

Subleading asymptotics of ECH capacities

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(I) Background & Motivation

(a) ECH spectrum

(Y^3, λ) closed contact



M. Hutchings's Embedded Contact Homology

$$ECH(Y, \xi, \Gamma; \mathbb{Z}_2)$$

$\xi = \ker \lambda$

& $\Gamma \in H_1(Y)$

chain sp:

$$ECC(Y, \lambda, \Gamma; \mathbb{Z}_2) := \mathbb{Z}_2 \left[\alpha = (\alpha_j, m_j) \mid \begin{array}{l} \alpha_j \text{ distinct (prime) Reeb orbits} \\ \text{finite} \end{array} \right]$$

$$m_j = \begin{cases} 1 & \alpha_j \text{ hyperbolic} \\ e \in \mathbb{N} & \alpha_j \text{ elliptic} \end{cases}$$

$$\sum m_j [\alpha_j] = \Gamma$$

Differential:

$$\partial \alpha := \sum_{\beta} \# \left[\underbrace{m_1(\alpha, \beta)}_{\substack{\text{ECH index 1} \\ \text{hol. curves}}} / \mathbb{R} \right] \beta$$

Grading: $c_1(\xi) + 2 \text{P.D.} \Gamma$ torsion $\Rightarrow ECH(Y, \xi, \Gamma)$ is $\begin{cases} \text{abs. } \mathbb{Q} \text{ graded} \\ \text{rel. } \mathbb{Z} \text{ graded} \end{cases}$

$$\& ECH_x = ECH_{x+2} \neq 0; \quad * \gg 0.$$

Action filtration: $ECH(Y, \xi, \Gamma; \mathbb{Z}_2) = \varinjlim ECH^L(Y, \lambda, \Gamma; \mathbb{Z}_2)$

$$ECH^L(Y, \lambda, \Gamma; \mathbb{Z}_2) := \mathbb{Z}_2 \left[\alpha \mid \underbrace{\quad\quad\quad}_{\substack{A(\alpha) := \sum m_j \int_{\alpha_j} \lambda \leq L \\ \text{action}}} \right]$$

ECH capacity: $c_\sigma(\lambda) := \inf \{ L \mid \sigma \in \text{Im}(ECH^L \rightarrow ECH) \}$
($\sigma \in ECH$)

ECH zeta fn: $\zeta_{ECH}(s; Y, \lambda, \Gamma) := \sum_{\sigma \in ECH(Y, \xi, \Gamma; \mathbb{Z}_2)} c_\sigma^{-s}; \quad \begin{matrix} s \in \mathbb{C} \\ \text{Re}(s) \gg 0 \end{matrix}$

ECH counting fn: $\pi_{ECH}(x) := \# \{ \sigma \in ECH \mid c_\sigma \leq x \}$

Thm 1 (Cristofaro - Gardiner Hutchings & Ramos '15)

Let $(Y, \xi) + 2$ P.D.T torsion & $\sigma_j \in \text{ECH}_{Y+2j}(Y, \xi, \Gamma)$, $j=1, 2, 3, \dots$

then
$$C_{\sigma_j}(\lambda) \sim [2j \text{ vol}(Y, \lambda)]^{1/2} \quad (*) \quad \text{as } j \rightarrow \infty$$

Cor 1 (Cristofaro - Gardiner Hutchings '16)

(Y^3, λ) closed contact. \exists at least 2 distinct Reeb orbits.

Cor 2 (Irie '15)

λ generic contact. \exists closed Reeb orbit through any open subset (i.e. the union of closed Reeb orbits is dense in Y) $\cup C Y$

Q What is the next term in $(*)$?

b Geodesic length spectrum (analogous prob.)

(X^n, g) Riem mld $\text{sec}(g) < 0$

Reeb flow on S^*X is Anosov (nondeg)

$\pi(x) := \# \{ \gamma \text{ prime closed geodesic} \mid l_\gamma = \text{length}(\gamma) \leq \ln x \}$

Ruelle zeta fn: $\zeta_{\text{Ruelle}}(s; X, g) := \prod_{\gamma \text{ prime closed geod}} (1 - e^{-s l_\gamma})^{-1} = \sum_{(m_j, \gamma_j)} e^{-s \sum m_j l_{\gamma_j}}$
 $(m_j, \gamma_j) \in \mathbb{N} \times \{\text{prime geod}\}$; $\text{Re}(s) > h$; $s \in \mathbb{C}$

(Margulis '69) $\pi(x) \sim \frac{x^h}{\ln x}$ $h = \text{top. entropy}$

(Giulietti - Liverani) Assuming $\text{sec}(g)$ is $1/q$ pinched: $\exists \delta > 0$ s.t.
 Pollicott '13 $\pi(x) = \frac{x^h}{\ln x} + O(x^{h-\delta})$ (sharp δ unknown)

Prime number conjecture: $\pi(x) = \text{li}(x) + \begin{cases} O(x^{1/2+\epsilon}) & \forall \epsilon > 0 \\ O(x^{1/2} \ln x) & \text{equiv to RH} \end{cases}$
 \uparrow prime # counting $\frac{x}{\ln x}$

(Ruelle '78 Parry - Pollicott '83) $\zeta_{\text{Ruelle}}(s)$ extends meromorphically to $\text{Re}(s) > h - \frac{1}{2}h$ with single (simple) pole at $s=h$.

(GLP '13 Dyatlov - Zworski '16) $\zeta_{\text{Ruelle}}(s)$ extends meromorphically to \mathbb{C} .

II Main Results

Thm 2 (Cristofaro - Gardiner - S '18)

Let $G(\xi) + 2\text{-P.D.}\Gamma$ be torsion & $\sigma_j \in \text{ECH}_{x+2j}(Y, \xi, \Gamma; \mathbb{Z}_2)$ $j=1,2,\dots$

$$e(j) := c_{\sigma_j}(\lambda) - [2j \text{ vol}(Y, \lambda)]^{1/2} = O(j^{2/5}) \text{ as } j \rightarrow \infty$$

(W. Sun '18)

$$e(j) = O(j^{125/252})$$

(Hutchings '19)

$\begin{matrix} Y = \partial X \\ X \\ \text{domain} \end{matrix} \subset \mathbb{R}^4$

$$e(j) = O(j^{1/4})$$

Viterbo conjecture: $\forall X_{\text{convex}} : e(1) \leq 0$.

Cor 3 (C.G-S) $\Pi_{\text{ECH}}(x) = \frac{2^d - 1}{\text{vol}(Y, \lambda)} x^2 + O(x^{9/5})$; $d := \dim \text{ECH}_x + \dim \text{ECH}_{x+1}$ $x \gg 0$.

$S_{\text{ECH}}(s)$ continues meromorphically to $\text{Re}(s) > \frac{5}{3}$.
with single (simple) pole at $s=2$ & $\text{Res}_{s=2} S_{\text{ECH}} = \frac{2^d - 1}{\text{vol}}$.

Conjecture:

$$e(j) = \begin{cases} O(1) \\ -\frac{1}{2} \text{Ru}(X) + o(1); \text{ if } \lambda \text{ generic} \end{cases}$$

$S_{\text{ECH}}(s)$ continues meromorphically to \mathbb{C}
 $s=1$ is a simple pole with $\text{Res}_{s=1} S_{\text{ECH}} = \text{"Ru}(X)\text{"}$
(Ruelle invariant)

(Hutchings '19) conjecture holds for "strictly convex/concave toric domains".

ie. $Y = \partial X_{\Omega}$; $X_{\Omega} = \{(z_1, z_2) \in \mathbb{C}^2 \mid (|z_1|^2, |z_2|^2) \in \Omega\}$ domains.



III Proof sketch

Uses isomorphism

$$\text{ECH}(Y, \xi, \text{P.D. } c_1(E)) \cong \overset{\vee}{\text{HM}}(Y, S^E) \begin{matrix} \text{cpx line bundle} \\ \text{spin}^c \text{ str.} \end{matrix}$$

Hutchings

Taubes

Monopole - Floer homology
Kronheimer - Mrowka

$$S^E = (\mathbb{C} \oplus \xi) \otimes E \quad ; \quad c: T^*Y \rightarrow \text{End}(S^E)$$

rk 2 v. bundle clifford multiplication.

$\bar{w} :=$ harmonic representative of $c_1(\mathbb{E})$

$\mathcal{G}(Y) := C^\infty(Y, S^1)$ gauge group

chain gp: $\check{H}C(Y, S^E) = \mathbb{Z}_2 \left[(A, \psi) \mid \begin{matrix} c(*F_A + *i\bar{w}) \\ + i\bar{r}\lambda \end{matrix} \right] = 4 \otimes 4^* - \frac{1}{2} |4|^2$
 $\text{Conn}(E) \quad C^\infty(S^E)$
 $DA\psi = 0$

$\oplus \mathbb{Z}_2 \left[(A, \psi) \mid F_A + i\bar{w} + i\bar{r}\lambda = 0 \right]$

$DA\psi = \lambda\psi$ eigenvector $(\lambda > 0)$

variational equations for:

$\mathcal{L}_r(A, \psi) := \frac{1}{2} \int_Y (A - A_0) \wedge (F_A + F_{A_0} - i\bar{w}) + \frac{1}{2} \int (DA\psi, \psi) \text{vol} - \frac{i\bar{r}}{2} \int \lambda \wedge F_A$
 chern-simons Dirac functional $=: CS(A)$ $=: e_\lambda(A)$

Floer homology is indep of $r > 0$

Choose a sequence $\sigma = [A_r, \psi_r]$ rep. given class $\sigma \in \check{H}M(Y, S^E)$

$(\psi_r^{\sigma_j})^{-1}(\sigma_j) \rightarrow (\alpha_j, m_j)$ Reeb orbit set

$e_\lambda(A_r) \rightarrow c_\sigma(\lambda)$ capacity as $\sigma \rightarrow \infty$

$gr(A_r, \psi_r) = \frac{-1}{2\pi^2} CS(A_r) - \eta_2(H_{A_r, \psi_r})$
 $\underbrace{\hspace{10em}}_{\text{APS eta invariant}} \quad \underbrace{\hspace{10em}}_{\text{Hessian of } \mathcal{L}_r}$

Reg. estimates:

- i) $CS(A) \leq c r^{2/3} e_\lambda(A)^{4/3}$
 - ii) $\frac{dCS}{dr} = r \frac{de_\lambda}{dr}$, $r > r_0$ (threshold)
 - iii) $\eta_2(H_{A, \psi}) = O(r^{3/2})$
- } Taubes } (S'14)

In terms of $F(r) := \frac{1}{2} r_0^2 \text{vol} + \int_{r_0}^r e_\lambda(A_s) ds$
 $e_\lambda(A) = F'(r)$ & $CS(A) = rF' - F$

playing with (i) & (ii) (ODI's for F)

$R \left[1 - \frac{c}{r_0^{1/3}} R^{1/3} \right] \leq F'(r) \leq \left[\frac{gr(\sigma)}{r_0} + r_0^{1/2} \right] \left[1 + \frac{c}{r_0^{1/3}} R^{1/3} \right]$
 $=: R$ for $r_0 \gg O(gr(\sigma)^{1/2})$

Use $gr(\sigma_j) = q + 2j$; $r_{\sigma_j} = \frac{j^{1/2}}{\text{vol}^{1/2}} + O(1)$ (S'18) & set $r_0 = j^{4/5}$