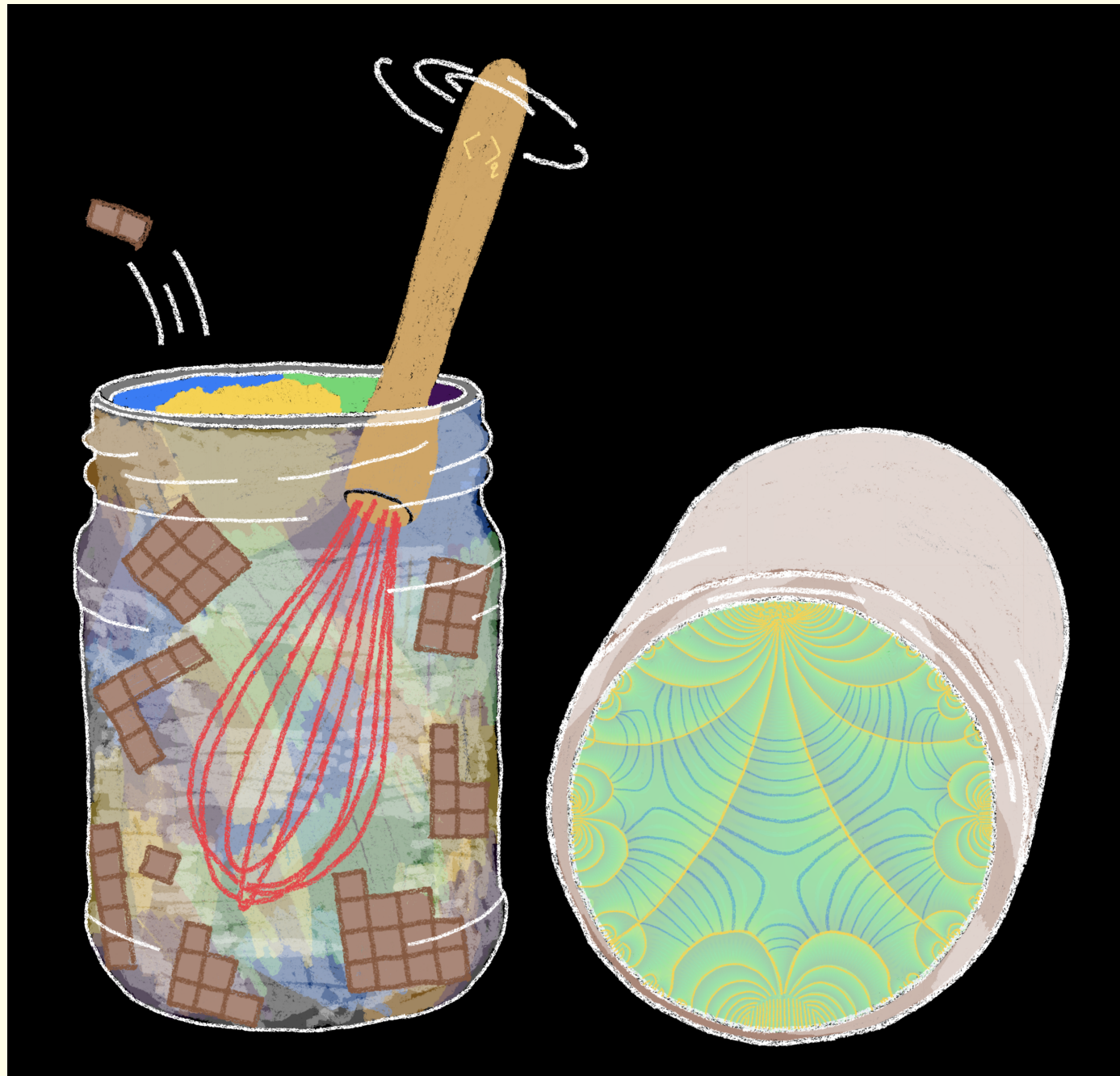


From partitions  
to  $q$ -analogues  
of multiple zeta values

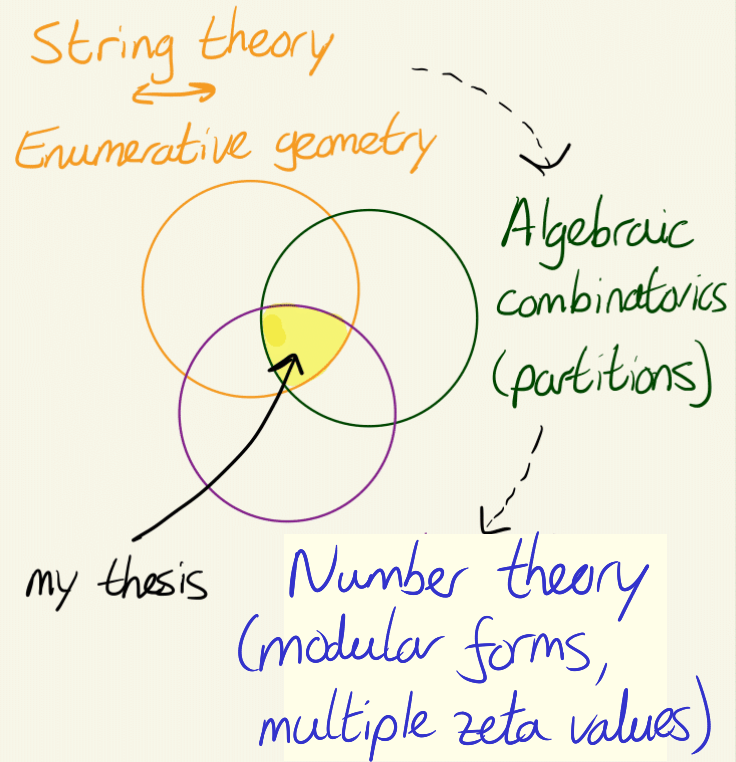
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Jan-Willem van Ittersum  
MPIM Bonn  
(Utrecht University)

24 november 2021



# Part I: Partitions & modular forms





## cake

### Ingredients

200 g butter

200 g sugar

4 eggs

200 g self-rising flour

1 g salt



## bread

### Ingredients

200 g self-rising flour

1 g salt

150 g water



## Quasimodular forms

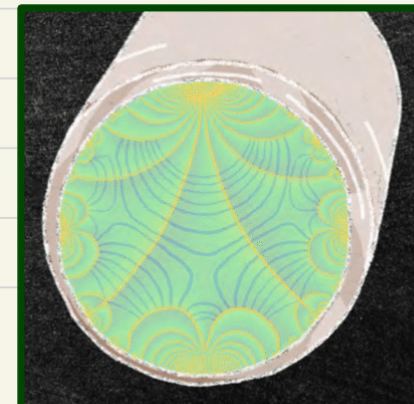
### Ingredients

5

6

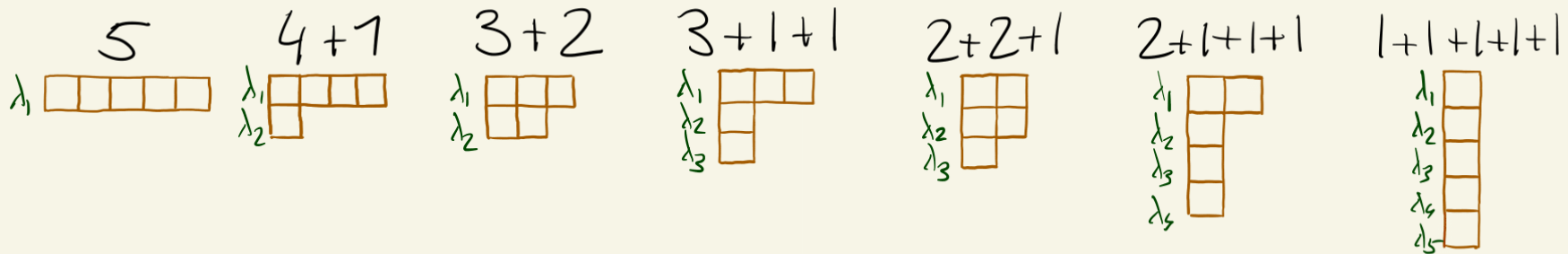
6

...



# Ingredients: partitions

Ex Partitions  $\lambda$  of  $n=5$  are



Def A partition  $\lambda = (\lambda_1, \lambda_2, \dots)$  is a way to write an integer  $n$  as a sum of positive integers  $\lambda_1, \lambda_2, \dots$  (where the order of summation doesn't matter).

Thm (Euler)  $\sum_{\lambda \in \mathcal{P}} q^{|\lambda|} = 1 + q + 2q^2 + 3q^3 + 5q^4 + \underline{7q^5} + \dots = \prod_{m=1}^{\infty} \frac{1}{(1-q)^m}$ .

*integer  $n$  that  $\lambda$  is a partition of* (pointing to  $|\lambda|$ )

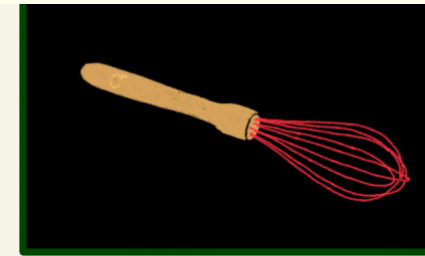
*set of all partitions  $n = \lambda_1 + \lambda_2 + \dots$  for all  $n$*  (pointing to  $\mathcal{P}$ )

$\sum_n p(n)q^n =$  (pointing to the left side of the equation)





# Whisk: the $q$ -bracket



Given  $f: P \rightarrow \mathbb{Q}$ , the  $q$ -bracket of  $f$  is given by

Ex  $S_k$  ↗

$$\langle f \rangle_q := \frac{\sum_{\lambda \in P} f(\lambda) q^{|\lambda|}}{\sum_{\lambda \in P} q^{|\lambda|}} \in \mathbb{Q}[[q]]$$

formal power series in  $q$ .

↙ ← generating series of partitions (Euler)

Ex

$$\langle S_1 \rangle_q = \frac{\sum_{\lambda \in P} |\lambda| q^{|\lambda|}}{\sum_{\lambda \in P} q^{|\lambda|}} = q + 3q^2 + 4q^3 + 7q^4 + 6q^5 + \dots$$

recall ↗

$S_1(\lambda) = |\lambda| = \sum_i \lambda_i$

$$= \sum_n \left( \sum_{d|n} d \right) q^n$$

divisor sum ↗ ← a quasimodular form

Proof

$$\langle S_1 \rangle_q = q \frac{\partial}{\partial q} \log \left( \sum q^{|\lambda|} \right) = q \frac{\partial}{\partial q} \log \left( \prod_m \frac{1}{1-q^m} \right)$$

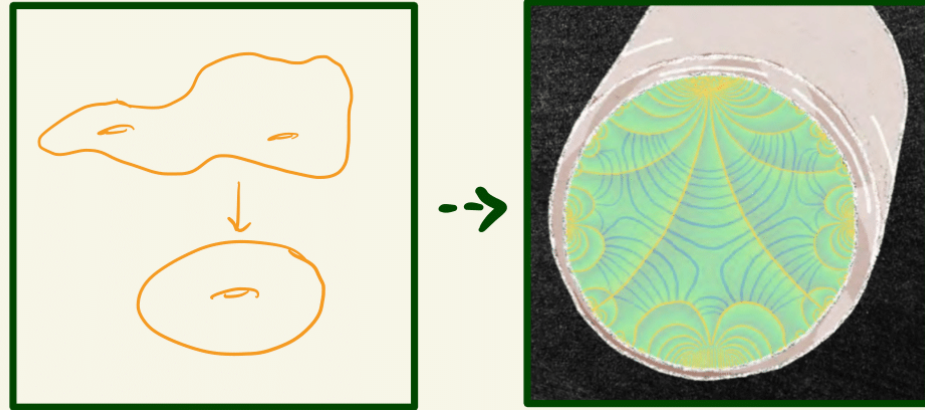
$$= \sum_{m,r} m q^{mr} = \sum_n \left( \sum_{d|n} d \right) q^n$$



# Result: a (quasi) modular form

Quasimodular forms are everywhere:

- Fermat's last theorem
- Sphere packing problem
- Coverings of tori
- ...



Two key properties of quasimodular forms (QMF):

(i) The sum, difference and product of two QMFs is a QMF

Also, the derivative is a QMF.

(ii) Only one QMF is needed to generate all of them using (i) iteratively.

$$g_k = -\frac{B_k}{2k} + \sum_{m,r} m^{k-1} q^{mr} \in \mathbb{Q}[[q]] \quad \text{for all } k \geq 2 \text{ even}$$
$$\widehat{M} = \mathbb{Q}[g_2, g_4, g_6]$$

# Quasimodular forms and zeta values (intermezzo)

Recall

$$J(k) = \sum_{m \geq 1} \frac{1}{m^k}, \text{ e.g. } J(2) = \frac{\pi^2}{6}$$

Then

$$J(k) = (-1)^{k/2+1} \frac{(2\pi)^k B_k}{2k!} \quad k \text{ even integer}$$

Thm

The map  $\mathbb{Q}[g_2, g_4, g_6] \rightarrow \mathbb{R} \overset{\text{red}}{\mathbb{Q}[\pi^2]}$   
 $f \mapsto \lim_{q \rightarrow 1} (1-q)^{\text{wt } f} f$

is a ring homomorphism. Moreover, its image equals  $\mathbb{Q}[\pi^2]$

Ex

$$\begin{aligned} \lim_{q \rightarrow 1} (1-q)^2 g_2 &= \lim_{q \rightarrow 1} (1-q)^2 \left( -\frac{1}{24} + \sum_{m,r} m q^{mr} \right) = \sum_{m,r} \lim_{q \rightarrow 1} (1-q)^2 m q^{mr} \\ &= \sum_r \lim_{q \rightarrow 1} \frac{(1-q)^2 q^r}{(1-q)^2} = \sum_r \frac{1}{r^2} = J(2) = \frac{\pi^2}{6} \end{aligned}$$



# 3 recipes : addition

Ingredients **cake**  
200 g butter  
200 g sugar  
4 eggs  
200 g self-rising flour  
1 g salt

+

Ingredients **bread**  
200 g self-rising flour  
1 g salt  
150 g water

=

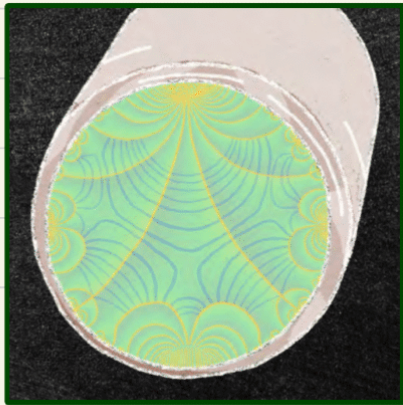
Ingredients **cake bread**  
200 g butter  
200 g sugar  
4 eggs  
400 g self-rising flour  
2 g salt  
150 g water



# 3 recipes: addition

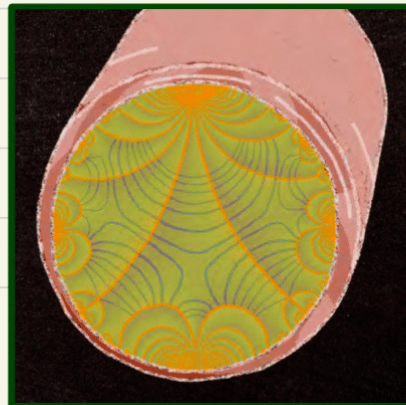
Ingredients  $S_1$

- 1 □
- 2 □□
- 2 □
- 3 □□□
- 3 □□
- 3 □□
- ...



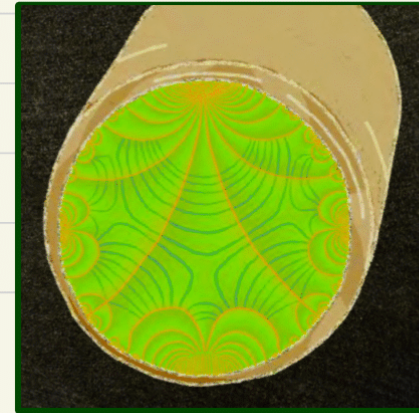
Ingredients  $S_3$

- 1 □
- 8 □□
- 2 □
- 27 □□□
- 9 □□
- 3 □□
- ...



Ingredients  $S_1 + S_3$

- 2 □
- 10 □□
- 4 □
- 30 □□□
- 12 □□
- 6 □□
- ...



+

=



# 3 recipes: multiplication

Ingredients **cake**

200g butter  
200g sugar  
4 eggs  
200g self-rising flour  
1g salt

×

Ingredients **bread**

200g self-rising flour  
1g salt  
150g water

=

**salted flour?!?**

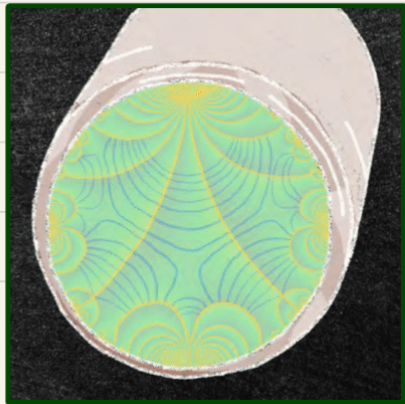
40000g<sup>2</sup> self-rising flour?  
1g<sup>2</sup> salt ?



# 3 recipes: multiplication

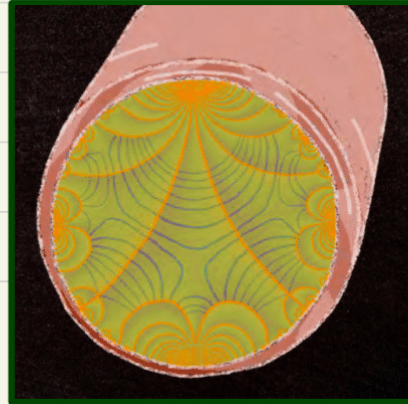
Ingredients  $S_1$

- 1 □
- 2 □□
- 2 □
- 3 □□□
- 3 □□
- 3 □
- ...



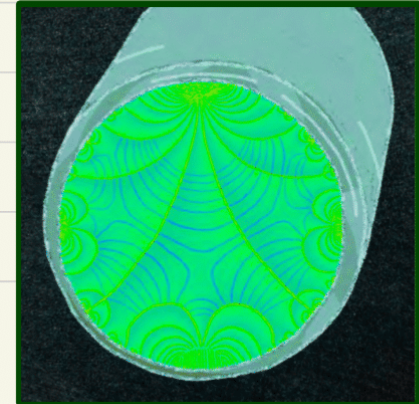
Ingredients  $S_3$

- 1 □
- 8 □□
- 2 □
- 27 □□□
- 9 □□
- 3 □
- ...



Ingredients  $S_1 S_3$

- 1 □
- 16 □□
- 4 □
- 81 □□□
- 27 □□
- 9 □
- ...





# Result: a (quasi) modular form

Observation  $\langle S_1^2 \rangle_q, \langle S_3^2 \rangle_q, \langle S_5^2 \rangle_q, \langle S_7^2 \rangle_q$  are all QMFs.  
 Even combinations as  $\langle S_1 S_3 \rangle_q, \langle S_1 S_5 S_7 \rangle_q$  and  
 $\langle S_1^2 S_3 S_5^3 S_7^4 \rangle_q$  are QMFs:



Thm (vI)

For all  $f$  in the algebra generated by the  $S_k$  ( $k$  odd)

vector space  
& ring

$\langle f \rangle_q$  is a QMF.

⚠ The  $q$ -bracket is not a ring homomorphism

Rk • Another such algebra, going back to the work of Dijkgraaf in string theory, was found by Bloch-Okounkov.

$$Q_k(\lambda) = \beta_k + \sum_{i=1}^{\infty} \left( (di - i + \frac{1}{2})^{k-1} - (-i + \frac{1}{2})^{k-1} \right) \left( \frac{1}{z} + \sum_k \beta_k \frac{z^{k-1}}{(k-1)!} = \frac{1}{2 \sinh(\frac{z}{2})} \right)$$

$\langle Q_k S_k \rangle_q$   
is not a QMF

Thm (Bloch-Okounkov) For all  $f$  in the algebra generated by the  $Q_k$

$\langle f \rangle_q$  is a QMF.

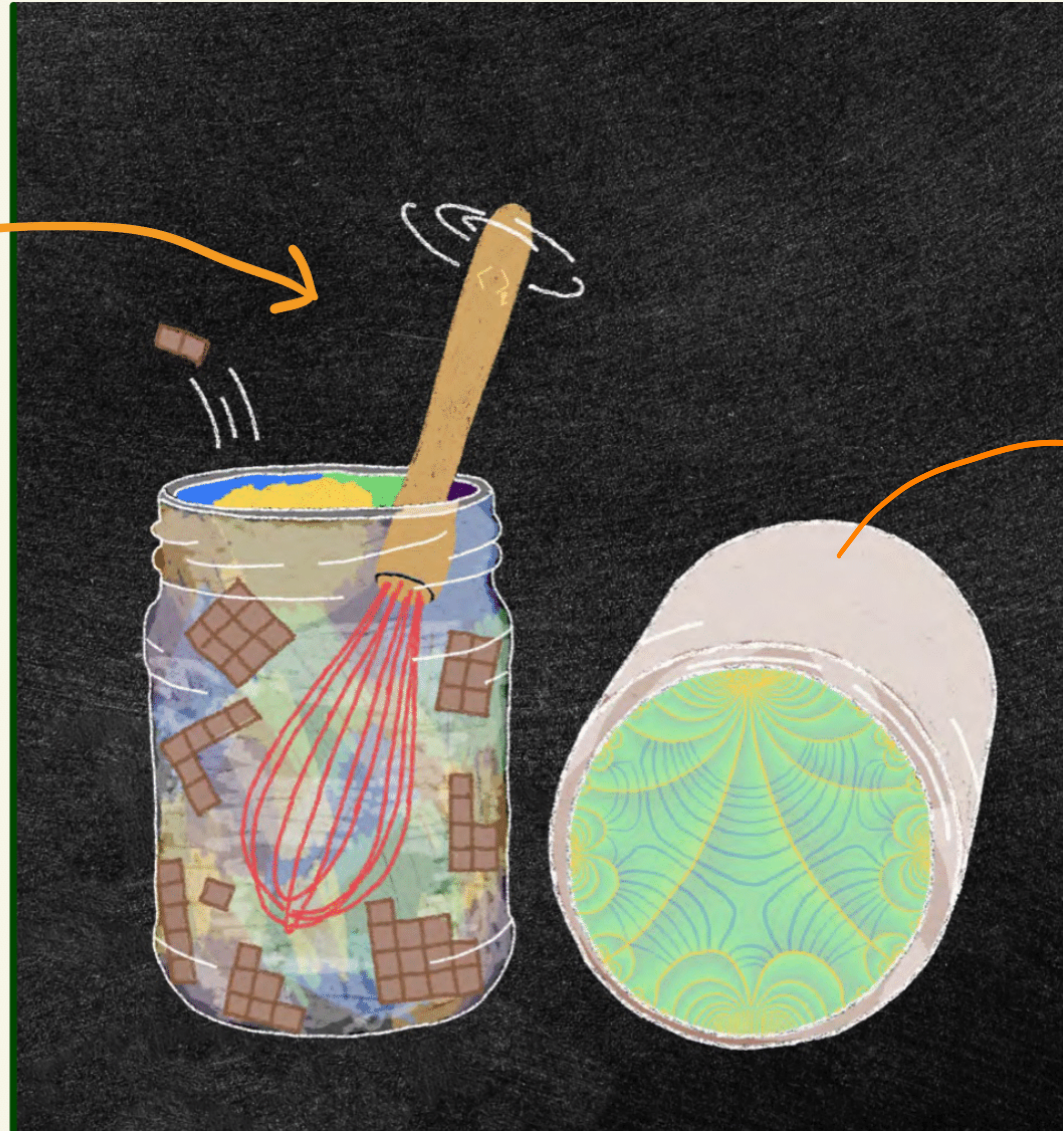


# Recipe

From partitions to modular forms

stable under addition and multiplication

algebra of  $S_k \rightarrow \mathcal{S}, \Lambda^*$   
algebra of  $Q_k \rightarrow$



# Limit

From modular forms to  $J$ 's.

$$J(k) = \sum_{m \geq 1} \frac{1}{m^k}$$

stable under addition and multiplication

$$\langle \cdot \rangle_q \rightarrow \tilde{M} \xrightarrow{\lim_{q \rightarrow 1} (1-q)^k f} \mathbb{Q}[\pi^2]$$

quasimodular forms

## Part II: partitions and multiple zeta values (jt. with Henrik Bachmann)

Def For integers  $k_1, \dots, k_r \geq 1$ ,  $k_1 \geq 2$ , let

$$\zeta(k_1, \dots, k_r) := \sum_{m_1 \geq \dots \geq m_r \geq 1} \frac{1}{m_1^{k_1} \dots m_r^{k_r}}$$

and let  $\mathcal{Z} \subset \mathbb{R}$  be the vector space of MZVs.

Ex There are many relations between MZVs! (double shuffle)

$$\zeta(2,3) + \zeta(3,2) + \zeta(5) = \zeta(2)\zeta(3) = \zeta(2,3) + 3\zeta(3,2) + 6\zeta(4,1)$$

$$\zeta(5) - \zeta(4,1) + \zeta(3,1,1) - \zeta(2,1,1,1) = 0 \quad (\text{Ohno-Zagier})$$

$$\zeta(4,1) + \zeta(3,2) + \zeta(2,3) = \zeta(5) \quad (\text{sum formula})$$

Overview  $\mathbb{Q}^p \cong \{P \rightarrow \mathbb{Q}\} \rightarrow \mathbb{Q}[[q]] \rightarrow \mathbb{R}$

no relations  $\rightarrow$   $\begin{matrix} \cup \\ P \end{matrix} \rightarrow \begin{matrix} \cup \\ \tilde{Z}_q \end{matrix} \rightarrow \begin{matrix} \cup \\ \tilde{Z} \end{matrix}$

$\begin{matrix} \cup \\ \Lambda^*, \delta \end{matrix} \xrightarrow{\langle \rangle_q} \begin{matrix} \cup \\ \tilde{M} \end{matrix} \xrightarrow[\lim_{q \rightarrow 1} (1-q)^k]{} \begin{matrix} \cup \\ \mathbb{Q}[\pi^2] \end{matrix}$

Rk For any  $g = 1 + o(q) \in \mathbb{Q}[[q]]$

$$\mathbb{Q}^{\mathbb{N}} \cong \mathbb{Q}[[q]]$$

$$f \mapsto \left( \sum_n f(n) q^n \right) g$$

Def The  $\underline{u}$ -bracket is given by

$$\langle \rangle_{\underline{u}} : \mathbb{Q}^p \cong \mathbb{Q}[[u_1, u_2, u_3, \dots]]$$

$$f \mapsto \frac{\sum_{\lambda} f(\lambda) u_{\lambda}}{\sum_{\lambda} u_{\lambda}}$$

$$\langle \rangle_q = \langle \rangle_{\underline{u} | u_i = q^i}$$

$$(u_{\lambda} = u_{\lambda_1} u_{\lambda_2} u_{\lambda_3} \dots)$$



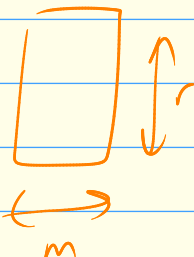
Def The  $\underline{u}$ -bracket is given by

$$\langle \cdot \rangle_{\underline{u}} : \mathbb{Q}^P \xrightarrow{\sim} \mathbb{Q}[u_1, u_2, u_3, \dots]$$

$$f \mapsto \frac{\sum f(\lambda) u_\lambda}{\sum u_\lambda}$$

Ex  $S_k(\lambda) = \sum_i \lambda_i^{k-1}$   
 $= \sum_m m^{k-1} r_m(\lambda)$

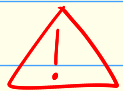
multiplicity of  $m$  in  $\lambda$

$$\langle S_k \rangle_{\underline{u}} = \sum_{m, r \geq 1} m^{k-1} u_m^r$$


Def Let  $\psi : \bigoplus_{n \geq 0} \mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n] \rightarrow \mathbb{Q}[u_1, u_2, \dots]$

$$g(x_1, \dots, y_n) \mapsto \sum_{\substack{m_1, \dots, m_n \geq 1 \\ r_1, \dots, r_n \geq 1}} g(m_1, \dots, m_n, r_1, \dots, r_n) u_{m_1}^{r_1} \dots u_{m_n}^{r_n}$$

Then, we let  $\langle P \rangle_{\underline{u}} := \text{Im } \psi$

  $\psi$  is injective

## Bi-brackets

$$\text{Let } g(x_1, \dots, x_n) = \prod_j x_j^{d_j} \frac{y_j^{k_j-1}}{(k_j-1)!} \quad \text{for } d_1, \dots, d_n \geq 0, k_1, \dots, k_n \geq 1$$

$\langle \rangle_u^{-1}(\Psi(g)) : \mathcal{P} \rightarrow \mathcal{Q}$  is given by

$$P(k_1 \dots k_n) := \lambda \mapsto \sum_{m_1, \dots, m_n \geq 1} \prod_j \binom{d_j}{m_j} \sum_{n=1}^{m_j} \frac{r_j^{k_j-1}}{(k_j-1)!}$$

Then,

$$\left\langle P(k_1 \dots k_n) \right\rangle_q = \sum_{\substack{m_1, \dots, m_n \geq 1 \\ r_1, \dots, r_n \geq 1}} \prod_j \binom{d_j}{m_j} \frac{r_j^{k_j-1}}{(k_j-1)!} q^{m_j r_j} \stackrel{\bullet}{=} \left[ \begin{array}{c} k_1 \dots k_n \\ d_1 \dots d_n \end{array} \right] \in \tilde{\mathcal{Z}}_q$$

Thm For any  $f \in \mathcal{P}$ , there exists a unique  $\deg(f) \in \mathbb{Z}_{\geq 0}$  s.t.

$$\lim_{q \rightarrow 1} (1-q)^{\deg(f)} \langle f \rangle_q \in \tilde{\mathcal{Z}}[T]$$

Thm For any  $f \in P$ , there exists a unique  $\deg(f) \in \mathbb{Z}_{\geq 0}$  s.t.

$$Z(f) := \lim_{q \rightarrow 1} (1-q)^{\deg f} \langle f \rangle_q \in \mathbb{Z}[T]$$

In fact,

$$\deg P \begin{pmatrix} k_1 & \dots & k_n \\ d_1 & \dots & d_n \end{pmatrix} = \max_{j \in \{1, \dots, n+1\}} \left( \sum_{i \leq j} (d_i + 1) + \sum_{i > j} k_i \right) \leq \sum (d_i + 1) + \sum k_i \quad \text{wt}(f; 1)$$

Ex  $\deg P \begin{pmatrix} k_1 & \dots & k_n \\ d_1 & \dots & d_n \end{pmatrix} = \sum k_i$  if  $k_i > d_i \forall i$

Imp.  $\lim_{q \rightarrow 1} (1-q)^{\text{wt} f} \langle f \rangle_q \in \mathbb{Z}[T]$

$\xrightarrow{z}$   $\} (k_1 - d_1, \dots, k_n - d_n)$

$$\deg P \begin{pmatrix} 1 & \dots & 1 & k_t & \dots & k_n \\ d_1 & \dots & d_{t-1} & 0 & \dots & 0 \end{pmatrix} = \sum_{i=1}^{t-1} (d_i + 1) + \sum_{i > j} k_i = \text{wt} P \begin{pmatrix} 1 & \dots & 1 & k_t & \dots & k_n \\ d_1 & \dots & d_{t-1} & 0 & \dots & 0 \end{pmatrix}$$

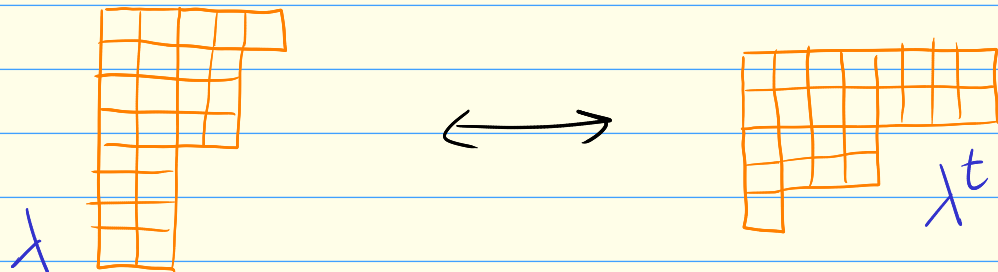
$\xrightarrow{z}$   $\{(d_1, \dots, d_{t-1}) \cdot \} (k_1, \dots, k_n)$

$\uparrow$  Linear combination of MZVs of  $\text{wt} \leq \sum_{i=1}^{t-1} (d_i + 1)$



## Involutions on $P$ , $\mathbb{Z}q$ and $\mathbb{Z}$

Recall The conjugate  $\lambda^t$  of a partition  $\lambda$



This induces an involution  $\iota: \mathbb{Q}[[u_1, u_2, \dots]] \rightarrow \mathbb{Q}[[u_1, u_2, \dots]]$   
 $u_\lambda \mapsto u_{\lambda^t} \quad (u_\lambda = u_{\lambda_1} u_{\lambda_2} \dots)$

and hence an involution on  $f \in P$  s.t.  $\langle f \rangle_q = \langle \iota f \rangle_q$ .

In fact, this involution extends to  $b \in \mathbb{Z}q$  s.t.

$$b - \iota(b) = 0 \in \mathbb{Q}[[q]]$$

$$\{ (d_1, \dots, d_n) := \mathbb{Z} \iota P \begin{pmatrix} 1 & \dots & 1 \\ d_1 & \dots & d_n \end{pmatrix}$$

## Products on $P$

On  $P$  there are three natural products

- the pointwise product  $\odot$  coming from  $P \rightarrow \mathbb{Q} \simeq$
- the harmonic product  $\otimes$  coming from  $\mathbb{Q}[[u_1, u_2, u_3, \dots]]$ .
- the shuffle product  $\oplus$  defined by  $f \oplus g := \iota(\iota(f) \otimes \iota(g))$

Thm  $\sum^{\text{reg}} (f \otimes g - f \oplus g) \stackrel{!}{=} 0$  for all  $f, g \in P$  gives all extended double shuffle relations.

Ex  $P\binom{2}{0} \otimes P\binom{3}{0} = P\binom{2\ 3}{0\ 0} + P\binom{3\ 2}{0\ 0} + P\binom{5}{0} - \frac{1}{12} P\binom{3}{0}$

$\stackrel{!}{=} j\binom{2}{0} \otimes j\binom{3}{0} \stackrel{Z_S}{\rightarrow} j\binom{2,3}{0} + j\binom{3,2}{0} + j\binom{5}{0} + 0$

$P\binom{2}{0} \oplus P\binom{3}{0} = P\binom{2\ 3}{0\ 0} + 3P\binom{3\ 2}{0\ 0} + 6P\binom{4\ 1}{0\ 0} + 3P\binom{4}{1} - 3P\binom{4}{0}$

$\stackrel{Z_S}{\rightarrow} j\binom{2,3}{0} + 3j\binom{3,2}{0} + 6j\binom{4,1}{0} + 0 + 0$

deg 4

# Relations from shifted symmetric functions

Recall  $Q_k(\lambda) = \beta_k + \sum_i \left( (\lambda_i - i + \frac{1}{2})^{k-1} - (-i + \frac{1}{2})^{k-1} \right)$   $i \left\{ \begin{array}{l} \text{grid diagram} \\ \text{ } \end{array} \right\} \begin{array}{l} \text{ } \\ \text{ } \end{array} \left. \begin{array}{l} \text{ } \\ \text{ } \end{array} \right\} \begin{array}{l} s_m(\lambda) \\ j \end{array}$

$= \beta_k + \sum_{m,j} \left( (m - (s_m + j) + \frac{1}{2})^{k-1} - (- (s_m + j) + \frac{1}{2})^{k-1} \right)$

$\underbrace{\hspace{10em}}_{\lambda_i = m}$

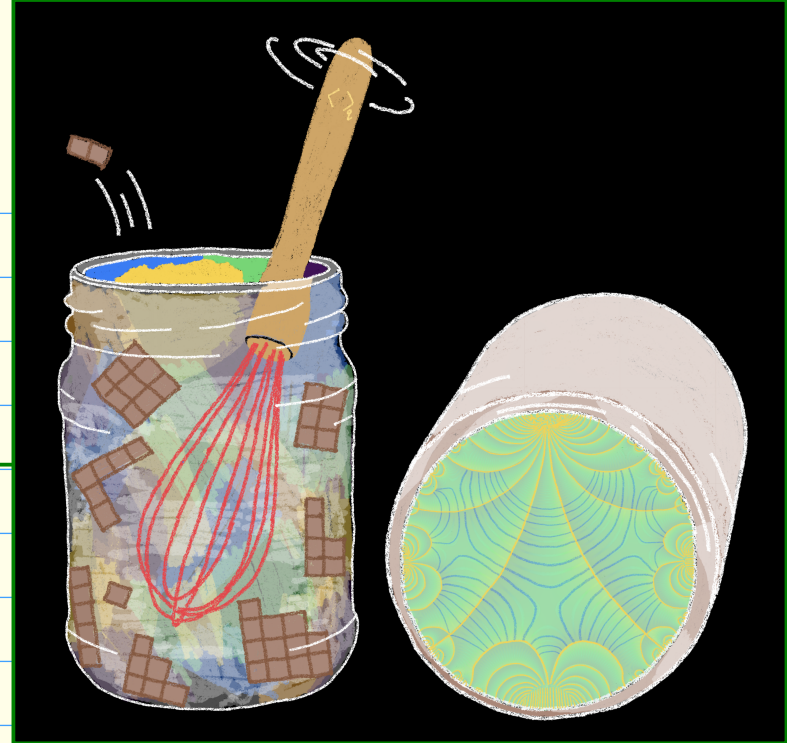
Thm  $Q_k - \sum_{i=0}^{k-2} \frac{(-1)^i}{(k-1-i)!} p \left( \underbrace{1, \dots, 1, 1}_{i}, 0, \dots, 0, k-1-i \right) \xrightarrow{z} 0$

Cor  $\sum_{k \geq 2} \sum_{i=0}^{k-2} (-1)^i j(k-i, \underbrace{1, \dots, 1}_i) z^{k+2} = 1 - \exp \left( \sum_{n \geq 2} j(n) \frac{z^n + (-z)^n}{n} \right)$

[Ohno-Zagier]



Thank you!



$$\begin{array}{ccccccc}
 \mathbb{Q}^{\mathbb{P}} & \xrightarrow{\langle \cdot \rangle_u} & \mathbb{Q}[u_1, u_2, \dots] & \longrightarrow & \mathbb{Q}[[q]] & \longrightarrow & \mathbb{R} \\
 \cup & & \cup & & \cup & & \cup \\
 \rightarrow P & \xrightarrow{\text{no relations}} & \bigoplus_n \mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n] & \xrightarrow{\langle \cdot \rangle^c} & \tilde{\mathbb{Z}}_q & \xrightarrow{\text{orange}} & \tilde{\mathbb{Z}}[T]^{*, \cup} \\
 \cup & & \cup & & \cup & & \cup \\
 \mathbb{S}, \Lambda^{\circ} & \xrightarrow{\langle \cdot \rangle_q} & \tilde{M} & \xrightarrow{\lim_{q \rightarrow 1} (1-q)^k} & \mathbb{Q}[\pi^2]
 \end{array}$$