

## Lattices and Quadratic Forms (Summer 2024) - Solutions to Problem Set 8

1. Let  $C \leq \mathbb{F}_2^n$  be of length  $n$  and dimension  $k$  and consider the associated code lattice  $A_1^n \leq \Gamma_C \leq (A_1^\sharp)^n$ . Our first goal is to show that  $\Gamma_{C^\perp}$  is a sublattice of  $\Gamma_C^\sharp$ . So let  $x$  be an arbitrary element of  $\Gamma_{C^\perp}$  and  $y$  be an arbitrary element of  $\Gamma_C$ . Then we have (identifying  $A_1^n$  with  $\sqrt{2}\mathbb{Z}^n$ )

$$x = \sqrt{2} \left( z + \frac{b}{2} \right) \quad \text{and} \quad y = \sqrt{2} \left( z' + \frac{c}{2} \right),$$

where  $z, z' \in \mathbb{Z}^n$  and  $b, c \in \{0, 1\}^n$  reducing modulo 2 to a codeword in  $C^\perp$  and  $C$ , respectively. Then we have

$$x \cdot y = 2z \cdot z' + z \cdot c + z' \cdot b + \frac{1}{2}b \cdot c.$$

The first three terms are trivially integers. For the last term, we note that  $b \cdot c \equiv 0 \pmod{2}$  because the corresponding codewords are orthogonal in  $\mathbb{F}_2^n$ . So we have  $x \cdot y \in \mathbb{Z}$  and because the vectors here are arbitrary  $\Gamma_{C^\perp} \leq \Gamma_C^\sharp$ .

To prove the equality, we will show that the discriminants of  $\Gamma_{C^\perp}$  and  $\Gamma_C^\sharp$  are equal (recall that if  $L' \leq L$  then  $\det(L') = |L/L'|^2 \det(L)$ ). Since  $A_1^n$  is a sublattice of  $\Gamma_C$ , we have  $\det(A_1^n) = |\Gamma_C/A_1^n|^2 \det(\Gamma_C)$ . Noting that  $\det(A_1^n) = 2^n$  and  $|\Gamma_C/A_1^n| = |C| = 2^k$ , we find

$$\det(\Gamma_C) = 2^{n-2k}.$$

Since the dual code  $C^\perp$  has dimension  $n - k$ , the same computation shows that

$$\det(\Gamma_{C^\perp}) = 2^{n-2(n-k)} = 2^{2k-n}.$$

We also have

$$\det(\Gamma_C^\sharp) = \frac{1}{\det(\Gamma_C)} = 2^{2k-n}.$$

So we indeed have  $\det(\Gamma_{C^\perp}) = \det(\Gamma_C^\sharp)$  and hence the two lattices are equal to each other:  $\Gamma_{C^\perp} = \Gamma_C^\sharp$ .

2. Let  $C$  be a binary  $[n, k, d]$  code with

$$n = 2^r - 1, \quad k = 2^r - 1 - r, \quad \text{and} \quad d = 3$$

and let  $P \in \mathbb{F}_2^{(n-k) \times n}$  be a parity check matrix for  $C$ . Recall that codewords of  $C$  correspond to linear dependencies between the columns of  $P$ . Since  $d = 3$ , we have a minimum of three columns from  $P$  to form a linear dependency. In particular, the columns of  $P$  should all be nonzero (otherwise we would have  $d = 1$ ) and furthermore any two of these nonzero columns  $v_i, v_j \in \mathbb{F}_2^{n-k}$  with  $i \neq j$  should not be linearly dependent (otherwise we would have  $d = 2$ ) and hence we have  $v_i \neq v_j$ . Now note that  $\mathbb{F}_2^{n-k} = \mathbb{F}_2^r$  has  $2^r - 1$  pairwise different nonzero vectors. Since  $n = 2^r - 1$ , the columns of  $P$  exactly consist of these  $2^r - 1$  nonzero vectors in  $\mathbb{F}_2^r$ . This is nothing but the parity check matrix for the Hamming code  $H(\mathbb{F}_2, r)$ . Note that the ordering of the columns of  $P$  was left ambiguous in our definition of  $H(\mathbb{F}_2, r)$  as well, since any such ordering choice produces equivalent codes (permuting the underlying bits).

3. Let  $C \leq \mathbb{F}_q^n$  be a linear code of dimension  $k$  with generator matrix  $G = [I_k | Q]$  where  $Q \in \mathbb{F}_q^{k \times (n-k)}$ .

- Let us first assume that  $C$  is a self-dual code ( $C = C^\perp$ ). Then the dimension  $k$  of  $C$  and  $n - k$  of  $C^\perp$  are equal to each other and hence  $Q$  is a square matrix. Moreover, the columns of  $G^T$  (forming a basis for  $C$ ) are orthogonal to each other by this assumption and hence  $GG^T = 0 \in \mathbb{F}_q^{k \times k}$ . Inserting  $G = [I_k | Q]$  into this equation yields  $I_k + QQ^T = 0$  as we wanted to show.
- Conversely, let us first assume that the matrix  $Q \in \mathbb{F}_q^{k \times (n-k)}$  defining the generator matrix  $G$  in the standard form as above satisfy  $QQ^T = -I_k$ . This is then equivalent to  $GG^T = 0 \in \mathbb{F}_q^{k \times k}$ , i.e. the

columns  $v_1, \dots, v_k$  of  $G^T$ , which form a basis for  $C$ , satisfy  $v_i \cdot v_j = 0$  for all  $i, j$ . Consequently, if  $\alpha = a_1 v_1 + \dots + a_k v_k$  and  $\beta = b_1 v_1 + \dots + b_k v_k$  are any two arbitrary codewords in  $C$ , then we have

$$\alpha \cdot \beta = \sum_{i,j} a_i b_j v_i \cdot v_j = 0$$

So the condition  $QQ^T = -I_k$  implies that  $C$  is self-orthogonal ( $C \leq C^\perp$ ).

If we further have that  $Q$  is a square matrix, then  $k = n - k$  and hence  $C$  and  $C^\perp$  have equal dimensions.

The inclusion relation  $C \leq C^\perp$  then requires that these vector spaces should in fact be equal  $C = C^\perp$ , i.e.  $C$  is self-dual.

4. Let  $C \leq \mathbb{F}_2^n$  be a binary linear code that is self-orthogonal (i.e.  $C \leq C^\perp$ ). In particular, self-orthogonality requires that any codeword  $c \in C$  satisfies  $c \cdot c = 0$  (where for  $x, y \in \mathbb{F}_2^n$ ,  $x \cdot y$  is the non-degenerate symmetric bilinear form  $x_1 y_1 + \dots + x_n y_n \in \mathbb{F}_2$ ). For binary codes, the weight  $w(c)$  is equal to  $c \cdot c$  modulo 2, and hence for self-orthogonal binary linear codes we have  $2 \mid w(c)$  for any  $c \in C$ .

Now let us further assume that  $C$  has a generator matrix  $G$  where the columns  $v_1, \dots, v_k \in \mathbb{F}_2^n$  of  $G^T$  (which form a basis for  $C$ ) all have weights divisible by 4. Then any given codeword  $c \in C$  can be uniquely written as

$$c = \alpha_1 v_1 + \dots + \alpha_k v_k \quad \text{where } \alpha_1, \dots, \alpha_k \in \mathbb{F}_2.$$

Now let us determine vectors  $\tilde{c}, \tilde{v}_1, \dots, \tilde{v}_k$  in  $\{0, 1\}^n \subset \mathbb{Z}^n$  and  $\tilde{\alpha}_1, \dots, \tilde{\alpha}_k \in \{0, 1\} \subset \mathbb{Z}$  that are equal to  $c, v_1, \dots, v_k$  and  $\alpha_1, \dots, \alpha_k$  modulo 2. Then we have

$$\tilde{c} \equiv \tilde{\alpha}_1 \tilde{v}_1 + \dots + \tilde{\alpha}_k \tilde{v}_k \pmod{2}.$$

Since a number modulo 2 determines its square modulo 4, we have

$$\tilde{c}^2 \equiv (\tilde{\alpha}_1 \tilde{v}_1 + \dots + \tilde{\alpha}_k \tilde{v}_k)^2 \pmod{4}$$

with squares denoting the usual Euclidean norm in  $\mathbb{Z}^n$ . Since  $w(c) \equiv \tilde{c}^2 \pmod{4}$ , we will focus on the right hand side

$$(\tilde{\alpha}_1 \tilde{v}_1 + \dots + \tilde{\alpha}_k \tilde{v}_k)^2 = \tilde{\alpha}_1^2 \tilde{v}_1^2 + \dots + \tilde{\alpha}_k^2 \tilde{v}_k^2 + 2 \sum_{i < j} \tilde{\alpha}_i \tilde{\alpha}_j \tilde{v}_i \cdot \tilde{v}_j.$$

Now note that  $\tilde{v}_j^2 \equiv 0 \pmod{4}$  for all  $j$  because we are assuming  $v_j$ 's have weights divisible by 4. Moreover, we have  $2 \mid \tilde{v}_i \cdot \tilde{v}_j$  for all  $i, j$  because  $C$  is self-orthogonal and hence we have  $v_i \cdot v_j = 0$  in  $\mathbb{F}_2$ . Therefore, every term on the right hand side of our expression above for  $(\tilde{\alpha}_1 \tilde{v}_1 + \dots + \tilde{\alpha}_k \tilde{v}_k)^2$  is divisible by four and therefore

$$(\tilde{\alpha}_1 \tilde{v}_1 + \dots + \tilde{\alpha}_k \tilde{v}_k)^2 \equiv 0 \pmod{4}.$$

So  $4 \mid w(c)$  for any codeword  $c \in C$  and  $C$  is doubly-even.