_e^k + \underbrace{\left\| S_{k+e+1} \right\|_{L^2(U)}^2}_{> 0} + 0$$

Doob's submartingale inequality  $r > 0$

For  $k$   $\rightarrow$   $\mathbb{P} \left( \sup_{e=1, \dots, N} Y_e^k(U) > r \right) \leq \frac{1}{r} \mathbb{E}[Y_N^k(U)]$

$$\mathbb{E}[Y_N^k(U)] = \int_U \sum_{j=1}^N \underbrace{|\eta_{k+j} S_{k+j}|_{h_h}^2}_{\Delta} d\nu(x)$$

converge as  $N \rightarrow \infty$

$$N \rightarrow +\infty$$

$$\mathbb{P} \left( \sup_{e \geq 1} Y_e^k(\omega) > r \right) \leq \frac{1}{r} \int \sum_{j=k+1}^{\infty} \|S_j(\omega)\|_{L^2}^2 d\mu(\omega)$$

$$k \rightarrow +\infty$$

$$\mathbb{P} \left( \limsup_{k \rightarrow +\infty} \sup_{e \geq 1} Y_e^k(\omega) > r \right) = 0 \Rightarrow (*).$$

$$\textcircled{2} \quad d = \infty \Leftrightarrow \int_X B(\infty) d\mu(x) = \infty$$

Kolmogorov's strong law of large number

$$\frac{1}{N} \sum_{j=1}^N \eta_j^2 \|S_j\|_{L^2(X)}^2 - \frac{1}{N} \sum_{j=1}^N \|S_j\|_{L^2(X)}^2 \xrightarrow{\text{a.s.}} 0$$

$\underbrace{\hspace{10em}}_{\geq Nc_1} \quad 0 < c_1 \leq \hspace{10em}$

$$\mathbb{P} \left( \sum_{j=1}^N \eta_j \|S_j\|_{L^2(X)} \xrightarrow{N \rightarrow \infty} \infty \right) = 1$$

$S_\eta$  almost never  $L^2$ -integrable on  $X$ . #

§ 3. Expectation of zeros of  $S_\eta$

Thm 1:  $(\Omega, \mathcal{P})$  probability where  $\{\eta_j\}$  lives

$$S_\eta : \Omega \longrightarrow H^0(X, L)$$

$$\omega \longmapsto S_{\eta(\omega)}$$



"measurable"

Fréchet space  
semi-norm  $\|s\|_{C^0(K)}$

Fact:  $[Z(S_\eta)] : \Omega \longrightarrow (\mathbb{Z}, \mathbb{Z})$ -arrays  
is "measurable"

i.e.  $\forall \varphi$  test form

$$\langle \underbrace{[Z(S_\eta)]}_{\parallel}, \varphi \rangle \in \mathbb{C} \quad \text{is measurable.}$$

$$\lim_{N \rightarrow +\infty} \langle \underbrace{\frac{dF}{2\pi} \log(|S_\eta|_{h_h}^2 + \frac{1}{N}) + G(L, h_h)}_{\text{measurable}}, \varphi \rangle$$

Def: If  $\beta$   $(1, 1)$ -current s.t.  $\forall \varphi$  test form  
 $\mathbb{E}[\langle [Z(S_\eta)], \varphi \rangle] = \langle \beta, \varphi \rangle$

Then  $\beta$  is expectation of  $[Z(S_\eta)]$

$$\beta =: \mathbb{E}[ [Z(S_\eta)] ]$$

Thm 2 (Edelman - Kostlan formula / Probabilistic Poincaré-Lelong formula)

$S_\eta$  as in Thm 1.

$$\mathbb{E}[ [Z(S_\eta)] ] = \underbrace{\frac{dF}{2\pi} \partial \bar{\partial} \log(B(x))}_{\text{Fubini-Study current}} + \underbrace{G(L, h_h)}_{\text{}} \geq 0$$

$\gamma_{FS}(\{S_j\}_j, h_h)$

analogue to  $X \dashrightarrow \mathbb{C}P^{d-1}$   
 $\beta \mapsto (S_j(x))$

Proof:  $\varphi$  test form

$$\mathbb{E}[\langle [Z(S_\eta)], \varphi \rangle] = \mathbb{E} \left[ \int_X \frac{dF}{2\pi} \log(|S(x)|_{h_h}^2) \partial \bar{\partial} \varphi(x) \right] + \langle G(L, h_h), \varphi \rangle$$

Using Fubini-Tonelli Thm to exchange the integrals.

$x \in X \setminus \{B(x)=0\}$  ← measure 0 (real codim  $\geq 2$ )

$$S_\eta(x) = \sum_j \eta_j S_j(x)$$

$$|e_k(x)|_{h_L} = 1 \quad S_j(x) = \frac{f_j(x)}{f_j(x)} e_k(x)$$

$$B(x) = \sum_{j=1}^{\infty} |f_j(x)|^2 \neq 0$$

$$S_\eta(x) = \left( \sum_{j=1}^d \eta_j \frac{f_j(x)}{\sqrt{B(x)}} \cdot e_k(x) \right) \cdot \sqrt{B(x)}$$

$\left( \frac{f_j(x)}{\sqrt{B(x)}} \right)_{j=1}^d \in \ell^2(\mathbb{C})$  norm = 1

Gaussian  $\sim \mathcal{N}_{\mathbb{C}}(0, 1)$  independent of  $\underline{x}$

$$\mathbb{E} \left[ \log |S_\eta(x)|_{h_L}^2 \right] = \mathbb{E} \left[ \log |\eta|^2 \right] + \log B(x)$$

$\downarrow$   
 $\mathcal{N}_{\mathbb{C}}(0, 1)$   
const

$$\mathbb{E} \left[ \langle [Z(s_\eta)], \varphi \rangle \right] = \langle \zeta(L, h_L), \varphi \rangle$$

$$+ \int_{\frac{\partial \mathbb{E}}{\partial X}} \underbrace{(\text{const} + \log B(x))}_{\Delta} \partial \bar{\partial} \varphi$$

$$\langle \frac{\zeta}{2\pi} \partial \bar{\partial} \log B(x), \varphi \rangle \quad \#$$

§4. standard Gaussian hol. section

$$(L, h_h) \rightarrow X \quad dV$$

$$\{S_j\}_{j=1}^d \text{ ONB } H_{(2)}^0(X, L)$$

$$d = \dim_{\mathbb{C}} H_{(2)}^0(X, L) \in \mathbb{N} \cup \{\infty\}$$

Bergman kernel:

$$B : L^2(X, L) \xrightarrow{\perp} H_{(2)}^0(X, L)$$

$B(x, y)$  Schwartz kernel

$\bar{\partial}^{\perp}$  elliptic  $\Rightarrow B(x, y) \in C^{\infty}$  on  $X \times X$ .

$$B(x, y) = \sum_{j=1}^d S_j(x) \otimes S_j(y)^* \in L_x \otimes L_y^*$$

Bergman kernel function  $\xrightarrow{\text{locally uniformly convergent!}}$

$$\underline{B(x)} := B(x, x) = \sum_{j=1}^d |S_j(x)|_{h_h}^2$$

Sobolev Hypothesis

for Thm 1.

Def:  $S(L) := S_{\eta}$  from Thm 1.

called standard Gaussian hol. section of  $L$  over  $X$ .

Lemma: ①  $d < \infty \Leftrightarrow S(L)$  a.s.  $L^2(X)$

$d = \infty \Leftrightarrow S(L)$  a.s. non- $L^2(X)$ .

② Uniqueness:

the probability distribution of  $S(L)$

Gaussianity

does not depend on the choice of ONB  $\{s_j\}$ .

#

- Bargmann-Fock

$$X = \mathbb{C}$$

$$(L, h_L) = (\mathbb{C}, e^{-|z|^2})$$

$$H_{(0,1)}^0(X, L) = \text{Span} \left\{ \frac{z^j}{\sqrt{j!}} \right\}$$

$$\dim = \infty$$

-  $D \subset \mathbb{C}^n$

$$H_{(0,1)}^0(D) = \left\{ f \text{ hol}, \|f\|_{L^2(D)} < \infty \right\}$$

↓

$$\omega_B := \frac{\bar{\partial} \bar{\partial} \log B(x)}{2\pi} \quad \text{Bergman metric}$$



hyperconvex  
bdd

$$\| \cdot \|_{L^2(S_{\eta})}$$

$$\Rightarrow \omega_B \text{ is complete}$$